



**Hitachi Freedom Storage™  
Lightning 9900™  
User and Reference Guide**

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## Document Revision Level

| Revision     | Date          | Description                                      |
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## Source Document Revision Level

The following source documents were used to produce this 9900 user guide:

- *Hitachi DKC410 Disk Subsystem Maintenance Manual*, revision 3.
- *Hitachi DKC415 Disk Subsystem Maintenance Manual*, revision 1.

## Changes in this Revision

The major change in this revision is the addition of the configuration information for the 9910 model of the Lightning 9900™ subsystem family.

# Preface

This document describes the physical, functional, and operational characteristics of the Hitachi Lightning 9900™ subsystem, provides general instructions for operating the 9900 subsystem, and provides the installation and configuration planning information for the 9900 subsystem.

This document assumes that:

- the user has a background in data processing and understands direct-access storage device (DASD) subsystems and their basic functions,
- The user is familiar with the S/390® (mainframe) operating systems and/or open-system platforms supported by the 9900 subsystem.
- The user is familiar with the equipment used to connect RAID disk array subsystems to the supported host systems.

For further information on Hitachi Data Systems products and services, please contact your Hitachi Data Systems account team, or visit Hitachi Data Systems worldwide web site at <http://www.hds.com>. For specific information on supported host systems and platforms for the Lightning 9900™ subsystem, please refer to the 9900 user documentation for the platform, or contact the Hitachi Data Systems Support Center.

**Note:** Unless otherwise noted, the term “9900” refers to the entire Hitachi Lightning 9900™ subsystem family, including all models (e.g., 9960, 9910) and all configurations (e.g., all-mainframe, all-open, multiplatform).

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# Chapter 1 Overview of the Lightning 9900™ Subsystem

## 1.1 Key Features of the Lightning 9900™ Subsystem

The Hitachi Lightning 9900™ subsystem provides high-speed response, continuous data availability, scalable connectivity, and expandable capacity for both S/390® and open-systems environments. The 9900 subsystem is designed for use in 7×24 data centers that demand high-performance, non-stop operation. The 9900 subsystem is compatible with industry-standard software and supports concurrent attachment to multiple host systems and platforms. The 9900 subsystem employs and improves upon the key characteristics of generations of successful Hitachi disk storage subsystems to achieve the highest level of performance and reliability currently available. The advanced components, functions, and features of the Lightning 9900™ subsystem represent an integrated approach to data retrieval and storage management.

The Lightning 9900™ subsystem provides many new benefits and advantages for the user. The 9900 subsystem can operate with multihost applications and host clusters, and is designed to handle very large databases as well as data warehousing and data mining applications that store and retrieve terabytes of data. The Lightning 9900™ provides up to 32 host interface ports and can be configured for all-mainframe, all-open, or multiplatform operations.

- **Instant access to data around the clock:**
  - 100 percent data availability guarantee
  - No single point of failure
  - Highly resilient multi-path fibre architecture
  - Fully redundant, hot-swappable components
  - Global dynamic hot sparing
  - Duplexed write cache with battery backup
  - Hi-Track® “call-home” maintenance system
  - Non-disruptive microcode updates
  - RAID-1+ and/or RAID-5 arrays within the same system
- **Unmatched performance and capacity:**
  - Industry’s only internal switched fabric architecture
  - Multiple point-to-point data and control paths
  - Up to 6.4-GB/sec internal system bandwidth
  - Fully addressable 32-GB data cache; separate control cache
  - Extremely fast and intelligent cache algorithms
  - Non-disruptive expansion to over 37 TB raw capacity
  - Simultaneous transfers from up to 32 separate hosts
  - High-throughput 10K RPM fibre channel, dual-active disk drives
- **Extensive connectivity and resource sharing:**
  - Concurrent operation of UNIX®-based, Windows® 2000, Windows NT®, Linux®, Novell® NetWare®, and S/390® hosts
  - Fibre-channel and ESCON® server connections
  - Optimized for SANs, fibre-channel switched, fibre-channel arbitrated loop, and point-to-point

### 1.1.1 Continuous Data Availability

The Hitachi Lightning 9900™ is designed for nonstop operation and continuous access to all user data. To achieve nonstop customer operation, the 9900 subsystem accommodates online feature upgrades and online software and hardware maintenance. See section 1.2 for further information on the reliability and availability features of the Lightning 9900™ subsystem.

### 1.1.2 Connectivity

The Hitachi Lightning 9900™ RAID subsystem supports concurrent attachment to S/390® mainframe hosts and open-system (UNIX®-based, Linux®-based, and/or PC-server) platforms. The 9900 subsystem can be configured with Extended Serial Adapter™ (ExSA™) ports (compatible with ESCON® protocol) and/or fibre-channel ports to support all-mainframe, all-open, and multiplatform configurations.

When ExSA™ channel interfaces are used, the 9900 subsystem can provide up to 16 logical control unit (CU) images and 4,096 logical device (LDEV) addresses. Each physical ExSA™ channel interface supports up to 256 logical paths providing a maximum of 8,192 logical paths per subsystem. ExSA™ connection provides transfer rates of up to 17 MB/sec.

When fibre-channel interfaces are used, the 9900 subsystem can provide up to 32 ports for attachment to UNIX®-based (e.g., AIX®, Solaris®, HP-UX®), Linux®-based (Red Hat® Linux®), and/or PC-server platforms (e.g., Windows NT®, Windows® 2000, NetWare®). Please contact Hitachi Data Systems for the latest information on platform support. The type of host platform determines the number of logical units (LUs) that may be connected to each port. Fibre-channel connection provides data transfer rates of up to 100 MB/sec. The 9900 subsystem supports fibre-channel arbitrated loop (FC-AL) and fabric fibre-channel topologies as well as high-availability (HA) fibre-channel configurations using hubs and switches.

### 1.1.3 S/390® Compatibility and Functionality

The 9900 subsystem can be configured with multiple concurrent logical volume image (LVI) formats, including 3390-1, -2, -3, -3R, -9 and 3380-E, -J, -K. In addition to full System-Managed Storage (SMS) compatibility, the 9900 subsystem also provides the following functionality in the S/390® environment:

- Sequential data striping,
- Cache fast write (CFW) and DASD fast write (DFW),
- Enhanced dynamic cache management,
- Concurrent copy, and
- Transaction Processing Facility (TPF)/Multi-Path Locking Facility (MPLF) support.

#### 1.1.4 Open-Systems Compatibility and Functionality

The Lightning 9900™ subsystem supports multiple concurrent attachment to a variety of UNIX®-based hosts (e.g., Solaris®, AIX®, HP-UX®, Tru64® UNIX®, SGI™ IRIX®) as well as PC server hosts (e.g., Windows NT®, Windows® 2000, Novell® NetWare®). The 9900 subsystem also supports the Red Hat Linux operating system. Please contact your Hitachi Data Systems account team for the most recent information on supported platforms and OS versions. The 9900 is compatible with most fibre host bus adapters (HBAs).

The 9900 subsystem provides enhanced dynamic cache management and supports command tag queuing and multi-initiator I/O. Command tag queuing (see section 4.5.1) enables hosts to issue multiple disk commands to the fibre-channel adapter without having to serialize the operations. The 9900 subsystem operates with industry-standard middleware products providing application/host failover capability, I/O path failover support, and logical volume management. The 9900 subsystem also supports the industry-standard simple network management protocol (SNMP) for remote subsystem management from the open-system host.

The 9900 subsystem can be configured with multiple concurrent logical unit (LU) formats (e.g., OPEN-3, -8, -9, -K, -E). The user can also configure custom-size volumes using the Virtual LUN and LU Size Expansion (LUSE) features of the 9900 subsystem, which are described in the next section.

### 1.1.5 Program Products and Service Offerings

The Lightning 9900™ subsystem provides many advanced data management features and functions that increase data accessibility, enable continuous user data access, and deliver enterprise-wide coverage of on-line data copy/relocation, data access/protection, and storage resource management. The **CARE** software solutions provide a full complement of industry-leading Copy, Availability, Resource Management, and Exchange software to support business continuity, database backup/restore, application testing, and data mining.

- The Hitachi Remote Copy (HRC) and Hitachi Open Remote Copy (HORC) features (see sections 3.6.1 and 3.6.2) enables the user to perform remote copy operations between Hitachi RAID subsystems in different locations. Hitachi Remote Copy provides synchronous and asynchronous copy modes for both mainframe and open-system users.
- The Hitachi ShadowImage feature (see sections 3.6.3 and 3.6.4) allows the user to create internal copies of volumes for a wide variety of purposes including application testing and offline backup. When used in conjunction with Remote Copy, ShadowImage allows the user to maintain multiple copies of critical data at both the main and remote sites.
- The Command Control Interface (CCI) software (see section 3.6.5) enables users to perform Remote Copy and ShadowImage operations by issuing commands from the open-system host to the 9900 subsystem. The CCI software supports scripting and provides failover and mutual hot standby functionality in cooperation with the failover product on the host.
- The Hitachi Extended Remote Copy (HXRC) feature (see section 3.6.6) provides compatibility with the IBM Extended Remote Copy (XRC) host software function, which performs server-based asynchronous remote copy operations for mainframe LVIs.
- The Hitachi Online Data Migration (HODM) feature (see section 3.6.7) allows the rapid transfer of data from other disk subsystems onto the 9900 subsystem. HODM operations can be performed while applications are online using the data which is being transferred.
- The Dynamic Optimizer feature (see section 3.6.8) monitors volume activity and performs automatic relocation of volumes to optimize subsystem performance.
- The FlashAccess feature (see section 3.6.9) enables users to store specific high-usage data directly in cache memory to provide virtually immediate data availability.
- The LUN Manager feature (see section 3.6.10) enables users to configure the 9900 fibre ports for operational environments (e.g., arbitrated-loop (FC-AL) and fabric topologies, host failover support).
- The LU Size Expansion (LUSE) feature (see section 3.6.10) enables open-system users to create expanded LUs which can be up to 36 times larger than standard fixed-size LUs.
- The Virtual LVI/LUN feature (see section 3.6.11) enables users to configure custom-size LVIs and LUs which are smaller than standard-size devices.
- The Zone Allocation Manager (LUN Security) feature (see section 3.6.12) allows open-system users to restrict host access to LUs based on the host's World Wide Name (WWN).

- The LDEV Security feature (see section 3.6.13) allows mainframe users to restrict host access to LVs based on node IDs and logical partition (LPAR) numbers.
- The Prioritized Port Control (PPC) feature (see section 3.6.14) allows open-system users to designate prioritized ports (e.g., for production servers) and non-prioritized ports (e.g., for development servers) and set thresholds and upper limits for the I/O activity of these ports.
- The Hitachi Multiplatform Resource Sharing (HMRS) feature allows the data stored on the 9900 to be shared by mainframe and open-system hosts. The HMRS functions include:
  - The Hitachi Multiplatform Data Exchange (HMDE) feature (see section 3.6.15) enables users to transfer data between S/390® and open-system platforms using the ExSA channels, which provides high-speed data transfer without requiring network communication links or tape.
  - The Hitachi Multiplatform Backup/Restore (HMBR) feature (see section 3.6.16) allows users to perform mainframe-based volume-level backup and restore operations on the open-system data stored on the multiplatform 9900 subsystem.
  - The HARBOR File-Level Backup/Restore feature (see section 3.6.17) enables users to perform mainframe-based file-level backup/restore operations on the open-system data stored on the multiplatform 9900 subsystem.
- The licensed Hitachi GRAPH-Track™ software (see section 3.6.18) provides detailed information on the I/O activity and hardware performance of the 9900 subsystem. Hitachi GRAPH-Track™ displays real-time and historical data in graphical format, including I/O statistics, cache statistics, and front-end and back-end microprocessor usage.

### 1.1.6 Subsystem Scalability

The architecture of the 9900 subsystem accommodates scalability to meet a wide range of capacity and performance requirements. The 9960 storage capacity can be increased from a minimum of 54 GB to a maximum of 37 TB of user data. The 9960 nonvolatile cache can be configured from 1 GB to 32 GB. All disk drive and cache upgrades can be performed without interrupting user access to data and with minimal impact on subsystem performance.

The 9900 subsystem can be configured with the desired number and type of front-end client-host interface processors (CHIPs). The CHIPs are installed in pairs, and each CHIP pair offers up to eight host connections. The 9960 can be configured with four CHIP pairs to provide up to 32 paths to attached host processors. The 9910 supports up to three CHIP pairs and 24 paths.

The ACPs are the back-end processors which transfer data between the disk drives and cache. Each ACP pair is equipped with eight device paths. The 9960 subsystem can be configured with up to four pairs of array control processors (ACPs), providing up to thirty-two concurrent data transfers to and from the disk drives. The 9910 is configured with one ACP pair.

## 1.2 Reliability, Availability, and Serviceability

The 9900 subsystem is not expected to fail in any way that would interrupt user access to data. The 9900 can sustain multiple component failures and still continue to provide full access to all stored user data. **Note:** While access to user data is never compromised, the failure of a key component can degrade performance.

The reliability, availability, and serviceability features of the 9900 subsystem include:

- **Full fault-tolerance.** The 9900 subsystem provides full fault-tolerance capability for all critical components. The disk drives are protected against error and failure by enhanced RAID technologies and dynamic scrubbing and sparing. The 9900 uses component and function redundancy to provide full fault-tolerance for all other subsystem components (microprocessors, control storage, power supplies, etc.). The 9900 has no active single point of component failure and is designed to provide continuous access to all user data.
- **Separate power supply systems.** Each storage cluster is powered by a separate set of power supplies. Each set can provide power for the entire subsystem in the unlikely event of power supply failure. The power supplies of each set can be connected across power boundaries, so that each set can continue to provide power if a power outage occurs. The 9900 can sustain the loss of multiple power supplies and still continue operation.
- **Dynamic scrubbing and sparing for disk drives.** The 9900 uses special diagnostic techniques and dynamic scrubbing to detect and correct disk errors. Dynamic sparing is invoked automatically if needed. The 9960 can be configured with up to sixteen spare disk drives, and any spare disk can back up any other disk of the same capacity, even if the failed disk and spare disk are in different array domains (attached to different ACP pairs).



- **Dynamic duplex cache.** The 9900 cache is divided into two equal segments on separate power boundaries. The 9900 places all write data in both cache segments with one internal write operation, so the data is always duplicated (duplexed) across power boundaries. If one copy of write data is defective or lost, the other copy is immediately destaged to disk. This duplex design ensures full data integrity in the event of a cache or power failure.
- **Remote copy features.** The Hitachi Remote Copy (HRC), Hitachi Open Remote Copy (HORC), and Hitachi Extended Remote Copy (HXRC) data movement features enable the user to set up and maintain duplicate copies of S/390® and open-system data over extended distances. In the event of a system failure or site disaster, the secondary copy of data can be invoked rapidly, allowing applications to be recovered with guaranteed data integrity.
- **Hi-Track®.** The Hi-Track® maintenance support tool monitors the operation of the 9900 subsystem at all times, collects hardware status and error data, and transmits this data via modem to the Hitachi Data Systems Support Center. The Hitachi Data Systems Support Center analyzes the data and implements corrective action when necessary. In the unlikely event of a component failure, Hi-Track® calls the Hitachi Data Systems Support Center immediately to report the failure without requiring any action on the part of the user. Hi-Track® enables most problems to be identified and fixed prior to actual failure, and the advanced redundancy features enable the subsystem to remain operational even if one or more components fail. **Note:** Hi-Track® does not have access to any user data stored on the 9900 subsystem. The Hi-Track® tool requires a dedicated RJ-11 analog phone line.
- **Nondisruptive service and upgrades.** All hardware upgrades can be performed nondisruptively during normal subsystem operation. All hardware subassemblies can be removed, serviced, repaired, and/or replaced nondisruptively during normal subsystem operation. All microcode upgrades can be performed during normal subsystem operations using the SVP or the alternate path facilities of the host.
- **Error Reporting.** The Lightning 9900™ subsystem reports service information messages (SIMs) to notify users of errors and service requirements. SIMs can also report normal operational changes, such as remote copy pair status change. The SIMs are logged on the 9900 service processor (SVP) and on the Remote Console PC, reported directly to the mainframe and open-system hosts, and reported to Hitachi Data Systems via Hi-Track®.



## Chapter 2 Subsystem Architecture and Components

### 2.1 Overview

Figure 2.1 shows the Hierarchical Star Network (HiStar or HSN) architecture of the Lightning 9900™ RAID subsystem. The “front end” of the 9900 subsystem includes the hardware and software that transfers the host data to and from cache memory, and the “back end” includes the hardware and software that transfers data between cache memory and the disk drives.

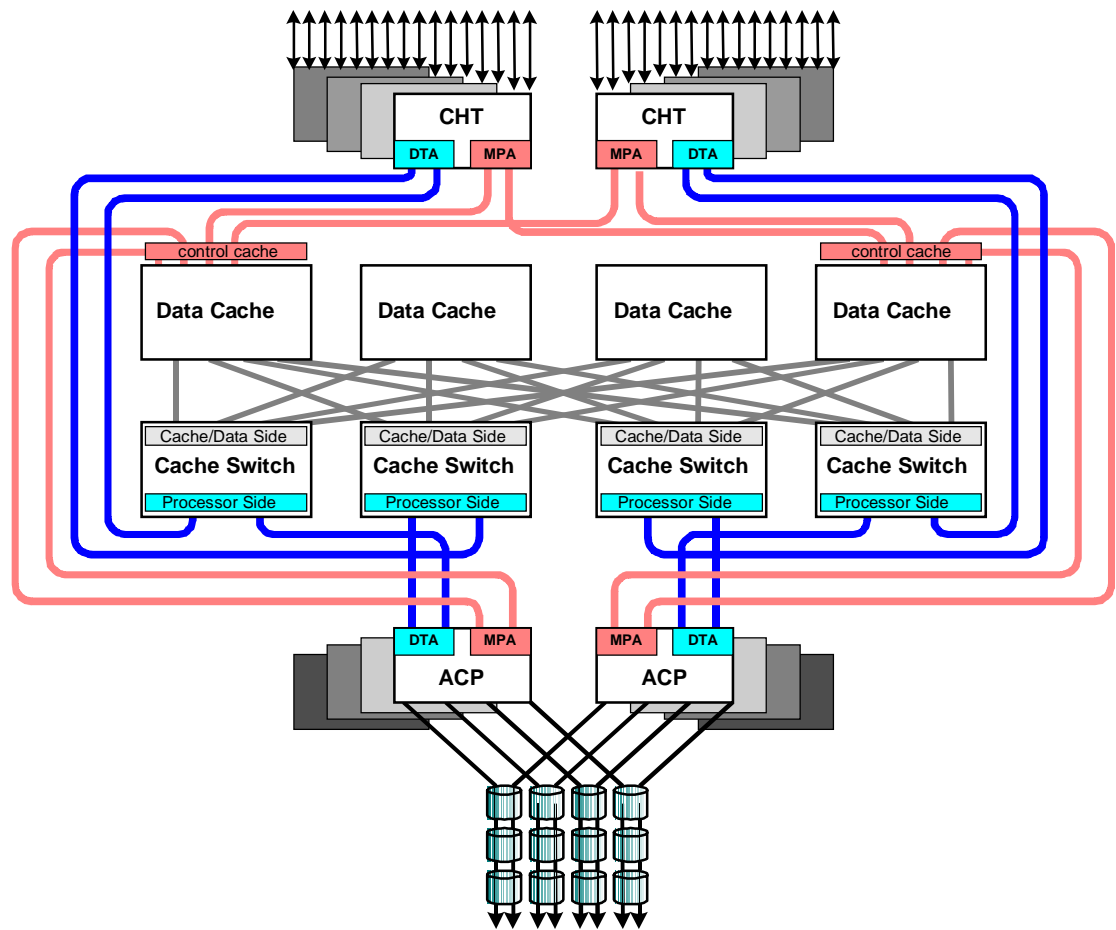


Figure 2.1 Lightning 9900™ HiStar Network (HSN) Architecture

**Front End.** The 9900 front end is entirely resident in the 9900 controller frame and includes the client-host interface processors (CHIPs) that reside on the channel adapter (CHA or CHT) boards. The CHIPs control the transfer of data to and from the host processors via the fibre-channel and/or ExSA™ channel interfaces and to and from cache memory via independent high-speed paths through the cache switches (CSWs).

- Each channel adapter board (CHA or CHT) can contain two or four CHIPs. The 9960 subsystem supports up to eight CHAs for a maximum of 32 host interfaces, and the 9910 subsystem supports up to six CHAs to provide a maximum of 24 host interfaces.
- The 9960 controller contains four cache switch (CSW) cards, and the 9910 controller contains two CSW cards.
- Cache memory in the 9960 resides on two or four cards depending on features, and each cache card is backed up by a separate battery. The 9910 supports two cache cards.
- Shared memory resides on the first two cache cards and is provided with its own power sources and backup batteries. Shared memory also has independent address and data paths from the channel adapter and disk adapter boards.

**Back End.** The 9900 back end is controlled by the array control processors (ACPs) that reside on the disk adapter boards in the 9900 controller frame. The ACPs control the transfer of data to and from the disk arrays via high-speed fibre (100 MB/sec) and then to and from cache memory via independent high-speed paths through the CSWs.

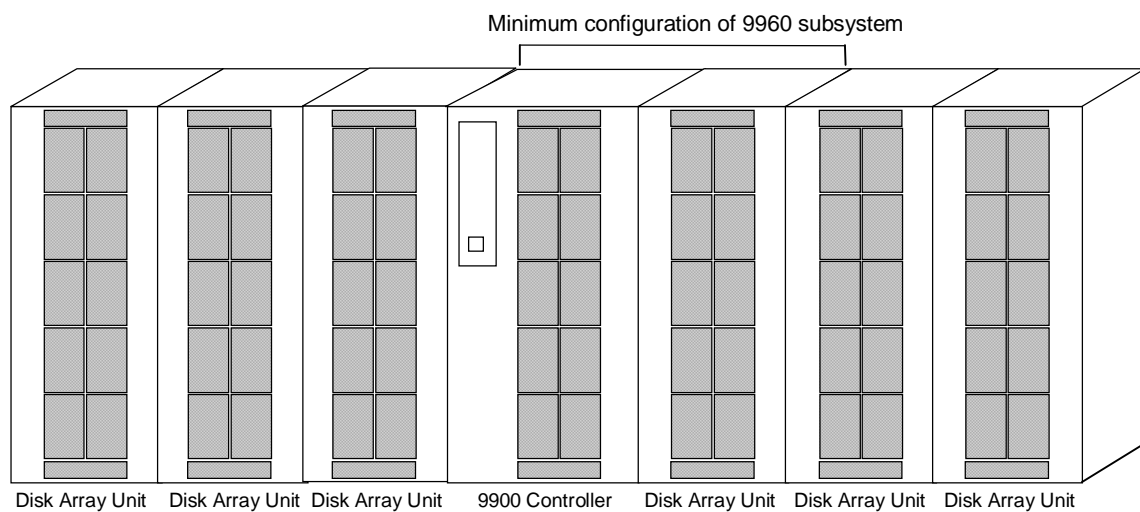
- The disk adapter board (DKA) contains four ACPs. The 9960 subsystem supports up to eight DKAs for a maximum of 32 ACPs. The 9910 subsystem supports two DKAs for a maximum of eight ACPs.

The 9960 subsystem (see Figure 2.2) includes the following major components:

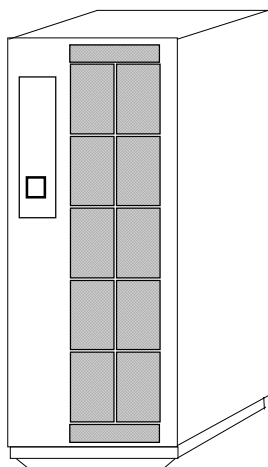
- One controller frame containing the control and operational components of the subsystem.
- Up to six disk array frames containing the storage components (disk drive arrays) of the subsystem.
- The service processor (SVP) (see section 2.5). The 9900 SVP is located in the controller frame and can only be used by authorized Hitachi Data Systems personnel.
- The Remote Console PC (see section 2.6). The 9900 Remote Console PC can be attached to multiple 9960 and/or 9910 subsystems via the 9900-internal local-area network (LAN).

The 9910 subsystem (see Figure 2.3) includes the following major components:

- One frame containing the controller and disk components of the subsystem.
- The service processor (SVP) (see section 2.5). The 9900 SVP is located in the controller frame and can only be used by authorized Hitachi Data Systems personnel.
- The Remote Console PC (see section 2.6). The 9900 Remote Console PC can be attached to multiple 9960 and/or 9910 subsystems.



**Figure 2.2 9960 Subsystem Frames**



**Figure 2.3 9910 Subsystem Frame**

## 2.2 Components of the Controller Frame

The 9900 controller frame contains the control and operational components of the subsystem. For the 9910 subsystem, the controller frame also contains the disk array components. The 9900 controller is fully redundant and has no active single point of failure. All controller frame components can be repaired or replaced without interrupting access to user data. The key features and components of the controller frame are:

- Storage clusters (see section 2.2.1),
- Nonvolatile duplex shared memory (see section 2.2.2),
- Nonvolatile duplex cache memory (see section 2.2.3),
- Multiple data and control paths (see section 2.2.4),
- Redundant power supplies (see section 2.2.5),
- CHIPs and channels (ExSA™ and/or fibre channel) (see section 2.2.6), and
- ACPs (see section 2.2.8).

### 2.2.1 Storage Clusters

Each controller frame consists of two redundant controller halves called storage clusters. Each storage cluster contains all physical and logical elements (e.g., power supplies, CHAs, CHIPs, ACPs, cache, control storage) needed to sustain processing within the subsystem. Both storage clusters should be connected to each host using an alternate path scheme, so that if one storage cluster fails, the other storage cluster can continue processing for the entire subsystem.

Each pair of channel adapters is split between clusters to provide full backup for both front-end and back-end microprocessors. Each storage cluster also contains a separate, duplicate copy of cache and shared memory contents. In addition to the high-level redundancy that this type of storage clustering provides, many of the individual components within each storage cluster contain redundant circuits, paths, and/or processors to allow the storage cluster to remain operational even with multiple component failures. Each storage cluster is powered by its own set of power supplies, which can provide power for the entire subsystem in the unlikely event of power supply failure. Because of this redundancy, the Lightning 9900™ subsystem can sustain the loss of multiple power supplies and still continue operation.

**Note:** The redundancy and backup features of the Lightning 9900™ subsystem eliminate all active single points of failure, no matter how unlikely, to provide an additional level of reliability and data availability.

### 2.2.2 Nonvolatile Shared Memory

The nonvolatile shared memory contains the cache directory and configuration information for the 9900 subsystem. The path group arrays (e.g., for dynamic path selection) also reside in the shared memory. The shared memory is duplexed, and each side of the duplex resides on the first two cache cards, which are in clusters 1 and 2. Even though the shared memory resides on the cache cards, the shared memory has separate power supplies and separate battery backup. The basic size of the shared memory is 512 MB, and the maximum size is 1.5 GB (for 9960). The size of the shared memory storage is determined by the total cache size and the number of logical devices (LDEVs). Any required increase beyond the base size is automatically shipped and configured during the upgrade process. The shared memory is protected by battery backup.

### 2.2.3 Nonvolatile Duplex Cache

The 9960 subsystem can be configured with up to 32 GB of cache, and the 9910 can be configured with up to 16 GB of cache. All cache memory in the 9900 is nonvolatile, and each cache card is protected by its own 48-hour battery backup. The cache in the 9900 is divided into two equal areas (called cache A and cache B) on separate cards. Cache A is in cluster 1, and cache B is in cluster 2. The 9900 places all read and write data in cache. Write data is normally written to both cache A and B with one CHIP write operation, so that the data is always duplicated (duplexed) across logic and power boundaries. If one copy of write data is defective or lost, the other copy is immediately destaged to disk. This “duplex cache” design ensures full data integrity in the unlikely event of a cache memory or power-related failure.

**Note:** Mainframe hosts can specify special attributes (e.g., cache fast write (CFW) command) to write data (typically a sort command) without write duplexing. This data is not duplexed and is usually given a discard command at the end of the sort, so that the data will not be destaged to the disk drives. See section 4.3.3 for further information on S/390® cache operations.

## **2.2.4 Multiple Data and Control Paths**

The 9900 subsystem uses a state-of-the-art architecture called the Hierarchical Star (HiStar) Network (HSN) which utilizes multiple point-to-point data and command paths in order to provide redundancy and improve performance. Each data and command path is independent. The individual paths between the channel or disk adapters and cache are steered by high-speed cache switch cards. The 9900 does not have any common buses, thus eliminating the performance degradation and contention that can occur in a bus architecture. All data stored on the 9900 subsystem is moved into and out of cache via the redundant high-speed paths.

## **2.2.5 Redundant Power Supplies**

Each storage cluster is powered by its own set of redundant power supplies, and each power supply is able to provide power for the entire subsystem, if necessary. Because of this redundancy, the 9900 subsystem can sustain the loss of multiple power supplies and still continue operation. To make use of this capability, the 9900 should be connected either to dual power sources or to different power panels, so if there is a failure on one of the power sources, the 9900 can continue full operations using power from the alternate source.

## **2.2.6 Client-Host Interface Processors (CHIPs) and Channels**

The CHIPs contain the front-end microprocessors which process the channel commands from the host(s) and manage host access to cache. In the S/390<sup>®</sup> environment, the CHIPs perform CKD-to-FBA and FBA-to-CKD conversion for the data in cache. The CHIPs are available in pairs. Depending on the configuration, each CHIP in a pair contains either two or four microprocessors and four buffers which allow data to be transferred between the CHIP and cache. Each CHIP pair supports either four or eight simultaneous data transfers to and from cache and four or eight physical connections to the host. Each CHIP pair is composed of the same type of channel interface (ExSA<sup>™</sup> or fibre). The 9900 can be configured with multiple CHIP pairs to support various interface configurations. Table 2.1 lists the CHIP specifications and configurations and the number of channel connections for each configuration.



**Table 2.1 CHIP and Channel Specifications**

| Parameter   | Specification for 9960   | Specification for 9910   |
|---|--|--|
| Number of CHIP pairs  | 1, 2, 3, or 4  | 1, 2, or 3   |
| Simultaneous data transfers per CHIP pair:<br>S/390®<br>Open Systems                          | 4 or 8 ExSA (serial/ESCON®)<br>4 or 8 (fibre)                                |  |
| Maximum transfer rate:<br>ExSA (serial/ ESCON®)<br>Fibre<br>Physical interfaces per CHIP pair | 10 or 17 MB/sec<br>100 MB/sec<br>4 or 8                                      |  |
| Maximum physical interfaces per subsystem:<br>ExSA (serial/ESCON®)<br>Fibre                   | 32<br>0, 4, 8, 12, 16, 20, 24, 28 or 32<br>0, 4, 8, 12, 16, 20, 24, 28 or 32 | 24<br>0, 4, 8, 12, 16, 20, or 24<br>0, 4, 8, 12, 16, 20, or 24 |
| Logical paths per ExSA (serial/ESCON®) port   | 256  |  |
| Maximum logical paths per subsystem   | 8,192  | 6144   |
| Maximum LUs per fibre port  | 256  |  |
| Maximum LV/LUs per subsystem  | 4,096  |  |

## 2.2.7 Channels

The Lightning 9900™ subsystem supports all-mainframe, multiplatform, and all-open system operations and offers the following two types of host channel connections:

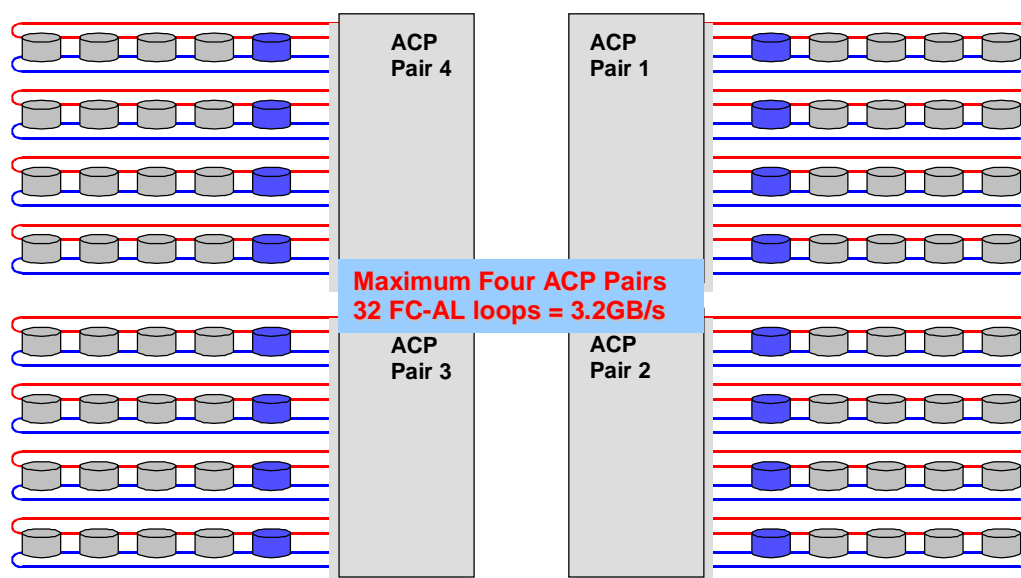
- **Extended Serial Adapter™ (ExSA™).** The 9960 subsystem supports a maximum of 32 ExSA™ serial channel interfaces (compatible with ESCON® protocol), and the 9910 supports a maximum of 24 ExSA™ interfaces. The 9900 ExSA™ channel interface cards provide data transfer speeds of up to 17 MB/sec and are available in four or eight ports per CHIP pair. Each ExSA™ channel can be connected to a single processor or logical partition (LPAR) or to serial channel directors. Shared serial channels can be used for dynamic path switching. The 9900 subsystem also supports the ExSA™ Extended Distance Feature (XDF).
- **Fibre Channel.** The 9960 subsystem supports up to 32 fibre-channel ports, and the 9910 supports up to 24 fibre ports. Each fibre port is capable of operating at data transfer speeds of up to 100 MB/sec. The 9900 fibre channel cards are available in either four or eight ports per CHIP pair. The 9900 supports shortwave and longwave non-OFC (non-open fibre control) optical interface and multimode optical cables as well as high-availability (HA) fibre-channel configurations using hubs and switches. When configured with shortwave fibre cards, the 9900 subsystem can be located up to 500 meters (2750 feet) from the open-system host(s). When configured with longwave fibre cards, the 9900 subsystem can be located up to ten kilometers from the open-system host(s).

## 2.2.8 Array Control Processors (ACPs)

The ACPs, which control the transfer of data between the disk drives and cache, are installed in pairs for redundancy and performance. Figure 2.4 illustrates a conceptual ACP pair domain. The 9960 can be configured with up to four ACP pairs, and the 9910 has one ACP pair. All functions, paths, and disk drives controlled by one ACP pair are called an “array domain.” An array domain can contain a variety of LVI and/or LU configurations.

The disk drives are connected to the ACP pairs by fibre cables using an arbitrated-loop (FC-AL) topology. Each ACP has four microprocessors and four independent fibre backend paths. Each 9960 fibre backend path can access up to 32 disk drives (32 drives × 4 paths = 128 disk drives per ACP). Each 9910 fibre backend path can access up to 12 disk drives (12 drives × 4 paths = 48 disk drives per ACP). Each disk drive is dual-ported for performance and redundancy in case of a backend path failure.

Table 2.2 lists the ACP specifications. Each 9960 ACP pair can support a maximum of 128 physical disk drives (in three array frames), including dynamic spare disk drives. Each ACP pair contains eight buffers (one per fibre path), that support data transfer to and from cache. Each disk drive has a dual-port feature and can transfer data via either port. Each of the two paths shared by the disk drive is connected to a separate ACP in the pair to provide alternate path capability. Each ACP pair is capable of eight simultaneous data transfers to or from the disk drives.



**Figure 2.4 Conceptual ACP Array Domain**

**Table 2.2 ACP Specifications**

| Description   | Specification for 9960                    | Specification for 9910 |
|---|---|------------------------|
| Number of ACP pairs                                 | 1, 2, 3 or 4                              | 1                      |
| Backend paths per ACP pair                          | 8   |                        |
| Backend paths per subsystem                         | 8, 16, 24 or 32                           | 8                      |
| Array group (or parity group) type per ACP pair     | RAID-1 and/or RAID-5 <sup>[1]</sup>       |                        |
| Hard disk drive type per ACP pair                   | 18 GB, 47 GB, 73 GB <sup>[2]</sup>        |                        |
| Logical device emulation type within ACP pair       | 3380-x, 3390-x, and OPEN-x <sup>[3]</sup> |                        |
| Backend array interface type                        | Fibre channel arbitrated loop (FC-AL)     |                        |
| Backend interface transfer rate (burst rate)        | 100 MB/sec                                |                        |
| Maximum concurrent backend operations per ACP pair  | 8   |                        |
| Maximum concurrent backend operations per subsystem | 32  | 8                      |
| HiStar Network architecture internal bandwidth      | 1.6 or 3.2 GB/sec                         | 1.6 GB/sec             |

**Notes:**

1. The entire ACP pair domain must be the same RAID type. The RAID type is set when the ACP pair is installed. RAID-1 and RAID-5 can coexist in the 9900 subsystem, but must be on separate ACP pairs.
2. All hard disk drives (HDDs) in an array group (also called parity group) must be the same type. Please contact your Hitachi Data Systems representative for the latest information on available HDD types.
3. 3390-3 and 3390-3R LVIs cannot be intermixed in the same 9900 subsystem.

## 2.3 Array Frame

The 9960 array frames contain the physical disk drives, including the disk array groups and the dynamic spare disk drives. Each array frame has dual AC power plugs, which should be attached to two different power sources or power panels. The 9960 can be configured with up to six array frames to provide a storage capacity of up to 37 TB. The 9910 subsystem combines the controller and disk array components in one physical frame.

The 9900 subsystem uses three-inch disk drives with fixed-block-architecture (FBA) format. The currently available disk drives have capacities of 18 GB, 47 GB, and 73 GB. Disk drives of varying capacities can be attached to the same ACP pair, but all drives in an array group must have the same capacity. Table 2.3 provides the disk drive specifications.

Each disk drive can be replaced nondisruptively on site. The 9900 utilizes diagnostic techniques and background dynamic scrubbing that detect and correct disk errors. Dynamic sparing is invoked automatically if needed. For both RAID-5 and RAID-1 array groups, any spare disk drive can back up any other disk drive of the same capacity anywhere in the subsystem, even if the failed disk and the spare disk are in different array domains (attached to different ACP pairs). The 9960 can be configured with a minimum of one and a maximum of sixteen spare disk drives. The 9910 can be configured with a minimum of one and a maximum of four spare disk drives. The standard configuration provides one spare drive for type of drive installed in the subsystem. The Hi-Track<sup>®</sup> monitoring and reporting tool detects disk drive failures and notifies the Hitachi Data Systems Support Center automatically, and a service representative is sent to replace the disk drive.

**Note:** The spare disk drives are used only as replacements and are not included in the storage capacity ratings of the subsystem.

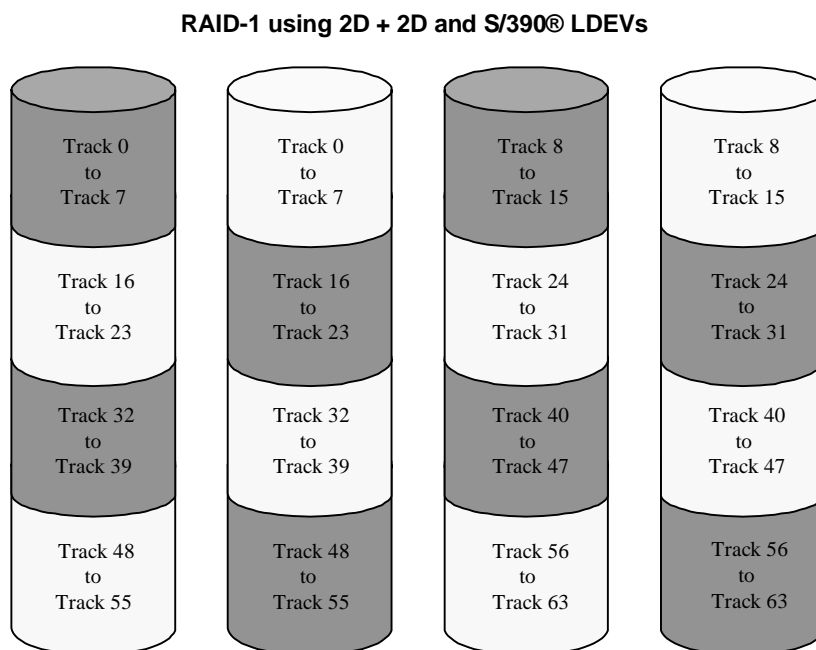
**Table 2.3 Disk Drive Specifications**

| Parameter   |      | Disk Drive Capacity |                |                |
|---|------|---------------------|----------------|----------------|
|   |      | 73 GB               | 47 GB          | 18 GB          |
| Formatted capacity (GB)                           |      | 72.914              | 47.191         | 18.463         |
| Platter diameter                                  |      | 3 inches            | 3 inches       | 3 inches       |
| Physical cylinders (user area)                    |      | 15,154              | 12,027         | 12,027         |
| Physical tracks per physical cylinder (user area) |      | 24                  | 23             | 9              |
| Physical disk platters (user area)                |      | 12                  | 12             | 5              |
| Sector length (byte)                              |      | 520(512)            | 520(512)       | 520(512)       |
| Seek time (ms)                                    | MIN. | 0.5/0.7             | 0.5/0.7        | 0.5/0.7        |
| (Read/Write)                                      | MAX. | 12.0/13.0           | 12.0/13.0      | 12.0/13.0      |
|   | AVE. | 5.7/6.5             | 5.7/6.5        | 5.2/6.0        |
| Revolution speed (rpm)                            |      | 10,025              | 10,025         | 10,025         |
| Mean latency time (ms)                            |      | 2.99                | 2.99           | 2.99           |
| Media transfer rate (MB/s)                        |      | 33.60 to 56.64      | 30.20 to 45.60 | 30.20 to 45.60 |
| Interface transfer rate (MB/s)                    |      | 100                 | 100            | 100            |

### 2.3.1 Disk Array Groups

The disk array group is the basic unit of storage capacity for the 9900. Each array group is attached to both ACPs of an ACP pair via eight fibre paths, which enables all disk drives in the array group to be accessed simultaneously by the ACP pair. All disk drives in an array group must have the same logical capacity. Each array frame has two canister mounts, and each canister mount can have up to 48 physical disk drives.

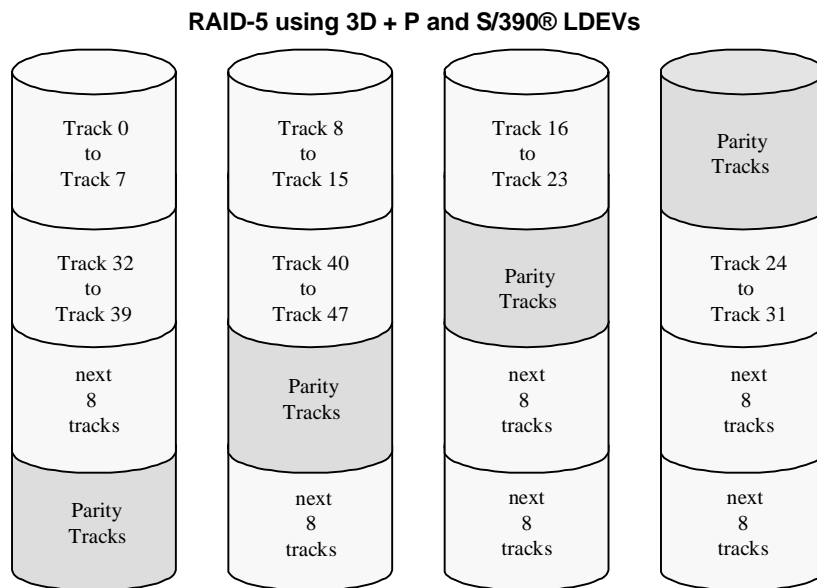
The 9900 supports both RAID-1 and RAID-5 array groups. Figure 2.5 illustrates a sample RAID-1 layout. A RAID-1 array group consists of two pair of disk drives in a mirrored configuration, regardless of disk drive capacity. Data is striped to two drives and mirrored to the other two drives. The stripe consists of two data chunks. The primary and secondary stripes are toggled back and forth across the physical disk drives for high performance. Each data chunk consists of either eight logical tracks (S/390®) or 768 logical blocks (open systems). A failure in a drive causes the corresponding mirrored drive to take over for the failed drive. Although the RAID-5 implementation is appropriate for many applications, the RAID-1 option on the all-open 9900 subsystem is ideal for workloads with low cache-hit ratios.



**Figure 2.5 Sample RAID-1 Layout**

A RAID-5 array group consists of four disk drives. The data is written across the four hard drives in a stripe that has three data chunks and one parity chunk. Each chunk contains either eight logical tracks (S/390®) or 768 logical blocks (open systems). The enhanced RAID-5+ implementation in the 9900 subsystem minimizes the write penalty incurred by standard RAID-5 implementations by keeping write data in cache until an entire stripe can be built and then writing the entire data stripe to the disk drives.

Figure 2.6 illustrates RAID-5 data stripes mapped over four physical drives. Data and parity are striped across each of the disk drives in the array group (hence the term “parity group”). The logical devices (LDEVs) are evenly dispersed in the array group, so that the performance of each LDEV within the array group is the same. Figure 2.6 also shows the parity chunks that are the “Exclusive OR” (EOR) of the data chunks. The parity and data chunks rotate after each stripe. The total data in each stripe is either 24 logical tracks (eight tracks per chunk) for S/390® data, or 2304 blocks (768 blocks per chunk) for open-systems data. Each of these array groups can be configured as either 3380-x, 3390-x, or OPEN-x logical devices. All LDEVs in the array group must be the same format (3380-x, 3390-x, or OPEN-x). For open systems, each LDEV is mapped to a SCSI address, so that it has a TID and logical unit number (LUN).



**Figure 2.6 Sample RAID-5 Layout (Data Plus Parity Stripe)**

### **2.3.2 Sequential Data Striping**

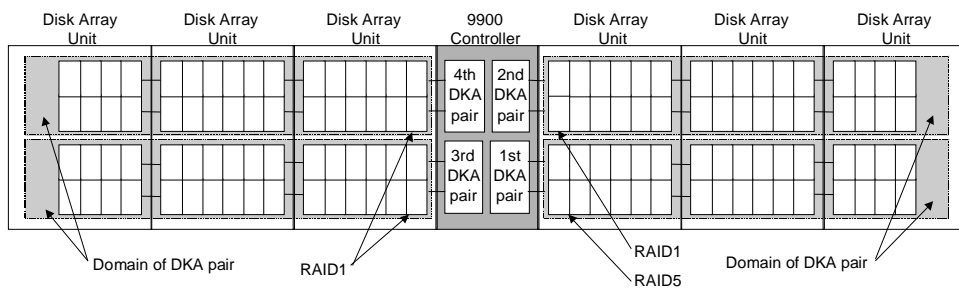
The 9900 subsystem's enhanced RAID-5+ implementation attempts to keep write data in cache until parity can be generated without referencing old parity or data. This capability to write entire data stripes, which is usually achieved only in sequential processing environments, minimizes the write penalty incurred by standard RAID-5 implementations. The device data and parity tracks are mapped to specific physical disk drive locations within each array group. Therefore, each track of an LDEV occupies the same relative physical location within each array group in the subsystem.



## 2.4 Intermix Configurations

### 2.4.1 RAID-1 & RAID-5 Intermix

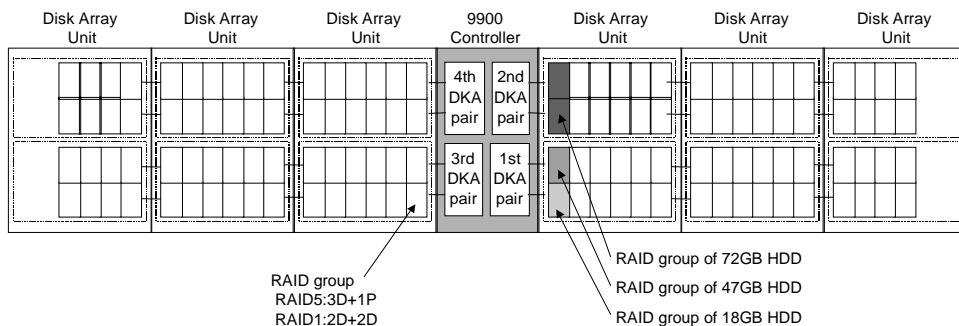
RAID technology provides full fault-tolerance capability for the disk drives of the 9900 subsystem. The 9900 supports RAID-1, RAID-5, and an intermix of RAID-1 and RAID-5 array groups. Figure 2.7 illustrates a RAID-1 and RAID-5 intermix configuration. The cache management algorithms (see section 3.3.1) enable the 9900 to stage up to one full RAID stripe of data into cache ahead of the current access to allow subsequent access to be satisfied from cache at host channel transfer speeds.



**Figure 2.7 Sample RAID-1/RAID-5 Intermix**

### 2.4.2 Hard Disk Drive Intermix

Array groups consisting of each type of hard disk drive can coexist anywhere in the 9900 subsystem. However, all hard disk drives in one array group must be of the same capacity and type. Figure 2.8 illustrates an intermix of hard disk drive types.



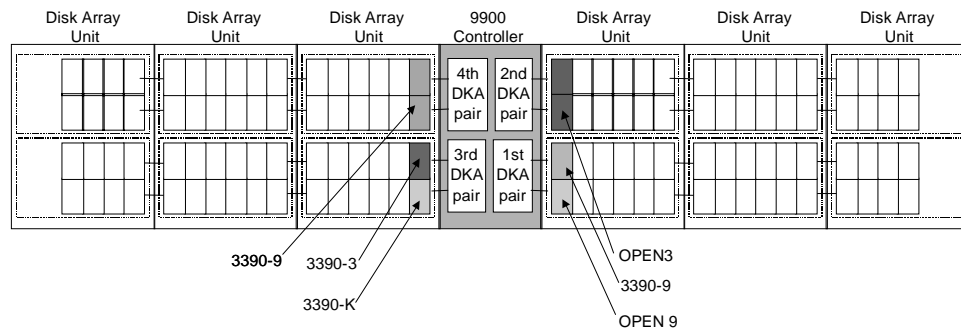
**Figure 2.8 Sample Hard Disk Drive Intermix**

### 2.4.3 Device Emulation Intermix

The 9900 subsystem supports an intermix of different device emulations (e.g., 3390-x LVIs, 3380-x LVIs, OPEN-x LUs) on the same ACP pair. Figure 2.9 illustrates an intermix of device emulation types. The only requirement is that the devices within each array group must have the same type of track geometry or format, as follows:

- 3390-1, -2, -3 or -9 can be intermixed within an array group.
- 3380-E, -J, or -K can be intermixed within an array group.
- OPEN-3, -8, and -9 can be intermixed within an array group.
- OPEN-K cannot be intermixed with other device types within an array group.

**Note:** For the latest information on supported LU types and intermix requirements, please contact your Hitachi Data Systems account team.



**Figure 2.9 Sample Device Emulation Intermix**

## 2.5 Service Processor (SVP)

The Lightning 9900™ subsystem includes a built-in laptop PC called the service processor (SVP). The SVP is integrated into the controller frame and can only be used by authorized Hitachi Data Systems personnel. The SVP enables the Hitachi Data Systems representative to configure, maintain, and upgrade the 9900 subsystem. The SVP also collects performance data for all key components of the 9900 subsystem to enable diagnostic testing and analysis. The Hitachi GRAPH-Track™ software product (see section 3.6.18) stores the SVP performance data on the Remote Console PC and allows users to view the data in graphical format and export the data for statistical analysis. **Note:** The SVP does not have access to any user data stored on the 9900 subsystem.

## 2.6 Remote Console PC

The Remote Console PC is LAN-attached to one or more 9900 subsystems via the 9900-internal LAN. The 9900 Remote Console PC runs the Windows® 98 operating system to provide a user-friendly interface for the 9900 remote console software products. The remote console software communicates directly with the SVP of each attached subsystem, enabling the user to view subsystem configuration information and issue commands directly to the 9900 subsystems. For further information on the Remote Console PC, please refer to the *Hitachi Freedom Storage™ Lightning 9900™ Remote Console User's Guide* (MK-90RD003).



# Chapter 3 Functional and Operational Characteristics

## 3.1 New 9900 Features and Capabilities

The Hitachi Lightning 9900™ subsystem offers the following new or improved features and capabilities which distinguish the 9900 subsystem from the 7700E subsystem:

- Sixteen (16) logical control unit images.
- State-of-the-art hard disk drives of 18-GB, 47-GB, and 73-GB capacities.
- Up to 32 GB cache memory for the 9960 subsystem and 16 GB cache for the 9910.
- Up to 256 logical paths per ExSA™ (ESCON®) channel interface.
- Up to 4096 device addresses.
- Prioritized port control for open-system users.

## 3.2 I/O Operations

The 9900 I/O operations are classified into three types based on cache usage:

- **Read hit:** For a read I/O, when the requested data is already in cache, the operation is classified as a read hit. The CHIP searches the cache directory, determines that the data is in cache, and immediately transfers the data to the host at the channel transfer rate.
- **Read miss:** For a read I/O, when the requested data is not currently in cache, the operation is classified as a read miss. The CHIP searches the cache directory, determines that the data is not in cache, disconnects from the host, creates space in cache, updates the cache directory, and requests the data from the appropriate ACP pair. The ACP pair stages the appropriate amount of data into cache, depending on the type of read I/O (e.g., sequential).
- **Fast write:** All write I/Os to the 9900 subsystem are fast writes, because all write data is written to cache before being destaged to disk. The data is stored in two cache locations on separate power boundaries in the dynamic duplex cache (see section 3.3.2). As soon as the write I/O has been written to cache, the 9900 subsystem notifies the host that the I/O operation is complete, and then destages the data to disk.

## 3.3 Cache Management

### 3.3.1 Algorithms for Cache Control

The 9900 subsystem places all read and write data in cache, and 100% of cache memory is available for read operations. The amount of fast-write data in cache is dynamically managed by the cache control algorithms to provide the optimum amount of read and write cache, depending on the workload read and write I/O characteristics.

The algorithms for internal cache control used by the 9900 include the following:

- **Hitachi Data Systems Intelligent Learning Algorithm.** The Hitachi Data Systems Intelligent Learning Algorithm identifies random and sequential data access patterns and selects the amount of data to be “staged” (read from disk into cache). The amount of data staged can be a record, partial track, full track, or even multiple tracks, depending on the data access patterns.
- **Least-recently-used (LRU) algorithm (modified).** When a read hit or write I/O occurs in a nonsequential operation, the least-recently-used (LRU) algorithm marks the cache segment as most recently used and promotes it to the top of the appropriate LRU list. In a sequential write operation, the data is destaged by priority, so the cache segment marked as least-recently used is immediately available for reallocation, since this data is not normally accessed again soon.
- **Sequential prefetch algorithm.** The sequential prefetch algorithm is used for sequential-access commands or access patterns identified as sequential by the Intelligent Learning Algorithm. The sequential prefetch algorithm directs the ACPs to prefetch up to one full RAID stripe (24 tracks) to cache ahead of the current access. This allows subsequent access to the sequential data to be satisfied from cache at host channel transfer speeds.

**Note:** The 9900 subsystem supports S/390® extended count key data (ECKD) commands for specifying cache functions.

### 3.3.2 Dynamic Duplex Cache

The duplex write cache is the area of cache that is dynamically allocated for write operations. The duplex write line (DWL) is the amount of duplex write cache expressed as a percentage of total cache. The amount of fast-write data stored in cache is dynamically managed by the cache control algorithms to provide the optimum amount of read and write cache based on workload I/O characteristics.

**Note:** If the DWL limit is reached, the 9900 sends DASD fast-write delay or retry indications to the host until the appropriate amount of data can be destaged from cache to the disks to make more cache slots available.

## **3.4 Control Unit (CU) Images, LVIs, and LUs**

### **3.4.1 CU Images**

The 9900 subsystem supports the following logical CU images (emulation types): 3990-3 and 3990-6E. The 9900 subsystem is configured with one logical CU image for each 256 devices (one storage subsystem ID (SSID) for each 64 or 256 devices) to provide a maximum of sixteen CU images per subsystem. The S/390® data management features of the 9900 subsystem may have restrictions on CU image compatibility. For further information on CU image support, please contact your Hitachi Data Systems account team.

### **3.4.2 Logical Volume Image (LVIs)**

The 9900 subsystem supports the following S/390® LVI types: 3390-1, -2, -3, -3R, and -9, and 3380-E, -J, and -K. The LVI configuration of the subsystem depends on the RAID implementation and physical disk drive capacities. See section 4.1 for further information on LVI configurations.

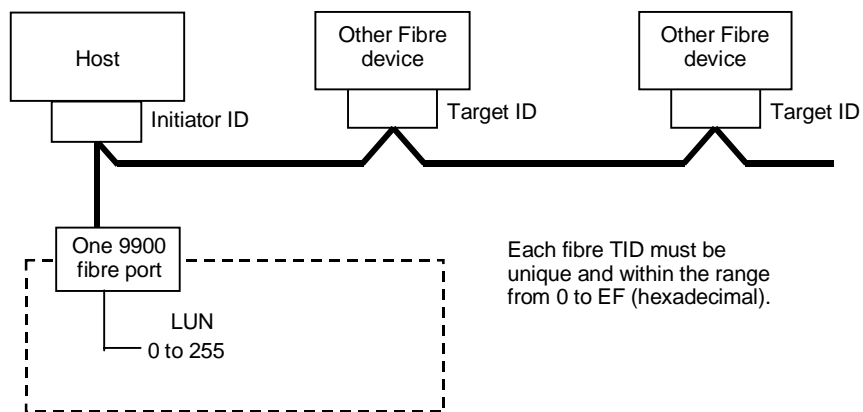
### **3.4.3 Logical Unit (LU) Type**

The 9900 subsystem currently supports the following LU types: OPEN-3, OPEN-8, OPEN-K, OPEN-9, OPEN-E, OPEN-L, and OPEN-M (OPEN-L and OPEN-M are supported only for Windows® 2000 operations). Table 3.1 lists the capacities for each standard LU type. The 9900 also allows users to configure custom-size LUs which are smaller than standard LUs as well as size-expanded LUs which are larger than standard LUs. LU Size Expansion (LUSE) volumes can range in size from 3.748 (OPEN-K\*2) to 524.448 GB (OPEN-E\*36). Each LU is identified by fibre-channel port ID (target ID) and LU number (LUN) (see Figure 3.1). Each 9900 fibre-channel port supports addressing capabilities for up to 256 LUNs.

**Table 3.1 Capacities of Standard LU Types**

| LU Type | Capacity (GB) |
|---------|---------------|
| OPEN-K  | 1.874         |
| OPEN-3  | 2.461         |
| OPEN-8  | 7.347         |
| OPEN-9  | 7.384         |
| OPEN-E  | 14.567        |

**Note:** For information on the OPEN-L and OPEN-M LUs for Windows® 2000 operations, please contact Hitachi Data Systems.



**Figure 3.1 Fibre Target IDs and LUNs**



## **3.5 Open Systems Features and Functions**

The 9900 subsystem offers many features and functions specifically for the open-systems environment. The 9900 supports multi-initiator I/O configurations in which multiple host systems are attached to the same fibre-channel interface. The 9900 subsystem also supports important open-system functions such as fibre-channel arbitrated-loop (FC-AL) and fabric topologies, command tag queuing, multi-initiator I/O, and industry-standard middleware products which provide application and host failover, I/O path failover, and logical volume management functions. In addition, several program products and services are specifically for open systems. See section 3.6 for more information.

### **3.5.1 Failover and SNMP Support**

The 9900 subsystem supports industry-standard products and functions which provide host and/or application failover, I/O path failover, and logical volume management (LVM) (e.g., HP® MC/ServiceGuard, VERITAS® FirstWatch®, HACMP, HAGEO, IRIS FailSafe™, SGI™ Advanced Cluster Environment (ACE), Microsoft Cluster Server, Novell® High Availability Server (NHAS), Novell® System Fault Tolerance (SFT) III). For the latest information on middleware releases, availability, and compatibility, please contact your Hitachi Data Systems account team.

The 9900 subsystem also supports the industry-standard simple network management protocol (SNMP) for remote subsystem management from the UNIX®/PC server host. SNMP is used to transport management information between the 9900 subsystem and the SNMP manager on the host. The SNMP agent for the 9900 subsystem sends status information to the host(s) when requested by the host or when a significant event occurs.

### **3.5.2 Share-Everything Architecture**

The 9900 subsystem's global cache provides a "share-everything" architecture that enables any fibre-channel port to have access to any LU in the subsystem. In the 9900, each LU can be assigned to multiple fibre-channel ports to provide I/O path failover and/or load balancing (with the appropriate middleware support) without sacrificing cache coherency. The LUN mapping can be performed by the user using the LUN Manager remote console software, or by your Hitachi Data Systems representative (this is a fee-based configuration service).

## 3.6 Data Management Features and Functions

The 9900 subsystem provides features and functions that increase data availability and improve data management. Table 3.2 lists the data management features that are currently available for the 9900 subsystem. Please review the appropriate user's guide for more details.

### 3.6.1 Hitachi Remote Copy (HRC)

The HRC feature enables S/390® users to perform synchronous and asynchronous remote copy operations between 9900 subsystems. HRC can be used to maintain copies of data for backup or duplication purposes. Once established, HRC operations continue unattended and provide continuous, real-time data backup. Remote copy operations are nondisruptive and allow the primary HRC volumes to remain online to all hosts for both read and write I/O operations.

For more information, please see *Hitachi Freedom Storage™ Lightning 9900™ HRC User and Reference Guide* (MK90RD009), or contact your Hitachi Data Systems account team.

### 3.6.2 Hitachi Open Remote Copy (HORC)

The HORC feature enables open-system users to perform synchronous and asynchronous remote copy operations between 9900 subsystems. The user can create, split, and resynchronize LU pairs. HORC also supports a “takeover” command for remote host takeover (with the appropriate middleware support). Once established, HORC operations continue unattended and provide continuous, real-time data backup. Remote copy operations are nondisruptive and allow the primary HORC volumes to remain online to all hosts for both read and write I/O operations.

For more information, see *Hitachi Freedom Storage™ Lightning 9900™ Open Remote Copy (HORC) User's Guide* (MK90RD010), or contact your Hitachi Data Systems account team.

### 3.6.3 ShadowImage for S/390® Data (HMRCF)

The Hitachi ShadowImage feature, also called Hitachi Multiple RAID Coupling Feature (HMRCF), enables S/390® users to create high-performance copies of source LVIs for testing or modification while benefiting from full RAID protection for the ShadowImage copies. The ShadowImage copies can be available to the same or different logical partitions (LPARs) as the original volumes for read and write I/Os. ShadowImage allows the user to create up to three copies of a single source LVI and perform updates in either direction, either from the source LVI to the ShadowImage copy or from the ShadowImage copy back to the source LVI. When used in conjunction with either HRC or HXRC, ShadowImage enables users to maintain multiple copies of critical data at both primary and remote sites. ShadowImage also supports the Virtual LVI and FlashAccess features of the 9900 subsystem, ensuring that all user data can be duplicated by ShadowImage operations.

For more information, please see *Hitachi Freedom Storage™ Lightning 9900™ ShadowImage (HMRCF) User's Guide* (MK-90RD012), or contact your Hitachi Data Systems account team.

**Table 3.2 Data Management Features and Functions**

| Feature Name  | Controlled by Remote Console? | Controlled by Host OS? | Licensed Software Product? | User Document(s)  |
|---|-------------------------------|------------------------|----------------------------|---|
| Hitachi Remote Copy (Synchronous and Asynchronous) (section 3.6.1)      | Yes                           | Yes                    | Yes                        | MK-90RD009  |
| Hitachi Extended Remote Copy (HXRC) (section 3.6.6)                     | No                            | Yes                    | No                         | <i>Planning for IBM Remote Copy (SG24-2595), DFSMS MVS V1 Remote Copy Guide and Reference (SC35-0169)</i> |
| Hitachi Open Remote Copy (Synchronous and Asynchronous) (section 3.6.2) | Yes                           | Yes                    | Yes                        | MK-90RD010  |
| Hitachi ShadowImage (HMRCF) (section 3.6.3)                             | Yes                           | Yes                    | Yes                        | MK-90RD012  |
| Hitachi Open ShadowImage (HOMRCF) (section 3.6.4)                       | Yes                           | Yes                    | Yes                        | MK-90RD031  |
| Hitachi Command Control Interface (section 3.6.5)                       | No                            | Yes                    | Yes                        | MK-90RD003  |
| Hitachi Online Data Migration (HODM) (section 3.6.6)                    | Yes                           | No                     | No                         | Service Offering Only   |
| Hitachi Dynamic Optimizer (HIHSM) (section 3.6.8)                       | Yes                           | No                     | Yes                        | MK-90RD007  |
| FlashAccess (DCR) (section 3.6.6)                                       | Yes                           | Yes*                   | Yes                        | MK-90RD004  |
| Virtual LV/ILUN (CVS) (section 3.6.10)                                  | Yes                           | No                     | Yes                        | MK-90RD005  |
| LUN Manager and LU Size Expansion (section 3.6.10)                      | Yes                           | No                     | Yes                        | MK-90RD006  |
| Zone Allocation Manager (LUN Security) (section 3.6.12)                 | Yes                           | No                     | Yes                        | MK-90RD006  |
| LDEV Security (section 3.6.13)  | Yes                           | No                     | Yes                        | MK-90RD036  |
| Prioritized Port Control (section 3.6.14)                               | Yes                           | No                     | Yes                        | MK-90RD030  |
| Hitachi Multiplatform Data Exchange (HMDE) (section 3.6.15)             | No                            | Yes                    | Yes                        | MK-90RD020  |
| Hitachi Multiplatform Backup/Restore (HMBR) (section 3.6.14)            | No                            | Yes                    | Yes                        | MK-90RD037  |
| HARBOR File-Level Backup and Restore (section 3.6.17)                   | No                            | No                     | No                         | Service Offering Only   |
| Hitachi GRAPH-Track™ (section 3.6.18)                                   | Yes                           | No                     | Yes                        | MK-90RD032  |

\* Contact your Hitachi Data Systems account team for the latest information on availability.

### 3.6.4 Open ShadowImage (HOMRCF)

The Hitachi Open ShadowImage feature of the Lightning 9900™ subsystem enables open-system users to maintain subsystem-internal copies of LUs for purposes such as data backup or data duplication. The RAID-protected duplicate LUs (up to nine) are created within the same 9900 subsystem as the primary LU at hardware speeds. Once established, Open ShadowImage operations continue unattended to provide asynchronous internal data backup. Open ShadowImage operations are nondisruptive; the primary LU of each Open ShadowImage pair remains available to all hosts for both read and write operations during normal operations. Usability is further enhanced through a resynchronization capability that reduces data duplication requirements and backup time, thereby increasing user productivity. Hitachi Open ShadowImage also supports reverse resynchronization for maximum flexibility.

Open ShadowImage operations can be performed in conjunction with Hitachi Open Remote Copy (HORC) operations (see section 3.6.2) to provide multiple copies of critical data at both primary and remote sites. Open ShadowImage also supports the Virtual LUN and FlashAccess features of the 9900 subsystem, ensuring that all user data can be duplicated by Open ShadowImage operations.

For further information, please see *Hitachi Freedom Storage™ Lightning 9900™ Open ShadowImage (HOMRCF) User's Guide* (MK90RD031), or contact your Hitachi Data Systems account team.

### 3.6.5 Command Control Interface (CCI)

The Hitachi Command Control Interface (CCI) software product enables users to perform Hitachi Open Remote Copy (HORC) and Hitachi Open ShadowImage (HOMRCF) operations on the Hitachi Lightning 9900™ subsystem by issuing commands from the UNIX®/PC server host to the 9900 subsystem. The CCI software interfaces with the system software and high-availability (HA) software on the UNIX®/PC server host as well as the HORC/HOMRCF software on the 9900 subsystem. The CCI software provides failover and other functions such as backup commands to allow mutual hot standby in cooperation with the failover product on the UNIX®/PC server (e.g., MC/ServiceGuard®, FirstWatch®, HACMP).

CCI also supports a scripting function that allows you to define multiple HORC/HOMRCF operations in a script (text) file. Using CCI scripting, you can set up and execute a large number of HORC/HOMRCF commands in a short period of time while integrating host-based high-availability control over remote copy operations.

For further information on CCI, see the *Hitachi Freedom Storage™ Lightning 9900™ Subsystem Command Control Interface (CCI) User and Reference Guide* (MK-90RD011), or contact your Hitachi Data Systems account team.

### 3.6.6 Hitachi Extended Remote Copy (HXRC)

The HXRC asynchronous remote copy feature of the 9900 subsystem is functionally compatible with IBM Extended Remote Copy (XRC). HXRC provides asynchronous remote copy operations for maintaining duplicate copies of S/390® data for data backup purposes. Once established, HXRC operations continue unattended to provide continuous data backup. HXRC operations are nondisruptive and allow the primary HXRC volumes to remain online to the host(s) for both read and write I/O operations. For HXRC operations, there is no distance limit between the primary and remote disk subsystems. HXRC is also compatible with the DFSMS data mover that is common to the XRC environment.

HXRC operations are performed in the same manner as XRC operations. The user issues standard XRC TSO commands from the mainframe host system console directly to the 9900 subsystem. The Remote Console PC is not used to perform HXRC operations. HXRC can be used as an alternative to HRC for mainframe data backup and disaster recovery planning. However, HXRC requires host processor resources that may be significant for volumes with high-write activity. The Data Mover utility may run in either the primary host or the optional remote host. For further information on XRC, please refer to the following IBM publications: *Planning for IBM Remote Copy* (SG24-2595), and *Remote Copy Administrator's Guide and Reference* (SC35-0169).

### 3.6.7 Hitachi Online Data Migration (HODM)

The HODM feature is used to migrate data onto the 9900 subsystem from other disk array subsystems. HODM supports migration from older Hitachi subsystems as well as from several non-Hitachi subsystems. HODM can be used to move data to a new location either temporarily or as part of a data process. HODM allows the data being migrated to be online to the host(s) for both read and write I/O operations during HODM migration operations.

HODM is available a Hitachi Data Systems service offering. For further details, please contact your Hitachi Data Systems account team.

### 3.6.8 Dynamic Optimizer (HIHSM)

The Dynamic Optimizer feature enables users to optimize data storage and retrieval on the 9900 subsystem. Dynamic Optimizer analyzes detailed information on the usage of 9900 subsystem resources and tunes the 9900 automatically by migrating logical volumes within the subsystem according to detailed user-specified parameters. Dynamic Optimizer tuning operations can be used to resolve bottlenecks of activity and optimize volume allocation. Dynamic Optimizer operations are completely nondisruptive, so that the data being migrated can remain online to all hosts for read and write I/O operations throughout the entire volume migration process. Dynamic Optimizer also supports manual volume migration operations and can estimate performance improvements prior to migration to assist users in tuning the 9900 subsystem for your operational environment.

Dynamic Optimizer provides the following major benefits for the user:

- **Load balancing of subsystem resources.** Balancing resource utilization can significantly improve 9900 subsystem performance. The data provided by Dynamic Optimizer enables users to optimize several areas of performance, including front-end and back-end processor usage as well as the allocation of logical devices to physical drives and RAID level.
- **Optimizing disk drive access patterns.** Dynamic Optimizer collects and analyzes detailed information on disk drive access patterns and can migrate volumes to optimize host access to the data stored on the 9900 subsystem. For example, RAID-1 technology may provide better performance than RAID-5 under certain operational conditions, and one disk drive type may provide better performance than another for certain types of access. The Dynamic Optimizer feature enables users to fine-tune the logical volume allocation of the 9900 subsystem to optimize host access to data.

Dynamic Optimizer operations take into account the RAID level and physical HDD performance of each array group, enabling reallocation of logical volumes and optimization with respect to both RAID level and HDD type. The proper combination of RAID level and HDD type for the logical volumes can significantly improve 9900 subsystem performance for the user's operational environment.

In addition to RAID level and HDD type, Dynamic Optimizer bases its migration plans on logical device usage, back-end path usage, and disk drive access patterns. Dynamic Optimizer also applies detailed user-specified criteria, including maximum disk utilization for each class of installed HDD, the range of data, which parity drives will be included, and the schedule of automatic migration operations.

For further information on the Dynamic Optimizer feature, please see *Hitachi Freedom Storage™ Lightning 9900™ Dynamic Optimizer (HIHSM) User's Guide* (MK90RD007), or contact your Hitachi Data Systems account team.

### 3.6.9 FlashAccess (DCR)

The FlashAccess feature of the 9900 subsystem (also called Dynamic Cache Residency, DCR), allows users to store specific data in cache memory. FlashAccess increases the data access speed for the cache-resident data by enabling read and write I/Os to be performed at front-end host data transfer speeds. The FlashAccess cache areas (called cache extents) have the following parameters:

- They are dynamic and can be added and deleted at any time.
- The 9900 supports a maximum of 1024 addressable cache extents per LDEV, and up to 1,024 cache extents per subsystem.
- All write I/Os to FlashAccess data are duplex writes, guaranteeing full data integrity. The data remains fixed in cache until the user manually deletes it. Deletion of FlashAccess extents de-stages any write data to the affected logical device(s).
- FlashAccess operations support both open-system LUs (e.g., OPEN-3, -8, -9) and S/390® LVIs (e.g., 3380-E/J/K, 3390-1/2/3/3R/9). DCR also supports LUSE and Virtual LVI/LUN volumes. Use of FlashAccess in conjunction with Virtual LVI/LUN volumes will achieve better performance improvements than when either of these options is used individually.
  - For S/390® LVIs, FlashAccess cache extents must be defined on contiguous tracks, with a minimum size of one cache slot (or track) and a maximum size of one LDEV. If a user needs an entire LVI in FlashAccess, using a small Virtual LVI volume uses less cache. For more information on Virtual LVI/LUN, please see *Hitachi Freedom Storage™ Lightning 9900™ Virtual LVI/LUN User's Guide* (MK-90RD005).
  - For open-system LUs, FlashAccess cache extents must be defined in logical blocks using logical block addresses (LBAs), with a minimum size of 96 LBAs. However, most users will assign the entire LU for FlashAccess. In this case using a small Virtual LUN device uses less cache.

For further information on FlashAccess, see *Hitachi Freedom Storage™ Lightning 9900™ FlashAccess (DCR) User's Guide* (MK-90RD004), or contact your Hitachi Data Systems account team.

### 3.6.10 LUN Manager and LU Size Expansion

The LUN Manager feature enables you to set and define the port modes for Fibre channel ports and to set the fibre topology (point to point, FC-AL or fabric). Please connect your Hitachi Data Account team for further details on this feature.

The LUSE (LU Size Expansion) feature allows users to create virtual LUs that are larger than standard OPEN LUs, by expanding the size of a selected LU up to 36 times its normal size. The maximum size depends on the type of configuration. For example, you can expand an OPEN-9 LU to a maximum size of 265 GB ( $7.3 \text{ GB} \times 36$ ). This capability enables open-system hosts to access the data on the entire 9900 subsystem using fewer logical units. LUSE allows host operating systems that have restrictions on the number of LUNs per interface to access larger amounts of data.

### 3.6.11 Virtual LVI/LUN (CVS)

The Virtual LVI/LUN feature, also called Custom Volume Size (CVS), allows users to convert fixed-size volumes into several smaller variable custom-sized volumes. Using the Remote Console PC, users can configure custom-size volumes by assigning a logical address and a specific number of cylinders/tracks (S/390®) or MB (open systems) to each custom LVI/LU.

Virtual LVI/LUN improves data access performance by reducing logical device contention as well as host I/O queue times, which can occur when several frequently accessed files are located on a single volume. Multiple LVI/LU types can be configured within each array group. Virtual LVI/LUN enables the user to more fully utilize the physical storage capacity of the 9900, while reducing the amount of administrative effort required to balance I/O workloads. When Virtual LVI/LUN is used in conjunction with FlashAccess, the user can achieve even better data access performance than when either Virtual LVI or FlashAccess is used alone.

For more information, please see *Hitachi Freedom Storage™ Lightning 9900™ Virtual LVI/LUN (CVS) User's Guide* (MK90RD005), or contact your Hitachi Data Systems account team.

For more information, please see *Hitachi Freedom Storage™ Lightning 9900™ LUN Manager User's Guide* (MK90RD006), or contact your Hitachi Data Systems account team.



### 3.6.12 Zone Allocation Manager (LUN Security)

The Zone Allocation Manager feature of the 9900 subsystem allows you to restrict LU accessibility to an open-systems host using open-systems host's World Wide Name (WWN). You can set a LU to communicate only with one or more specified WWNs, allowing you to limit access to that LU to specified open-system host(s). This feature prevents other open-systems hosts from either seeing the secured LU or accessing the data contained on it. The LUN Security software for the 9900 Remote Console PC enables you to configure Zone Allocation Manager operations on the 9900 subsystem.

LUN Security can be activated on any installed fibre channel port, and be turned on or off at the port level. If you disable LUN Security on a particular port, that LU will not be restricted to a particular host or group of hosts. If you enable LUN Security on a particular port, that port will be restricted to a particular host or group of hosts. You can assign a WWN to as many ports as you want and you can assign more than one WWN to each port. You can also change the WWN access for any port without disrupting the settings of that port.

Because up to 128 WWNs can access each port and the same WWNs may go to additional ports in the same subsystem, the LUN Security software allows you to create LU and WWN groups, so you can more easily manage your 9900 storage subsystem. A LU group allows you to assign specified LUs to a single group name. A WWN group allows you to assign up to 128 WWNs to a single group. A WWN group gives every host in the specified WWN group access to the specified LU or group of LUs.

For more information, please see *Hitachi Freedom Storage™ Lightning 9900™ LUN Manager User's Guide* (MK90RD006), or contact your Hitachi Data Systems account team.

### 3.6.13 LDEV Security

The LDEV Security feature of the Hitachi Freedom Storage™ 7700E subsystem allows you to restrict S/390® host access to the logical devices (LDEVs) on the 7700E subsystem. Each LDEV can be set to communicate only with user-selected host(s). The LDEV Security feature prevents other hosts from seeing the secured LDEV and from accessing the data contained on the secured LDEV. The licensed LDEV Security software on the 7700E Remote Console PC displays the LDEV Security information and allows you to perform LDEV Security operations.

For more information, please see *Hitachi Freedom Storage™ Lightning 9900™ LDEV Security User's Guide* (MK-90RD036), or contact your Hitachi Data Systems account team.

### 3.6.14 Prioritized Port Control (PPC)

The Prioritized Port Control (PPC) feature allows open-system users to designate prioritized ports (e.g., for production servers) and non-prioritized ports (e.g., for development servers) and set thresholds and upper limits for the I/O activity of these ports. PPC enables users to tune the performance of the development server without affecting the production server's performance.

### 3.6.15 Hitachi Multiplatform Data Exchange (HMDE)

The Hitachi Multiplatform Data Exchange (HMDE) feature enables the user to transfer data between S/390® and open-system platforms using the ExSA™ channels. HMDE enables high-speed data transfer without requiring network communication links or tape. Data transfer is performed via the HMDE volumes, which are shared devices that appear to the S/390® host as 3390-3 or 3380-K LVIs and to the open-system host as OPEN-3 or OPEN-K LUs. To provide the greatest platform flexibility for data transfer, the HMDE volumes are accessed from the open-system host using SCSI raw device mode.

HMDE allows the open-system host to read from and write to S/390® sequential datasets using the HMDE volumes. The HMDE volumes must be formatted as 3390-3A/B/C or 3380-KA/B/C LVIs. The -A LVIs can be used for open-to-mainframe and/or mainframe-to-open HMDE, the -B LVIs are used for mainframe-to-open HMDE, and the -C LVIs are used for open-to-mainframe HMDE. HMDE also supports an OPEN-3-HMDE device to provide open-to-open HMDE operations for all-open 9900 subsystems.

The HMRS feature and the HMDE software enable the open-system host to read from and write to individual S/390® datasets. The HMDE software is installed on the open-system host and includes the File Conversion Utility (FCU) and the File Access Library (FAL). FCU allows the user to set up and perform file conversion operations between S/390® sequential datasets and open-system flat files. The FAL is a library of C-language functions that allows open-system programmers to read from and write to S/390® sequential datasets on the HMDE volumes. For further information on HMDE, please refer to the *Hitachi Multiplatform Data Exchange (HMDE) User's Guide* (MK-90RD020), or contact your Hitachi Data Systems account team.

### 3.6.16 Hitachi Multiplatform Backup/Restore (HMBR)

The HMBR feature allows the user to implement mainframe-based backup procedures and standards for the open-system data stored on the multiplatform 9900 subsystem. HMBR enables standard mainframe backup/restore utilities such as DFDSS, Fast Dump/Restore (FDR), and VSE FASTWRITE to perform volume-level backup and restore operations on OPEN-3 and OPEN-9 LUNs. Using these mainframe-based utilities as well as mainframe-based media and high-speed backup devices, the user can use the same procedures and achieve the same standards for both mainframe and open-system backup/restore operations. Before HMBR operations can begin, an offline utility such as ICKDSF must be used to create a volume table of contents (VTOC) to enable the mainframe host to use the OPEN-x LUs as mainframe volumes, which contain a single file. HMBR supports only full-volume backup/restore operations.

For further information on HMBR, see *Hitachi Freedom Storage™ Lightning 9900™ Hitachi Multiplatform Backup/Restore User's Guide* (MK-90RD037), or contact your Hitachi Data Systems account team.

### 3.6.17 HARBOR File-Level Backup/Restore

The HARBOR File-Level Backup/Restore multiplatform feature of the 9900 subsystem features an integrated architecture and includes:

- A host component on MVS,
- Integrated clients for desktops and servers,
- LAN-based distributed storage servers,
- High-speed HMDE file-level backup of open-system data, and
- Transparent network support.

**Note:** For further information on HARBOR File-Level Backup/Restore, please contact your Hitachi Data Systems account team.

### 3.6.18 Hitachi GRAPH-Track

Hitachi GRAPH-Track™ is the performance and usage monitoring utility for the 9900 subsystem. Hitachi GRAPH-Track™ runs on the Remote Console PC and can monitor as many as eight subsystems on the 9900-internal LAN. Hitachi GRAPH-Track™ monitors the hardware performance, cache usage, and I/O statistics of the attached subsystems and displays real-time and historical data as graphs that highlight key information such as peaks and trends. Hitachi GRAPH-Track™ displays the following data for each attached subsystem:

- Subsystem configuration, including controller name, serial number, controller emulation, channel address(s), cache size, SSIDs, and current duplex write line (DWL).
- LDEV configuration, including total storage capacity and RAID implementation for each array domain; hard disk drive capacity, LDEV type (e.g., 3390-3R, OPEN-3), and LDEV IDs for each array group.
- Subsystem usage, including percent busy versus time for the front-end microprocessors (called CHiPs) and back-end microprocessors (called ACPs).
- Cache statistics, including amount of cache in use, and amount of write-pending data in cache.
- I/O statistics at the subsystem, array group, and logical device levels: I/O rates, read/write ratio, read hits and write hits, and backend transfer rates (drive-to-cache and cache-to-drive I/O rates).

In addition to displaying performance and usage data, Hitachi GRAPH-Track™ manages the storage of the GRAPH-Track data automatically according to user-specified preferences and allows the user to perform the following important functions:

- Starting and stopping subsystem and LDEV data collection for each subsystem.
- Setting the GT data sampling rate for each subsystem (one, three, five, or fifteen minutes).
- Exporting GRAPH-Track data for use in reports or in other data analysis programs.
- Purging (deleting) selected GRAPH-Track data from the GT database.
- Configuring the GRAPH-Track database manager and automatic purge scheduler to control the contents and size of the GT database.

For further information and instructions on using Hitachi GRAPH-Track, please contact your Hitachi Data Systems account team.

## Chapter 4 Configuring and Using the 9900 Subsystem

### 4.1 S/390® Configuration

The first step in 9900 configuration is to define the subsystem to the S/390® host(s). The three basic areas requiring definition are: SSIDs, hardware definitions (including I/O Configuration Program [IOCP] or Hardware Configuration Definition [HCD]), and operating system definitions (HCD or OS commands).

**Note:** The missing interrupt handler (MIH) value for the 9900 subsystem is 45 seconds without HRC or HODM, 60 seconds when HRC operations are in progress, and 120 seconds when HODM operations are in progress.

#### 4.1.1 Subsystem IDs (SSIDs)

Subsystem IDs (SSIDs) are used for reporting information from the CU (or controller) to the operating system. The SSIDs are assigned by the user and must be unique to all connected host operating environments. Each group of 64 or 256 volumes requires one SSID, so there are one or four SSIDs per CU image. The first (lowest) SSID for each CU image must be divisible by four. The user-specified SSIDs are assigned during subsystem installation, and the 9900 Remote Console PC can also be used to assign and change SSIDs. Table 4.1 lists the SSID requirements.

**Table 4.1 SSID Requirements**

| Controller Emulation | SSID Requirements | LVI Support                       |
|----------------------|-------------------|-----------------------------------|
| 3990-3               | 0004 - 00fd       | 3380-x, 3390-x, OPEN-K,3,8,9 LVIs |
| 3990-6 and 3990-6E   | 0004 - fffd       | 3390-x, OPEN-3,8,9 LVIs           |

## 4.2 S/390® Hardware Definition

### 4.2.1 Hardware Definition Using IOCP (MVS, VM, or VSE)

The I/O Configuration Program (IOCP) can be used to define the 9900 subsystem in MVS, VM, and VSE environments (wherever HCD cannot be used). To define a 9900 subsystem with 64 LVIs or fewer, use the same procedure as for an IBM 3990-3, 3990-6, or 3990-6E subsystem. Figure 4.1 shows IOCP hardware definition for a 9900 configured with ExSA™ (ESCON®) channels and 256 LVIs. Figure 4.2 and Figure 4.3 show IOCP hardware definition for a 9900 configured with 1024 LVIs and four CU images. The CUADD parameter defines the CU images by number (0-3).

**Note:** The 9900 subsystem supports up to 16 CU Images (CU 0-F) and 4096 LDEVs. Each CU image can hold up to 256 LDEV addresses.

```
CHPID PATH=( (2A,2B,2C,2D,3A,3B,3C,3D) ), TYPE=CNC
CNTLUNIT CUNUMBR=F00, ( PATH=2A,2B,2C,2D ), UNIT=3990, UNITADD=( (00,256) )
CNTLUNIT CUNUMBR=F01, ( PATH=3A,3B,3C,3D ), UNIT=3990, UNITADD=( (00,256) )
IODEVICE ADDRESS=(100,256), CUNUMBR=(F00,F01), UNIT=3390, FEATURE=(ALCTRL,SHARED)
```

**Figure 4.1 IOCP Definition for ExSA (ESCON®) Channels and 256 LVIs**

```
CHPID PATH=A0, TYPE=CNC, PARTITION=( LPA1,REC)
CHPID PATH=A1, TYPE=CNC, PARTITION=( LPA1,REC)
CHPID PATH=A2, TYPE=CNC, PARTITION=( LPA1,REC)
CHPID PATH=A3, TYPE=CNC, PARTITION=( LPA1,REC)
CNTLUNIT CUNUMBR=100, PATH=(A0,A1), UNITADD=( (00,256) ), CUADD=0, UNIT=3990
CNTLUNIT CUNUMBR=110, PATH=(A2,A3), UNITADD=( (00,256) ), CUADD=0, UNIT=3990
CNTLUNIT CUNUMBR=101, PATH=(A0,A1), UNITADD=( (00,256) ), CUADD=1, UNIT=3990
CNTLUNIT CUNUMBR=111, PATH=(A2,A3), UNITADD=( (00,256) ), CUADD=1, UNIT=3990
CNTLUNIT CUNUMBR=102, PATH=(A0,A1), UNITADD=( (00,256) ), CUADD=2, UNIT=3990
CNTLUNIT CUNUMBR=112, PATH=(A2,A3), UNITADD=( (00,256) ), CUADD=2, UNIT=3990
CNTLUNIT CUNUMBR=103, PATH=(A0,A1), UNITADD=( (00,256) ), CUADD=3, UNIT=3990
CNTLUNIT CUNUMBR=113, PATH=(A2,A3), UNITADD=( (00,256) ), CUADD=3, UNIT=3990
IODEVICE ADDRESS=(000,256), CUNUMBR=(100,110), UNIT=3390
IODEVICE ADDRESS=(100,256), CUNUMBR=(101,111), UNIT=3390
IODEVICE ADDRESS=(200,256), CUNUMBR=(102,112), UNIT=3390
IODEVICE ADDRESS=(300,256), CUNUMBR=(103,113), UNIT=3390
```

**Figure 4.2 IOCP Definition for 1024 LVIs (9900 connected directly to host CPU)**

**Note:** 4096 device addressing requires 16 CU images using CUADD=0 through CUADD=F in the CNTLUNIT statement

|          |   |
|----------|---|
| CHPID    | PATH=B0,TYPE=CNC,PARTITION=(LPA1,REC),SWITCH=01                             |
| CHPID    | PATH=B1,TYPE=CNC,PARTITION=(LPA1,REC),SWITCH=01                             |
| CHPID    | PATH=B2,TYPE=CNC,PARTITION=(LPA1,REC),SWITCH=01                             |
| CHPID    | PATH=B3,TYPE=CNC,PARTITION=(LPA1,REC),SWITCH=01                             |
| CNTLUNIT | CUNUMBR=0200,PATH=(B0,B1),UNITADD=((00,256)),LINK=(C0,C1),CUADD=0,UNIT=3990 |
| CNTLUNIT | CUNUMBR=0210,PATH=(B2,B3),UNITADD=((00,256)),LINK=(C2,C3),CUADD=0,UNIT=3990 |
| CNTLUNIT | CUNUMBR=0201,PATH=(B0,B1),UNITADD=((00,256)),LINK=(C0,C1),CUADD=1,UNIT=3990 |
| CNTLUNIT | CUNUMBR=0211,PATH=(B2,B3),UNITADD=((00,256)),LINK=(C2,C3),CUADD=1,UNIT=3990 |
| CNTLUNIT | CUNUMBR=0202,PATH=(B0,B1),UNITADD=((00,256)),LINK=(C0,C1),CUADD=2,UNIT=3990 |
| CNTLUNIT | CUNUMBR=0212,PATH=(B2,B3),UNITADD=((00,256)),LINK=(C2,C3),CUADD=2,UNIT=3990 |
| CNTLUNIT | CUNUMBR=0203,PATH=(B0,B1),UNITADD=((00,256)),LINK=(C0,C1),CUADD=3,UNIT=3990 |
| CNTLUNIT | CUNUMBR=0213,PATH=(B2,B3),UNITADD=((00,256)),LINK=(C2,C3),CUADD=3,UNIT=3990 |
| IODEVICE | ADDRESS=(000,256),CUNUMBR=(200,210),UNIT=3390                               |
| IODEVICE | ADDRESS=(100,256),CUNUMBR=(201,211),UNIT=3390                               |
| IODEVICE | ADDRESS=(200,256),CUNUMBR=(202,212),UNIT=3390                               |
| IODEVICE | ADDRESS=(300,256),CUNUMBR=(203,213),UNIT=3390                               |

**Figure 4.3 IOCP Definition for 1024 LVIs (9900 connected to CPU via ESCD)**

The 9960 subsystem can be configured with up to 32 connectable physical paths to provide up to 32 concurrent host data transfers. The 9910 subsystem can be configured with up to 24 connectable physical paths to provide up to 24 concurrent host data transfers. Since only 16 channel interface IDs are available (due to 16 physical channel interfaces for IBM systems), the 9900 uses one channel interface ID for each pair of physical paths. For example, link control processors (LCPs) 1A and 1B correspond to channel interface ID 08 (00), and LCPs 1C and 1D correspond to channel interface ID 09 (01). Table 4.2 illustrates the correspondence between physical paths and channel interface IDs on Cluster 1, and Table 4.3 illustrates the same for Cluster 2.

**Table 4.2 Correspondence between Physical Paths and Channel Interface IDs (Cluster 1)**

| Channel Interface ID<br>(used in commands) | 08<br>(00) | 09<br>(01) | 0A<br>(02) | 0B<br>(03) | 0C<br>(04) | 0D<br>(05) | 0E<br>(06) | 0F<br>(07) |
|--|------------|------------|------------|------------|------------|------------|------------|------------|
| LCP ID                                     | 1A & 1B    | 1C & 1D    | 1E & 1F    | 1G & 1H    | 1J & 1K    | 1L & 1M    | 1N & 1P    | 1Q & 1R    |

**Table 4.3 Correspondence between Physical Paths and Channel Interface IDs (Cluster 2)**

| Channel Interface ID<br>(used in commands) | 18<br>(10) | 19<br>(11) | 1A<br>(12) | 1B<br>(13) | 1C<br>(14) | 1D<br>(15) | 1E<br>(16) | 1F<br>(17) |
|--|------------|------------|------------|------------|------------|------------|------------|------------|
| LCP ID                                     | 2A & 2B    | 2C & 2D    | 2E & 2F    | 2G & 2H    | 2J & 2K    | 2L & 2M    | 2N & 2P    | 2Q & 2R    |

Figure 4.4 shows an IOCP definition for a 9900 using 16 channels (16 physical paths), four CU images, and 1024 devices. The 9900 is configured using four CU macro statements for each logical CU image. For example, 16 CU macro statements are used for connection to four CU images with 16 physical paths to the host. This configuration enables access to the devices over eight different channel cards and also provides alternate CUs in case CU busy messages are encountered.

|          |  |    |     |    |     |    |     |    |
|----------|--|----|-----|----|-----|----|-----|----|
| CU       | 100  |    | 104 |    | 101 |    | 105 |    |
| CU       | 108  |    | 10C |    | 109 |    | 10D |    |
| CHPID    | 60   | 61 | 62  | 63 | 64  | 65 | 66  | 67 |
| LCP      | 1A   | 1C | 1E  | 1G | 1J  | 1L | 1N  | 1Q |
|          |  |    |     |    |     |    |     |    |
| CLUSTER1 |  |    |     |    |     |    |     |    |
| CU       | 102  |    | 106 |    | 103 |    | 107 |    |
| CU       | 10A  |    | 10E |    | 10B |    | 10F |    |
| CHPID    | 68   | 69 | 6A  | 6B | 7A  | 7B | 7C  | 7D |
| LCP      | 2A   | 2C | 2E  | 2G | 2J  | 2L | 2N  | 2Q |
|          |  |    |     |    |     |    |     |    |
| CLUSTER2 |  |    |     |    |     |    |     |    |
| CHPID    | Path=60,type=cnc,partition=(prod,maint,shr)                  |    |     |    |     |    |     |    |
| CHPID    | Path=61,type=cnc,partition=(prod,maint,shr)                  |    |     |    |     |    |     |    |
| CHPID    | Path=62,type=cnc,partition=(prod,maint,shr)                  |    |     |    |     |    |     |    |
| CHPID    | Path=63,type=cnc,partition=(prod,maint,shr)                  |    |     |    |     |    |     |    |
| CHPID    | Path=64,type=cnc,partition=(maint,prod,shr)                  |    |     |    |     |    |     |    |
| CHPID    | Path=65,type=cnc,partition=(maint,prod,shr)                  |    |     |    |     |    |     |    |
| CHPID    | Path=66,type=cnc,partition=(maint,prod,shr)                  |    |     |    |     |    |     |    |
| CHPID    | Path=67,type=cnc,partition=(maint,prod,shr)                  |    |     |    |     |    |     |    |
| CHPID    | Path=68,type=cnc,partition=(prod,maint,shr)                  |    |     |    |     |    |     |    |
| CHPID    | Path=69,type=cnc,partition=(prod,maint,shr)                  |    |     |    |     |    |     |    |
| CHPID    | Path=6A,type=cnc,partition=(prod,maint,shr)                  |    |     |    |     |    |     |    |
| CHPID    | Path=6B,type=cnc,partition=(prod,maint,shr)                  |    |     |    |     |    |     |    |
| CHPID    | Path=7A,type=cnc,partition=(maint,prod,shr)                  |    |     |    |     |    |     |    |
| CHPID    | Path=7B,type=cnc,partition=(maint,prod,shr)                  |    |     |    |     |    |     |    |
| CHPID    | Path=7C,type=cnc,partition=(maint,prod,shr)                  |    |     |    |     |    |     |    |
| CHPID    | Path=7D,type=cnc,partition=(maint,prod,shr)                  |    |     |    |     |    |     |    |
| cntlunit | cunumber=100,path=(60,61),unit=3990,unitadd=(00,256),cuadd=0 |    |     |    |     |    |     |    |
| cntlunit | cunumber=101,path=(64,65),unit=3990,unitadd=(00,256),cuadd=0 |    |     |    |     |    |     |    |
| cntlunit | cunumber=102,path=(68,69),unit=3990,unitadd=(00,256),cuadd=0 |    |     |    |     |    |     |    |
| cntlunit | cunumber=103,path=(7A,7B),unit=3990,unitadd=(00,256),cuadd=0 |    |     |    |     |    |     |    |
| cntlunit | cunumber=104,path=(62,63),unit=3990,unitadd=(00,256),cuadd=1 |    |     |    |     |    |     |    |
| cntlunit | cunumber=105,path=(66,67),unit=3990,unitadd=(00,256),cuadd=1 |    |     |    |     |    |     |    |
| cntlunit | cunumber=106,path=(6A,6B),unit=3990,unitadd=(00,256),cuadd=1 |    |     |    |     |    |     |    |
| cntlunit | cunumber=107,path=(7C,7D),unit=3990,unitadd=(00,256),cuadd=1 |    |     |    |     |    |     |    |
| cntlunit | cunumber=108,path=(60,61),unit=3990,unitadd=(00,256),cuadd=2 |    |     |    |     |    |     |    |
| cntlunit | cunumber=109,path=(64,65),unit=3990,unitadd=(00,256),cuadd=2 |    |     |    |     |    |     |    |
| cntlunit | cunumber=10A,path=(68,69),unit=3990,unitadd=(00,256),cuadd=2 |    |     |    |     |    |     |    |
| cntlunit | cunumber=10B,path=(7A,7B),unit=3990,unitadd=(00,256),cuadd=2 |    |     |    |     |    |     |    |
| cntlunit | cunumber=10C,path=(62,63),unit=3990,unitadd=(00,256),cuadd=3 |    |     |    |     |    |     |    |
| cntlunit | cunumber=10D,path=(66,67),unit=3990,unitadd=(00,256),cuadd=3 |    |     |    |     |    |     |    |
| cntlunit | cunumber=10E,path=(6A,6B),unit=3990,unitadd=(00,256),cuadd=3 |    |     |    |     |    |     |    |
| cntlunit | cunumber=10F,path=(7C,7D),unit=3990,unitadd=(00,256),cuadd=3 |    |     |    |     |    |     |    |
| iodevice | address=(1000,256),cunumber=(100,101,102,103),unit=3390      |    |     |    |     |    |     |    |
| iodevice | address=(1100,256),cunumber=(104,105,106,107),unit=3390      |    |     |    |     |    |     |    |
| iodevice | address=(1200,256),cunumber=(108,109,10A,10B),unit=3390      |    |     |    |     |    |     |    |
| iodevice | address=(1300,256),cunumber=(10C,10D,10E,10F),unit=3390      |    |     |    |     |    |     |    |

This IOCP definition maps to:

| Devices   | Partitions | CHPIDs                         |
|-----------|------------|--------------------------------|
| 1000-10ff | maint,prod | 60, 61, 64, 65, 68, 69, 7A, 7B |
| 1100-11ff | maint,prod | 62, 63, 66, 67, 6A, 6B, 7C, 7D |
| 1200-12ff | maint,prod | 60, 61, 64, 65, 68, 69, 7A, 7B |
| 1300-13ff | maint,prod | 62, 63, 66, 67, 6A, 6B, 7C, 7D |

**Figure 4.4 IOCP Definition for 16 Channels, 4 CUs, and 1024 LVIs**



## 4.2.2 Hardware Definition Using HCD (MVS/ESA)

The Hardware Configuration Definition (HCD) utility can be used to define the 9900 subsystem in an MVS/ESA environment. To define a 9900 with 64 or fewer LVIs, use the same procedure as for an IBM 3990-6, 3990-6E, or 3990-3 subsystem (see Table 4.4). The hardware definition for a 9900 subsystem with more than 64 LVIs (see Table 4.5) is different than that for an IBM 3990 subsystem.

**Table 4.4 HCD Definition for 64 LVIs**

| Parameter           | Value   |
|---------------------|---|
| Control Frame:      |   |
| Control unit number | Specify the control unit number.  |
| Control unit type   | 3990-6 or 3990-6E (using 3990-6 emulation),<br>3990-3 (using 3990-3 emulation). |
| Channel path IDs    | Specify the ExSA.   |
| Unit address        | 00 (ExSA)   |
| Number of units     | 64  |
| Array Frame:        |   |
| Device number       | Specify the first device number.  |
| Number of devices   | 64  |
| Device type         | 3390  |
| Connected to CUs    | Specify the control unit number(s).   |

**Table 4.5 HCD Definition for 256 LVIs**

| Parameter           | Value   |
|---------------------|---|
| Control Frame:      |   |
| Control unit number | Specify the control unit number.  |
| Control unit type   | NOCHECK*<br>Use UIM 3990 for more than 128 logical paths<br>Use UIM 3990-6 for 128 or fewer logical paths |
| Channel path IDs    | Specify the ExSA.   |
| Unit address        | 00  |
| Number of units     | 256   |
| Array Frame:        |   |
| Device number       | Specify the first device number.  |
| Number of devices   | 256   |
| Device type         | 3390  |
| Connected to CUs    | Specify the control unit number(s).   |

\*Note: The NOCHECK function was introduced by APAR OY62560. Defining the 9900 as a single control unit allows all channel paths to access all DASD devices.

### 4.2.3 Defining the 9900 to VM/ESA

**64 or Fewer LVIs:** To define a 9900 with less than or equal to 64 LVIs to VM/ESA, use the same procedure as for an IBM 3990-6, 3990-6E, or 3990-3 subsystem. To define a 9900 with more than 64 LVIs to VM/ESA, enter the LVI address range, storage type, and sharing option for the subsystem as shown below (the address range varies for each installation).

**[Address Range] TYPE DASD SHARED YES**

**More than 64 LVIs:** To define a 9900 with more than 64 LVIs to VSE/ESA, use the same procedure as for an IBM 3990-6, 3990-6E, or 3990-3 subsystem. For 9900 subsystems with more than 64 LVIs, the **ADD cuu:cuu** ECKD statements are the same as for the IBM 3390.

### 4.2.4 Defining the 9900 to TPF

The 9900 supports the IBM Transaction Processing Facility (TPF) and Multi-Path Locking Facility (MPLF) in either native mode or under VM. MPLF support requires TPF version 4.1 or higher, and RAID-5+ and 3390-3/3R LVIs are supported. The 9900's TPF/MPLF capability enables high levels of concurrent data access across multiple channel paths. For more information on TPF and MPLF, please refer to the following IBM documentation:

- Storage Subsystem Library, 3390 Transaction Processing Facility Support RPQs, IBM document number GA32-0134-03.
- Storage Subsystem Library, 3990 Storage Control Reference for Model 6, IBM document number GA32-0274-03.

## 4.3 S/390® Operations

### 4.3.1 Initializing the LVIs

The 9900 LVIs require only minimal initialization before being brought online. Figure 4.5 shows an MVS ICKDSF JCL example of a minimal init job to write a volume ID (VOLID) and volume table of contents (VTOC).

```
// EXAMPLE JOB
// EXEC PGM=ICKDSF
//SYSPRINT DD SYSOUT=A
//SYSIN DD *
INIT UNITADDRESS (XXX) NOVERIFY VOLID(YYYYYY) -
      OWNER ID (ZZZZZZ)
/*
```

**Note:** X = physical install address, Y = new volume ID, Z = volume ID owner.

**Figure 4.5 LVI Initialization for MVS: ICKDSF JCL**

### 4.3.2 Device Operations: ICKDSF

The 9900 subsystem supports the ICKDSF media maintenance utility. The ICKDSF utility can also be used to perform service functions, error detection, and media maintenance. Since the 9900 is a RAID device, there are only a few differences in operation from conventional DASD or other RAID devices. Table 4.6 lists ICKDSF commands that are specific to the 9900, as contrasted to RAMAC.

**Table 4.6 ICKDSF Commands for 9900 Contrasted to RAMAC**

| Command  | Argument                         | Subsystem | Syntax   |
|----------|----------------------------------|-----------|--|
| INSPECT  | KEEPIT                           | RAMAC     | CC = 12 Invalid parameter(s) for device type.  |
|          |                                  | 9900      | CC = 12, F/M = 04 (EC=66BB).   |
|          | PRESERVE                         | RAMAC     | CC = 4 Parameter ignored for device type.  |
|          |                                  | 9900      | CC = 12, F/M = 04 (EC=66BB) Unable to establish primary and alternate track association for track CCHH=xxxx. |
|          | SKIP                             | RAMAC     | CC = 4 Parameter ignored for device type - skip.   |
|          |                                  | 9900      | CC = 12, F/M = 04 (EC=66BB) Primary track CCHH=xxxx found unrecoverable.                                     |
|          | NOPRESERVE,<br>NOSKIP,           | RAMAC     | CC = 0, ALT information not displayed.   |
|          | NOCHECK                          | 9900      | CC = 0   |
|          | ALLTRACKS,<br>ASSIGN,<br>RECLAIM | RAMAC     | CC = 12 Invalid parameter(s) for device type.  |
|          |                                  | 9900      | In case of PRESERVE: CC = 12,<br>In case of NO PRESERVE: CC = 0.   |
| INSTALL  | SETMODE (3390)                   | RAMAC     | CC = 0 (but not recommended by IBM).   |
|          |                                  | 9900      | CC = 0   |
|          | SETMODE (3380)                   | RAMAC     | CC = 12, Invalid parameter(s) for device type.   |
|          |                                  | 9900      | CC = 12, Function not supported for nonsynchronous DASD.   |
| ANALYZE  |                                  | RAMAC     | CC = 0   |
|          |                                  | 9900      | CC = 0   |
| BUILDX   |                                  | RAMAC     | CC = 0   |
|          |                                  | 9900      | CC = 0   |
| REVAL    | REFRESH                          | RAMAC     | CC = 12 Device not supported for the specified function.   |
|          |                                  | 9900      | CC = 12, F/M = 04 (EC=66BB) Error, not a data check.<br>Processing terminated.                               |
|          | DATA,<br>NODATA                  | RAMAC     | CC = 0, Data/Nodata parameter not allowed.   |
|          |                                  | 9900      | CC=0   |
| CONTROL  |                                  | RAMAC     | CC = 0, ALT information not displayed.   |
|          |                                  | 9900      | CC = 0, ALT information not displayed.   |
| INIT     |                                  | RAMAC     | CC = 0, ALT information not displayed.   |
|          |                                  | 9900      | CC = 0   |
| REFORMAT |                                  | RAMAC     | CC = 0, ALT information not displayed.   |
|          |                                  | 9900      | CC=0   |
| CPVOLUME |                                  | RAMAC     | CC = 0, Readcheck parameter not allowed.   |
|          |                                  | 9900      | CC=0   |
| AIXVOL   |                                  | RAMAC     | Readcheck parameter not allowed.   |
|          |                                  | 9900      | CC = 0   |

### 4.3.3 MVS Cache Operations

To display the 9900 cache statistics under MVS DFSMS, use the following operator command: **D SMS, CACHE**. Figure 4.6 shows the cache statistics reported by the 9900. The 9900 reports cache statistics for each SSID in the subsystem. Because the dynamic cache management algorithm has been enhanced, the read and write percentages for the 9900 are displayed as **N/A**. For further information on MVS DFSMS cache reporting, please refer to the IBM document *DFSMSdfp Storage Administrator Reference* (SC28-4920).

| SSID   | DEVS | READ | WRITE | HIT RATIO | FW BYPASSES |
|--|------|------|-------|-----------|-------------|
| 0004   | 15   | N/A  | N/A   | 50%       | 0           |
| 0005   | 11   | N/A  | N/A   | 0%        | 0           |
| 0006   | 11   | N/A  | N/A   | 87%       | 0           |
| 0007   | 10   | N/A  | N/A   | 87%       | 0           |
| *****  |      |      |       |           |             |
| SSID=SUBSYSTEM IDENTIFIER  |      |      |       |           |             |
| DEVS=NUMBER OF MANAGED DEVICES ATTACHED TO SUBSYSTEM             |      |      |       |           |             |
| READ=PERCENT OF DATA ON MANAGED DEVICES ELIGIBLE FOR CACHING     |      |      |       |           |             |
| WRITE=PERCENT OF DATA ON MANAGED DEVICES ELIGIBLE FOR FAST WRITE |      |      |       |           |             |
|  |      |      |       |           |             |
| HIT RATIO=PERCENT OF READS WITH CACHE HITS                       |      |      |       |           |             |
| FW BYPASSES=NUMBER OF FAST WRITE BYPASSES DUE TO NVS OVERLOAD    |      |      |       |           |             |

**Figure 4.6 Displaying Cache Statistics Using MVS DFSMS**

The 9900 supports the following MVS cache operations:

- **IDCAMS LISTDATA COUNTS**. When the <subsystem> parameter is used with the LISTDATA command, the user must issue the command once for each SSID to view the entire 9900 image. Figure 4.7 shows a JCL example of the LISTDATA COUNTS command.

```
//LIST JOB. . . . .
//COUNT1 EXEC PGM=IDCAMS
//SYSPRINT DD SYSOUT=A
//SYSIN DD *
LISTDATA    COUNTS  VOLUME(VOL000)  UNIT(3390)    SUBSYSTEM
LISTDATA    COUNTS  VOLUME(VOL064)  UNIT(3390)    SUBSYSTEM
LISTDATA    COUNTS  VOLUME(VOL128)  UNIT(3390)    SUBSYSTEM
LISTDATA    COUNTS  VOLUME(VOL192)  UNIT(3390)    SUBSYSTEM
/*
```

**Figure 4.7 IDCAMS LISTDATA COUNTS (JCL example)**

- **Subsystem counter reports.** The cache statistics reflect the logical caching status of the volumes. For the 9900, Hitachi Data Systems recommends that you set the nonvolatile storage (NVS) ON and the DASD fast write (DFW) ON for all logical volumes. This will not affect the way the 9900 caches data for the logical volumes. The default caching status for the 9900 is:

**CACHE ON** for the subsystem

**CACHE ON** for all logical volumes

**CACHE FAST WRITE ON** for the subsystem

**NVS OFF** for the subsystem

← Change NVS to **ON** for the 9900.

**DFW OFF** for all volumes

← Change DFW to **ON** for the 9900.

**Note:** In normal cache replacement, bypass cache, or inhibit cache loading mode, the 9900 performs a special function to determine whether the data access pattern from the host is sequential. If the access pattern is sequential, the 9900 transfers contiguous tracks from the disks to cache ahead of time to improve cache hit rate. Due to this advance track transfer, the 9900 shows the number of tracks transferred from the disks to the cache slot at DASD/CACHE of the SEQUENTIAL in TRANSFER OPERATIONS field in the subsystem counters report, even though the access mode is not sequential.

**IDCAMS LISTDATA STATUS.** The LISTDATA STATUS command generates status information for a specific device within the subsystem. The 9900 reports two storage sizes:

- Subsystem storage. This field shows capacity in bytes of cache. For a 9900 with more than one SSID, the cache is shared among the SSIDs instead of being logically divided. This strategy ensures backup battery power for all cache in the 9900. For the 9900, this field shows three-fourths (75%) of the total cache size.
- Nonvolatile storage. This field shows capacity in bytes of random access cache with a backup battery power source. For the 9900, this field shows one-fourth (25%) of the total cache size.

**IDCAMS SETCACHE.** The 9900 supports the **IDCAMS SETCACHE** commands, which manage caching for subsystem storage through the use of one command (except for **REINITIALIZE**). The following **SETCACHE** commands work for the subsystem storage across multiple SSIDs:

**SETCACHE SUBSYSTEM ON|OFF**

**SETCACHE CACHEFASTWRITE ON|OFF**

**SETCACHE NVS ON|OFF**

**SETCACHE DESTAGE**

**Note:** The **SETCACHE REINITIALIZE** command reinitializes only the logical subsystem specified by the SSID. You must issue the **REINITIALIZE** command once for each defined SSID.

**DEVSERV PATHS.** The **DEVSERV PATHS** command is defined as the number of LVIs that can be specified by an operator (from 1 through 99). To display an entire 9900 subsystem, enter the **DEVSERV** command for several LVIs, as follows:

**DEVSERV PATHS,100,64**

**DEVSERV PATHS,140,64**

**DEVSERV PATHS,180,64**

**DEVSERV PATHS,1C0,64**

### 4.3.4 VM/ESA Cache Operations

When the 9900 is managed under VM/ESA, the following SET CACHE commands are effective across multiple SSIDs:

```
SET CACHE SUBSYSTEM ON|OFF
SET NVS SUBSYSTEM ON|OFF
SET CACHEFW SUBSYSTEM ON|OFF
DESTAGE SUBSYSTEM
```

### 4.3.5 VSE/ESA Cache Operations

When using VSE/ESA to manage the 9900, the following CACHE commands are effective across multiple SSIDs:

```
CACHE SUBSYS=cuu,ON|OFF|STATUS
CACHE SUBSYS=cuu,FAST,ON|OFF
CACHE SUBSYS=cuu,NVS,ON|OFF
CACHE SUBSYS=cuu,REINIT
```

**Note:** SIMs indicating a drive failure may not be reported to the VSE/ESA console (reference IBM document GA32-0253). Since the RAID technology and dynamic spare drives ensure non-stop processing, a drive failure may not be noticed by the console operator. If Hi-Track<sup>®</sup> is not installed, the user should run and read an EREP SIM report on a regular basis. Since all SIMs are also logged the 9900 Remote Console PC, the user can also use the Remote Console PC to monitor the SIMs.

## 4.4 Open-Systems Configuration

After physical installation of the 9900 subsystem has been completed, the user configures the 9900 subsystem for open-system operations with assistance as needed from the Hitachi Data Systems representative. For specific information and instructions on configuring the 9900 disk devices for open-system operations, please refer to the 9900 configuration guide for the connected platform. Table 4.7 lists the 9900 configuration guides for the currently support platforms. Please contact your Hitachi Data Systems account team for the latest information on platform and software version support.

**Table 4.7 9900 Open-System Configuration Guides**

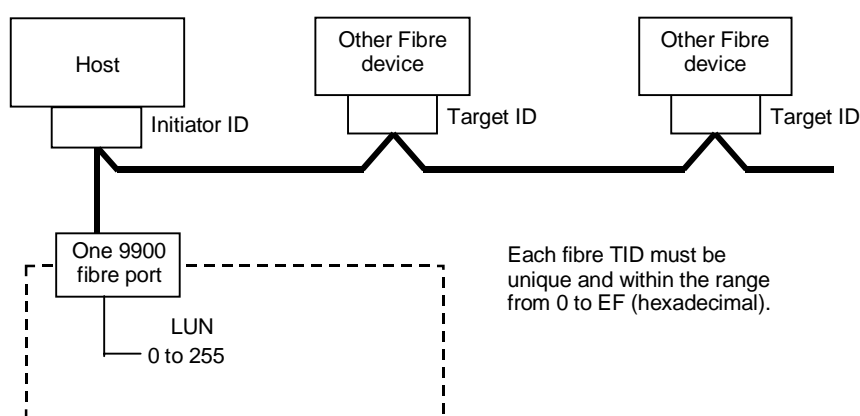
| Title                                   | Document Number |
|---|-----------------|
| IBM® AIX® Configuration Guide           | MK-90RD014      |
| HP-UX® Configuration Guide              | MK-90RD016      |
| Sun® Solaris® Configuration Guide       | MK-90RD017      |
| Compaq® Tru64 UNIX® Configuration Guide | MK-90RD021      |
| Windows NT® Configuration Guide         | MK-90RD015      |
| Windows® 2000 Configuration Guide       | MK-90RD025      |
| Novell® NetWare® Configuration Guide    | MK-90RD026      |
| Red Hat® Linux® Configuration Guide     | MK-90RD028      |



### 4.4.1 Configuring the Fibre-Channel Ports

The LUN Manager remote console software enables users to configure the fibre-channel ports for the connected operating system and operational environment (e.g., FC-AL or fabric). If desired, Hitachi Data Systems can configure the fibre-channel ports as a fee-based service. For further information on LUN Manager, see *Hitachi Freedom Storage™ Lightning 9900™ LUN Manager User's Guide* (MK-90RD006), or contact your Hitachi Data Systems account team.

The 9960 subsystem supports a maximum of 32 fibre-channel ports, and the 9910 supports up to 24 fibre-channel ports. Each fibre-channel port is assigned a unique target ID (from 0 to EF). The 9900 subsystem supports up to 256 LUNs per port. Figure 4.8 illustrates fibre port-to-LUN addressing.



**Figure 4.8 Fibre Port-to-LUN Addressing**

### 4.4.2 Custom-Volume-Size (CVS) Devices

The Virtual LVI/LUN remote console software enables users to configure custom-size LUs (CVS devices) which are smaller than standard-size LUs. CVS devices which are created from OPEN-x LUs are defined by size in MB (minimum CVS device size = 35 MB). (CVS devices which are created from mainframe LVIs are defined by number of cylinders.)

### 4.4.3 LU Size Expansion (LUSE) Devices

The LUSE function (included in the LUN Manager remote console software) enables users to configure size-expanded LUs which are from 2 to 36 times larger than standard-size LUs. LUSE devices are identified by the type and number of LDEVs which have been joined to form the single LUSE device. For example, an OPEN-9\*36 LUSE device is composed of 36 OPEN-9 LDEVs.

## 4.5 Open Systems Operations

### 4.5.1 Command Tag Queuing

The 9900 supports command tag queuing for open-system devices. Command tag queuing enables hosts to issue multiple disk commands to the fibre-channel adapter without having to serialize the operations. Instead of processing and acknowledging each disk I/O sequentially as presented by the applications, the 9900 subsystem processes requests in the most efficient order to minimize head seek operations and disk rotational delay.

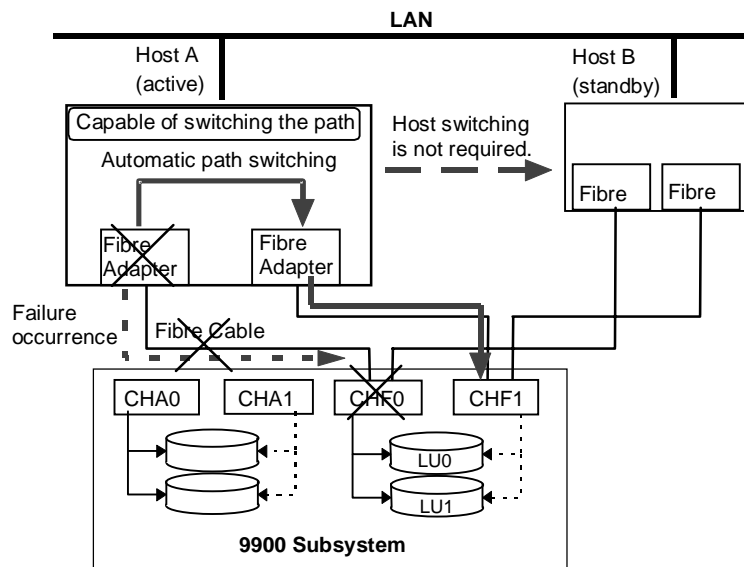
**Note:** The queue depth parameter may need to be adjusted for the 9900 devices. Please refer to the appropriate 9900 configuration guide for queue depth requirements and instructions on changing queue depth and other related system and device parameters (refer to Table 4.7 for a list of the 9900 open-system configuration guides).

### 4.5.2 Host/Application Failover Support

The 9900 supports many industry-standard middleware products which provide host and/or application failover capabilities (e.g., HP® MC/ServiceGuard, VERITAS® First Watch®, HACMP, HAGEO, IRIS FailSafe™, SGI™ Advanced Cluster Environment (ACE), Microsoft Cluster Server, Novell® High Availability Server (NHAS), Novell® System Fault Tolerance (SFT) III). Please consult the appropriate 9900 configuration guide for further information on failover support.

### 4.5.3 Path Failover Support

The user should plan for path failover (alternate pathing) to ensure the highest data availability. In the open-system environment, alternate pathing can be achieved by host failover and/or I/O path failover middleware. The 9900 provides up to 32 fibre ports to accommodate alternate pathing for host attachment. Figure 4.9 shows an example of alternate pathing. The LUs can be mapped for access from multiple ports and/or multiple target IDs. The number of connected hosts is limited only by the number of fibre-channel ports installed and the requirement for alternate pathing within each host. If possible, the alternate path(s) should be attached to different channel card(s) than the primary path.



**Figure 4.9 Alternate Pathing**

#### 4.5.4 Remote SIM (R-SIM) Reporting

The 9900 subsystem automatically reports all SIMs to the Remote Console PC (if powered on and booted). These R-SIMs contain the same information as the SIMs reported to mainframe hosts, enabling open-system users to monitor 9900 operations. The 9900 remote console software allows the user to view the R-SIMs by date/time or by controller and to manage the R-SIM log file on the Remote Console PC.

#### 4.5.5 SNMP Remote Subsystem Management

The 9900 subsystem supports the industry-standard simple network management protocol (SNMP) for remote subsystem management from the UNIX®/PC server host. SNMP is used to transport management information between the 9900 subsystem and the SNMP manager on the host. The SNMP agent for the 9900 subsystem sends status information to the host(s) when requested by the host or when a significant event occurs. Notification of 9900 error conditions is made in real time, providing UNIX®/PC server users with the same level of monitoring and support available to S/390® mainframe users. The SIM reporting via SNMP enables the user to monitor the 9900 subsystem without having to check the Remote Console PC for R-SIMs.



# Chapter 5 Planning for Installation and Operation

This chapter provides information for planning and preparing a site before and during installation of the Hitachi Lightning 9900™ subsystem. Please read this chapter carefully before beginning your installation planning.

If you would like to use any of the Lightning 9900™ features or software products (e.g., HRC, HORC, ShadowImage, HMDE, Hitachi GRAPH-Track™), please contact your Hitachi Data Systems account team to obtain the appropriate license(s) and software license key(s).

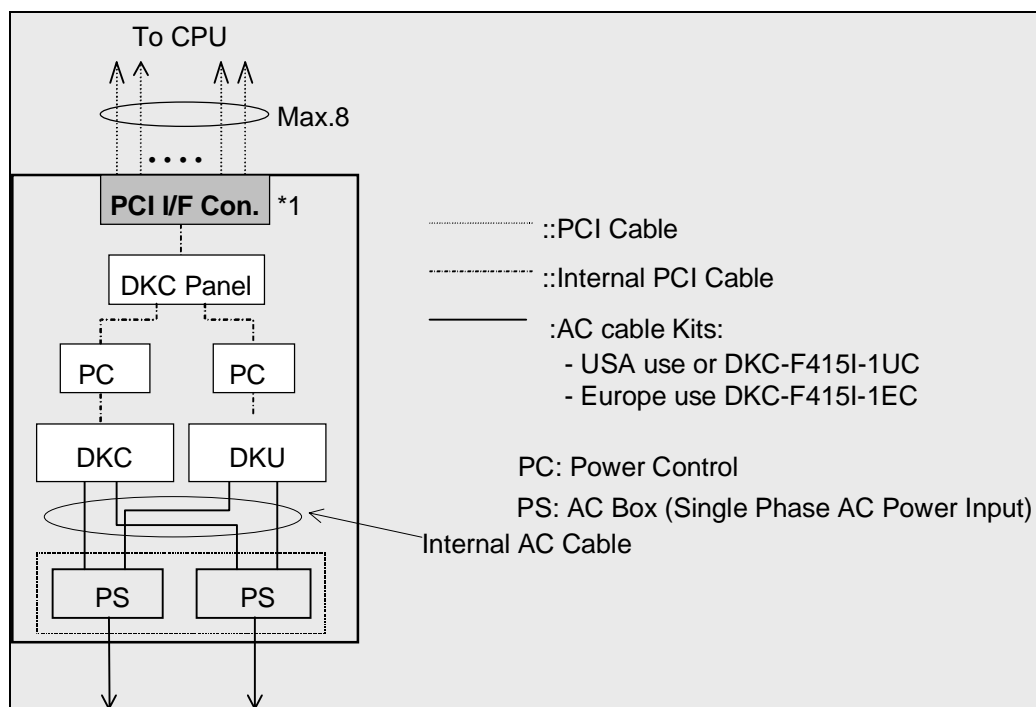
**Note:** The general information in this chapter is provided to assist in installation planning and is not intended to be complete. The DKC410 and DKC415 (9960/9910) installation and maintenance documents used by Hitachi Data Systems personnel contain complete specifications. The exact electrical power interfaces and requirements for each site must be determined and verified to meet the applicable local regulations. For further information on site preparation for Lightning 9900™ subsystem installation, please contact your Hitachi Data Systems account team or the Hitachi Data Systems Support Center.

## 5.1 User Responsibilities

Before the 9900 subsystem arrives for installation, the user must provide the following items to ensure proper installation and configuration:

- Physical space necessary for proper subsystem function and maintenance activity
- Electrical input power
- Connectors and receptacles
- Air conditioning
- Floor ventilation areas (recommended but not required)
- Cable access holes
- RJ-11 analog phone line (for Hi-Track® support)

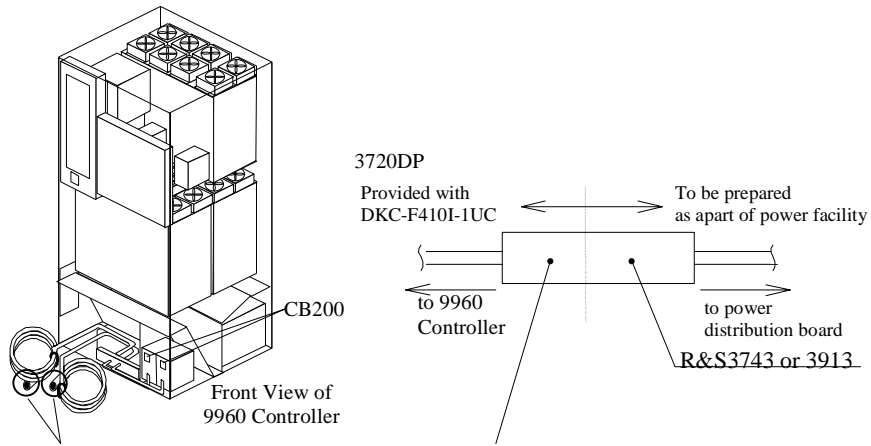




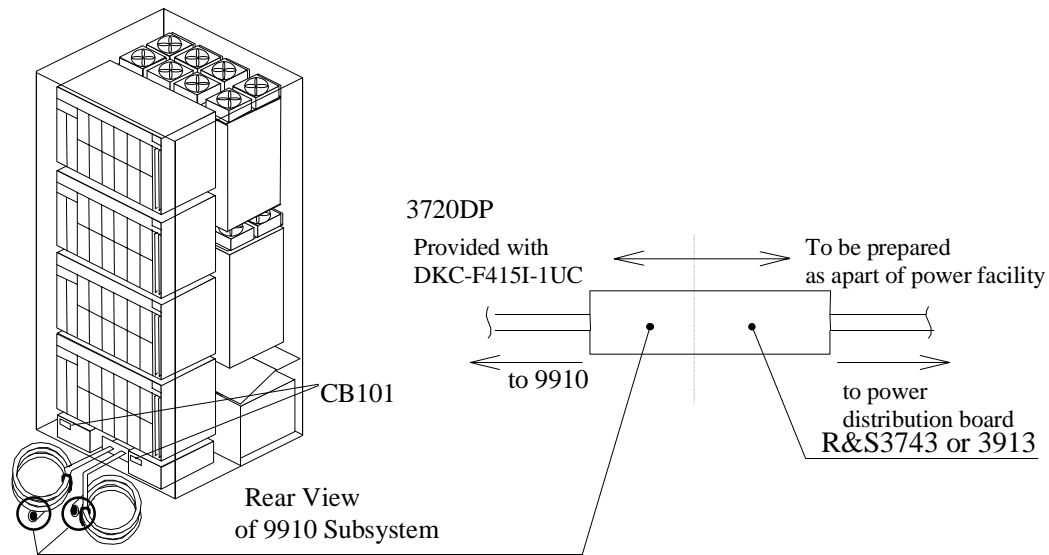
**Figure 5.2 Internal Cable Diagram of a Single-Phase 9910 Subsystem**

## 5.2.2 Power Plugs

Figure 5.3 through Figure 5.8 show the power plugs for the 9960 and 9910 subsystems.

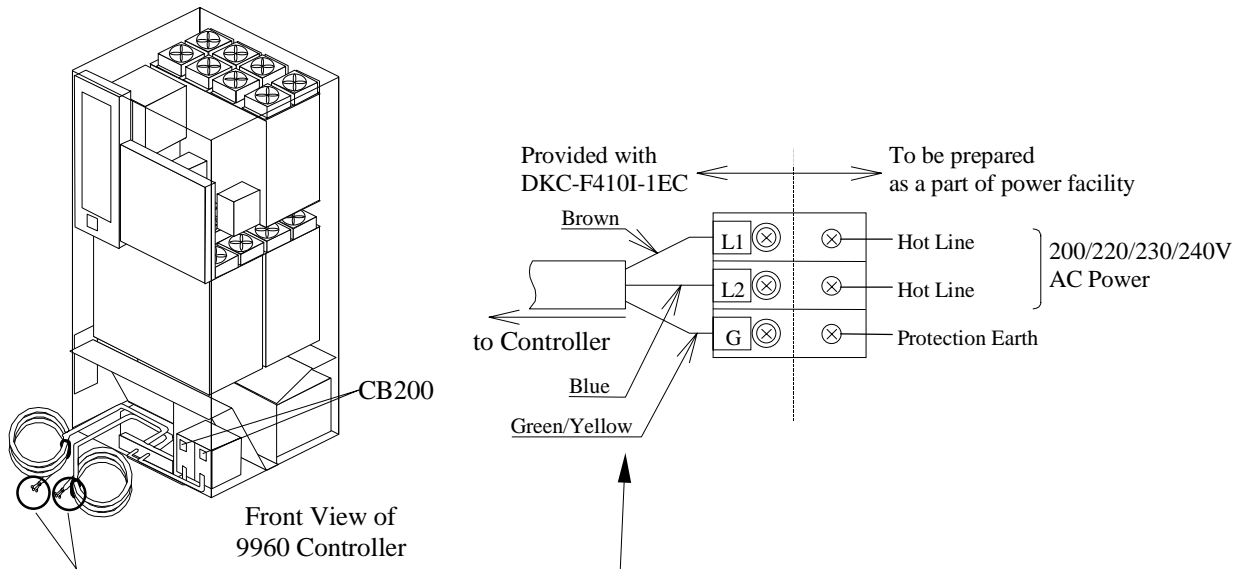


**Figure 5.3 Power Plugs for Single-Phase 9960 Controller (USA)**

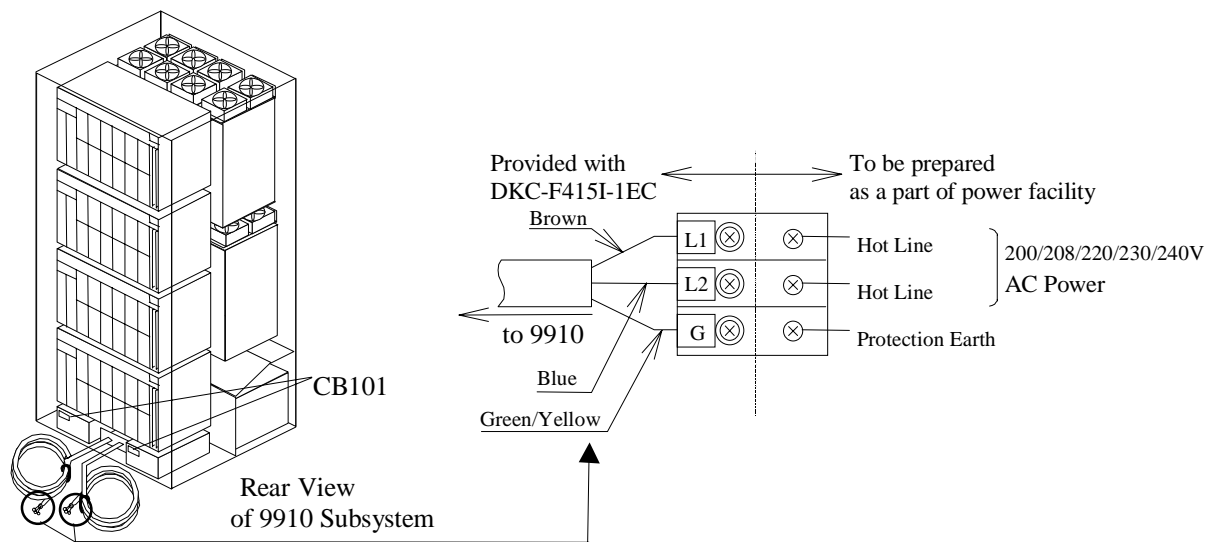


**Figure 5.4 Power Plugs for Single-Phase 9910 Subsystem (USA)**

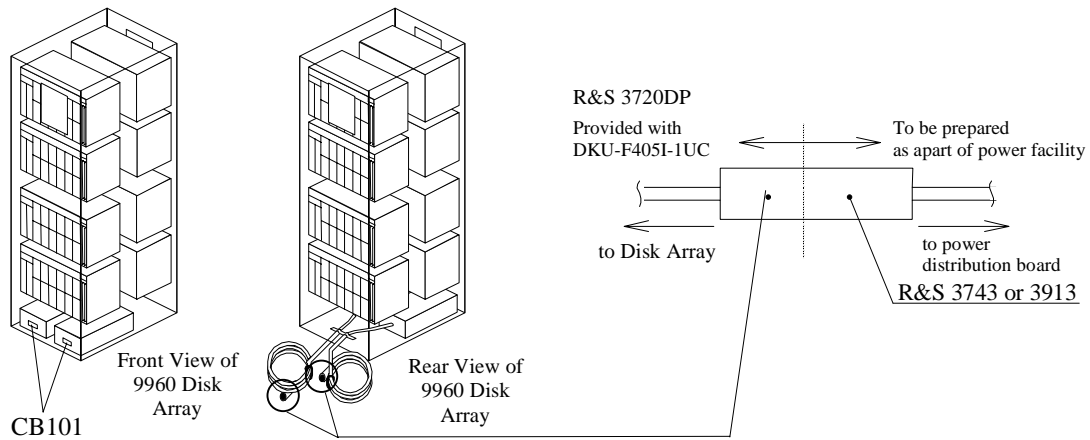




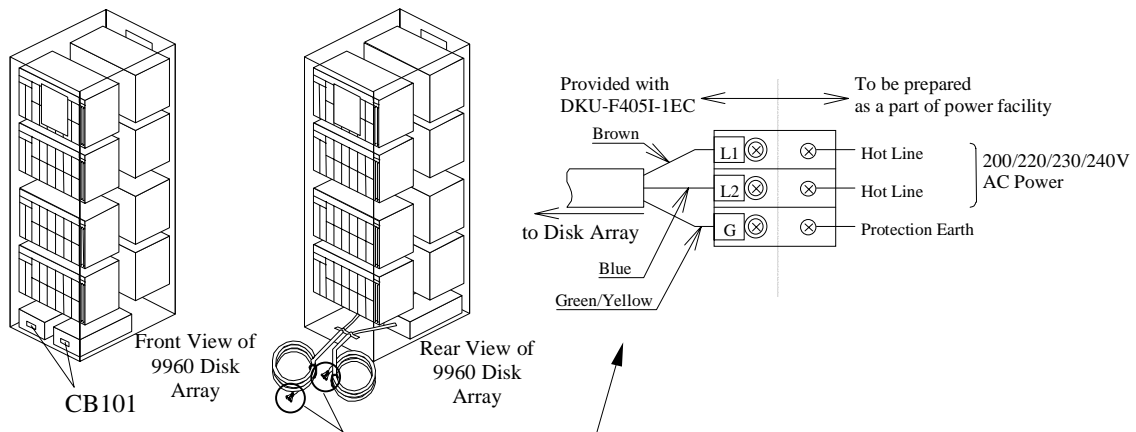
**Figure 5.5 Power Plugs for a Single-Phase 9960 Controller (Europe)**



**Figure 5.6 Power Plugs for a Single-Phase 9910 Subsystem (Europe)**



**Figure 5.7 Power Plugs for Single-Phase 9960 Disk Array Unit (USA)**



**Figure 5.8 Power Plugs for Single-Phase 9960 Disk Array Unit (Europe)**

### 5.2.3 Features

Table 5.1 lists the features for single-phase 9960 and 9910 subsystems.

**Table 5.1 9900 Single-Phase Features**

| Model | Frame   | Feature Number | Description                                     |
|-------|---|----------------|---|
| 9960  | Controller                                      | DKC-F410I-PS   | AC Box Kit for Single Phase                     |
|       |   | DKC-F410I-EC   | Power Cable Kit (Single-Phase Model for Europe) |
|       |   | DKC-F410I-UC   | Power Cable Kit (Single-Phase Model for USA)    |
|       | Disk Array                                      | DKU-F405I-1PS  | AC Box Kit for Single Phase                     |
|       |   | DKU-F405I-1EC  | Power Cable Kit (Single-Phase Model for Europe) |
|       |   | DKU-F405I-1UC  | Power Cable Kit (Single-Phase Model for USA)    |
| 9910  | The entire subsystem consists of a single frame | DKC-F415I-1EC  | Power Cable Kit (Single-Phase Model for Europe) |
|       |   | DKC-F415I-1UC  | Power Cable Kit (Single-Phase Model for USA)    |

### 5.2.4 Connector, Receptacle Part Numbers and Electrical Ratings

Table 5.2 lists the connector and receptacle part numbers and electrical ratings recommended for single-phase 60-Hz input power. Each disk array frame and controller frame has 2 input power cables with R & S FS 3720 plugs. The customer must supply the outlets for the plugs.

**Note:** Each disk array frame requires two power connections to ensure power redundancy. It is strongly recommended that the second power source be supplied from a separate power boundary to eliminate source power as a possible single (nonredundant) point of failure.

**Table 5.2 Input Power Connector, Receptical and Ratings for Single-Phase 9900**

| Item | Device           | Quantity | Plugs       | Connector | Receptacle | Electrical Rating                                    |
|------|------------------|----------|-------------|-----------|------------|--|
| 9960 | Controller frame | 2        | R&S FS 3720 | R&S 3913  | R&S 3743   | 60HZ<br>200V, 208V, 230 V<br>20 Amps<br>single phase |
|      | Disk Array frame |          |             |           |            |  |
| 9910 | Subsystem        |          |             |           |            |  |

## 5.2.5 Input Voltage Tolerances

Table 5.3 lists the input voltage tolerances for the single-phase 9900 subsystem. Transient voltage conditions must not exceed +15-18% of nominal and must return to a steady-state tolerance of between +6 and -8% of the normal related voltage in 0.5 seconds or less. Line-to-line imbalance voltage must not exceed 2.5%. Nonoperating harmonic contents must not exceed 5%.

**Table 5.3 Input Voltage Specifications for Single-Phase Power**

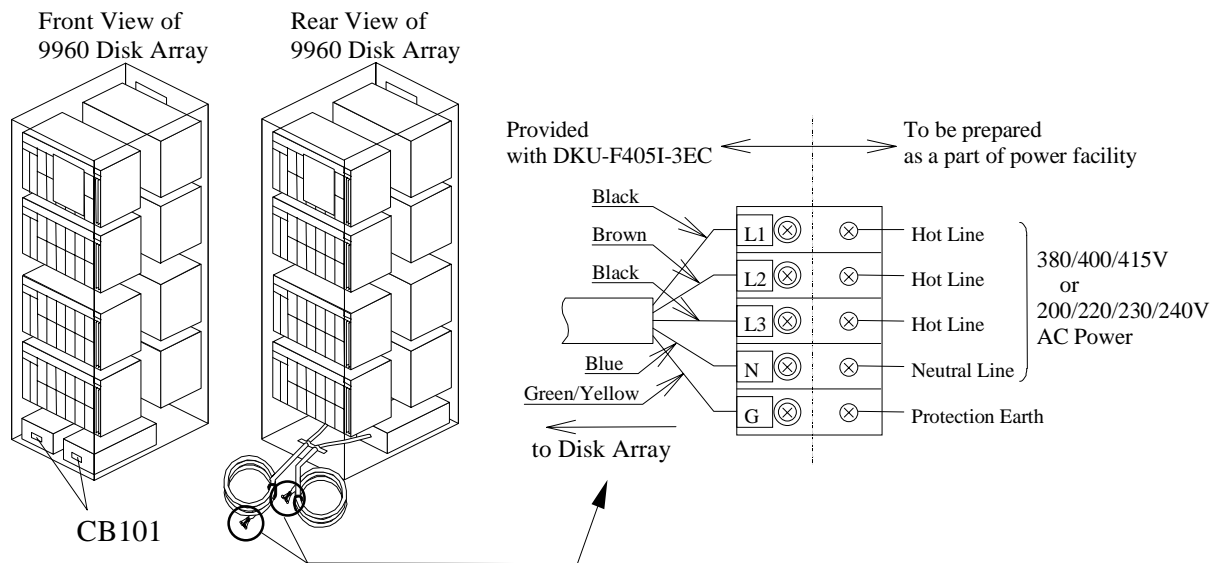
| Frequency        | Input Voltages(AC)       | Wiring                         | Tolerance(%) |
|------------------|--------------------------|--------------------------------|--------------|
| 60Hz $\pm$ 0.5Hz | 200V, 208V or 230V       | single-phase two wire + ground | +6% or -8%   |
| 50Hz $\pm$ 0.5Hz | 200V, 220V, 230V or 240V | single-phase two wire + ground | +6% or -8%   |

**Note:** User input requires a 20-Amp circuit breaker for single-phase power.

## 5.3 Electrical Specifications and Requirements for Three-Phase Subsystems

### 5.3.1 Internal Cable Diagram

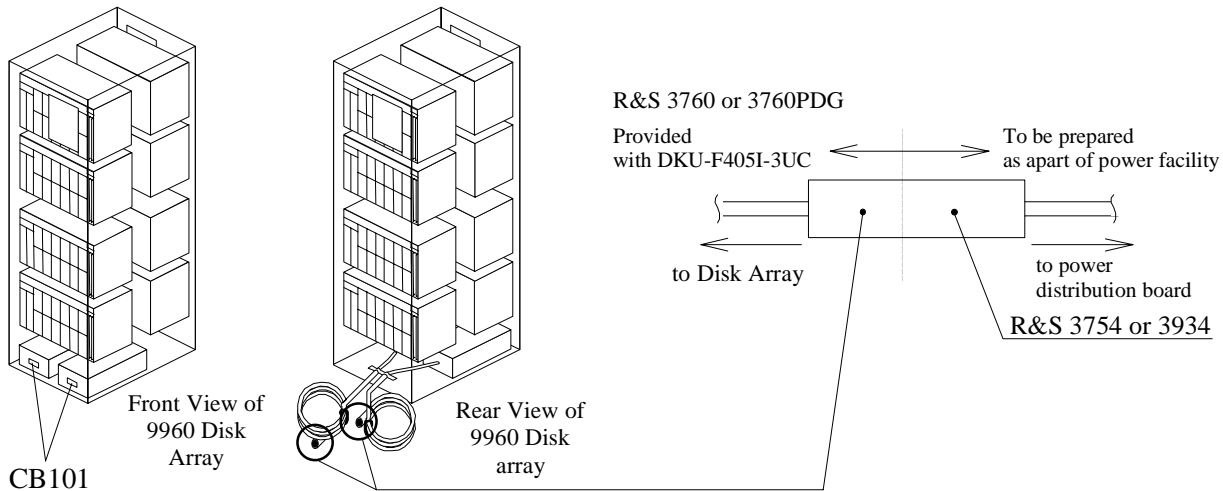
Figure 5.9 illustrates the internal cable layout of a three-phase 9960 subsystem.



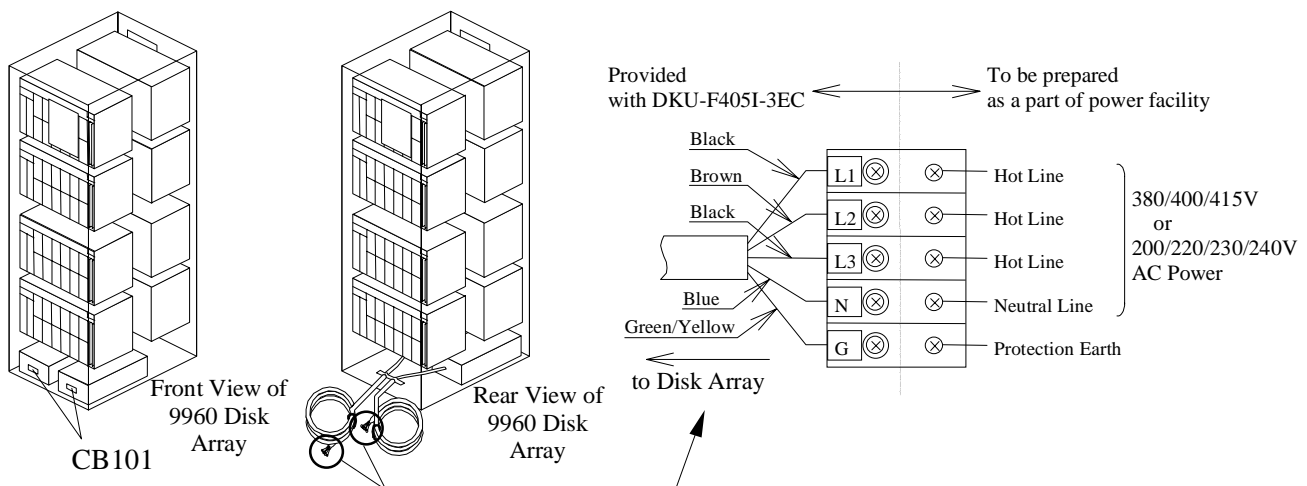
**Figure 5.9** Diagram of Power Plugs for Three-Phase 9960 Disk Array Unit (Europe)

### 5.3.2 Power Plugs

Figure 5.10 illustrates the power plugs for a three-phase 9960 disk array unit (USA). Figure 5.11 illustrates the power plugs for a three-phase 9960 disk array unit (Europe).



**Figure 5.10 Diagram of Power Plugs for Three-Phase 9960 Disk Array Unit (USA)**



**Figure 5.11 Diagram of Power Plugs for Three-Phase 9960 Disk Array Unit (Europe)**

### 5.3.3 Features

Table 5.4 lists the features for a three-phase 9960 subsystem.

**Table 5.4 9960 Three-Phase Features**

| Frame      | Feature Number | Description                                    | Comments   |
|------------|----------------|--|--|
| Controller | N/A            | --   | If using three-phase power, the Controller Frame receives its input power from the first disk array frame. All Controller single-phase features must be removed to allow three-phase power for the Controller. |
| Disk Array | DKU-F405I-3PS  | AC Box Kit for Three-Phase                     | Consists of two AC Boxes   |
| Disk Array | DKU-F405I-3EC  | Power Cable Kit (Three-Phase Model for Europe) |  |
| Disk Array | DKU-F405I-3UC  | Power Cable Kit (Three-Phase Model for USA)    |  |

### 5.3.4 Connector and Receptacle Part Numbers and Electrical Ratings

Table 5.5 lists the connector and receptacle part numbers and electrical ratings recommended for three-phase 60-Hz input power. In a three-phase 9960 subsystem the controller frame receives its AC input power from the first Disk Array Frame via internal cabling, so that subsystem will not require any customer outlets for the controller frame. The user must supply all power receptacles and connectors for both 60-Hz and 50-Hz subsystems. Russell & Stoll type (R&S) connectors are recommended for 60-Hz systems.

**Note:** Each disk array frame requires two power connections to ensure power redundancy. It is strongly recommended that the second power source be supplied from a separate power boundary to eliminate source power as a possible single (nonredundant) point of failure.

**Table 5.5 Input Power Connector and Ratings for Three-Phase 9960 Model**

| Device          | Quantity | Plugs       | Connector | Receptacle | Electrical Rating                                   |
|-----------------|----------|-------------|-----------|------------|---|
| Controller      | 0        | N/A         | N/A       | N/A        | 60 Hz<br>200V, 208V, 230 V                          |
| Disk Unit (US)* | 2        | R&S FS 3760 | R&S 3934  | R&S 3754   | 60HZ<br>200V, 208V, 230 V<br>30 Amps<br>three-phase |

**\*Note:** For information on power connection specifications for locations outside the US, contact the Hitachi Data Systems Support Center for the specific country.

### 5.3.5 Input Voltage Tolerances

Table 5.6 lists the input voltage tolerances for the three-phase 9900. Transient voltage conditions must not exceed +15-18% of nominal and must return to a steady-state tolerance within of +6 to -8% of the normal related voltage in 0.5 seconds or less. Line-to-line imbalance voltage must not exceed 2.5%. Nonoperating harmonic contents must not exceed 5%.

**Table 5.6 Input Voltage Specifications for Three-Phase Power**

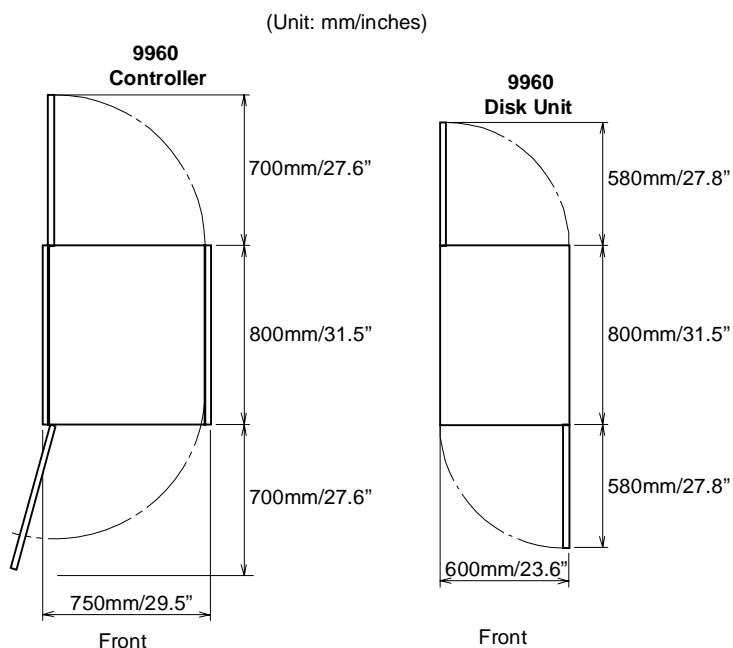
| Frequency        | Input Voltages (AC)      | Wiring                          | Tolerance (%) |
|------------------|--------------------------|---------------------------------|---------------|
| 60Hz $\pm$ 0.5Hz | 200V, 208V or 230V       | three phase three wire + ground | +6% or -8%    |
| 50Hz $\pm$ 0.5Hz | 200V, 220V, 230V or 240V | three phase three wire + ground | +6% or -8%    |
| 50Hz $\pm$ 0.5Hz | 380V, 400V, or 415V      | three phase four wire + ground  | +6% or -8%    |

**Note:** User input requires a 30 Amp circuit breaker for three-phase power

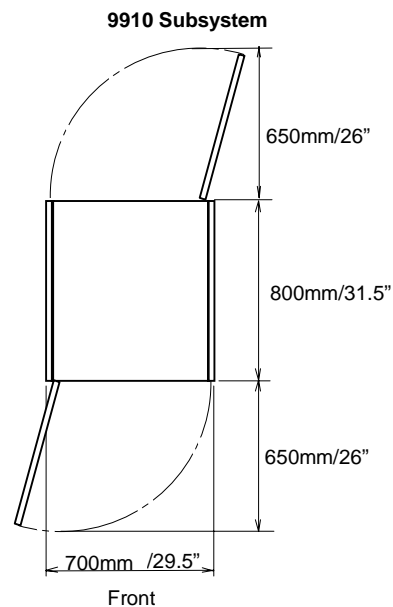


## 5.4 Dimensions and Weight

Figure 5.12 and Figure 5.13 illustrate the dimensions and weight of the 9960 and 9910 DKC and DKU. Table 5.7 lists the physical specifications, Table 5.8 lists the frame and component weights, and Table 5.9 lists the subsystem weights.



**Figure 5.12 9960 DKC and DKU Physical Dimensions**



**Figure 5.13 9910 DKC and DKU Physical Dimensions**

**Table 5.7 9900 Physical Specifications**

| Item                                 |        | 9960 Controller              |               | 9960 Disk Unit *6             |              | 9910 Subsystem                |               |
|--------------------------------------|--------|------------------------------|---------------|-------------------------------|--------------|-------------------------------|---------------|
| Dimension (mm/in)                    | Width  | 750 mm / 29.5 in *1          |               | 600 mm / 23.6 in *1           |              | 700 mm / 29.5 in *1           |               |
|                                      | Depth  | 800 mm / 31.5 in             |               |                               |              |                               |               |
|                                      | Height | 1,790 mm / 70.5 in           |               |                               |              |                               |               |
| Floor Area with no service clearance |        | 0.6 sq meters / 6.5 sq feet  |               | 0.48 sq meters / 5.16 sq feet |              | 0.56 sq meters / 6 sq feet    |               |
| Floor Area with service clearance    |        | 1.8 sq meters / 19.4 sq feet |               | 1.44 sq meters / 15.5 sq feet |              | 1.47 sq meters / 15.8 sq feet |               |
| Weight (kg)/lb                       |        | 412 / 908 *2                 | 480 / 1058 *5 | 480 / 1058 *3                 | 440 / 970 *4 | 525 / 1157 *7                 | 506 / 1116 *8 |
| Heat Output (kW)                     |        | 1.46 *2                      | 3.43 *5       | 2.32 *3                       | 2.85 *4      | 3.1 *7                        | 2.81 *8       |
| Power Consumption (kVA)              |        | 1.57 *2                      | 3.72 *5       | 2.41 *3                       | 3.14 *4      | 3.34 *7                       | 3.06 *8       |
| Air Flow (m3/min.)                   |        | 18 *2 *3                     |               | 12 *4 *5                      |              | 15 *7 *8                      |               |

**Notes:**

\*1. This includes the thickness of side covers (16mm/.6 in × 2).

\*2. These values are used when 9960 has 8 GB cache memory, two fibre 8-port adapters, and an additional disk adapter.

\*3. These values are used when 9960 Controller has maximum options.

\*4. These values apply to a maximum size Disk Unit using 18-GB hard disk drives (HDDs).

\*5. These values apply to a maximum size Disk Unit using 47-GB or 73-GB HDDs.

\*6. The number of Disk Units per 9960 subsystem is 1-6.

\*7. These values are used when 9910 has 16 GB cache, three fibre 8-port adapters, and fully populated with 47- or 73-GB HDDs.

\*8. These values are used when 9910 has 16 GB cache memory, three fibre 8-port adapters, and fully populated with 18-GB HDDs.

**Table 5.8 9960 Frame and Component Weights**

| Frame      | Component  | kg  | lb   |
|------------|--|-----|------|
| Controller | - first ACP pair<br>- cache boards with no memory  | 402 | 886  |
| Controller | - two ACP pairs<br>- 8 GB cache<br>- two sets of fibre 8-port Adapters                                   | 412 | 908  |
| Controller | - four ACP pairs<br>- 32 GB cache<br>- four sets of fibre 8-port Adapters<br>- additional power supplies | 480 | 1058 |
| Disk Array | maximum configuration of 18-GB drives  | 440 | 970  |
| Disk Array | maximum configuration of 47-GB and/or 73-GB disk drives  | 480 | 1058 |
| Disk Array | RAID-5/RAID-1 array group using (4 x 18-GB disk drives per group)  | 5.6 | 12.3 |
| Disk Array | RAID-5/RAID-1 array group using (4 x 47-GB or 73-GB disk drives per group)                               | 7.2 | 15.9 |
| Disk Array | Spare 18-GB hard drive   | 1.4 | 3.1  |
| Disk Array | Spare 47-GB or 73-GB hard drive  | 1.8 | 4.0  |

**Table 5.9 9960 & 9910 Subsystem Weights**

| Subsystem | Subsystem Configuration   | kg*  | lb*  |
|-----------|---|------|------|
| 9960      | Controller with 32 GB cache, 4 sets of 8-port adapters and 1 Disk Array frame fully populated with 47-GB and/or 73-GB drives  | 960  | 2116 |
|           | Controller with 32 GB cache, 4 sets of 8-port adapters and 2 Disk Array frames fully populated with 47-GB and/or 73-GB drives | 1440 | 3174 |
|           | Controller with 32 GB cache, 4 sets of 8-port adapters and 3 Disk Array frames fully populated with 47-GB and/or 73-GB drives | 1920 | 4232 |
|           | Controller with 32 GB cache, 4 sets of 8-port adapters and 4 Disk Array frames fully populated with 47-GB and/or 73-GB drives | 2400 | 5290 |
|           | Controller with 32 GB cache, 4 sets of 8-port adapters and 5 Disk Array frames fully populated with 47-GB and/or 73-GB drives | 2880 | 6348 |
|           | Controller with 32 GB cache, 4 sets of 8-port adapters and 6 Disk Array frames fully populated with 47-GB and/or 73-GB drives | 3360 | 7406 |
| 9910      | Single frame with 16 GB cache, 3 sets of 8-port adapters, fully populated with 18-GB drives                                   | 525  | 1157 |
|           | Single frame with 16 GB cache, 3 sets of 8-port adapters, fully populated with 47-GB and/or 73-GB drives                      | 506  | 1116 |

**\*Note:** This is maximum weight. Other configurations (e.g. single phase, 4-port adapters) will have less weight.

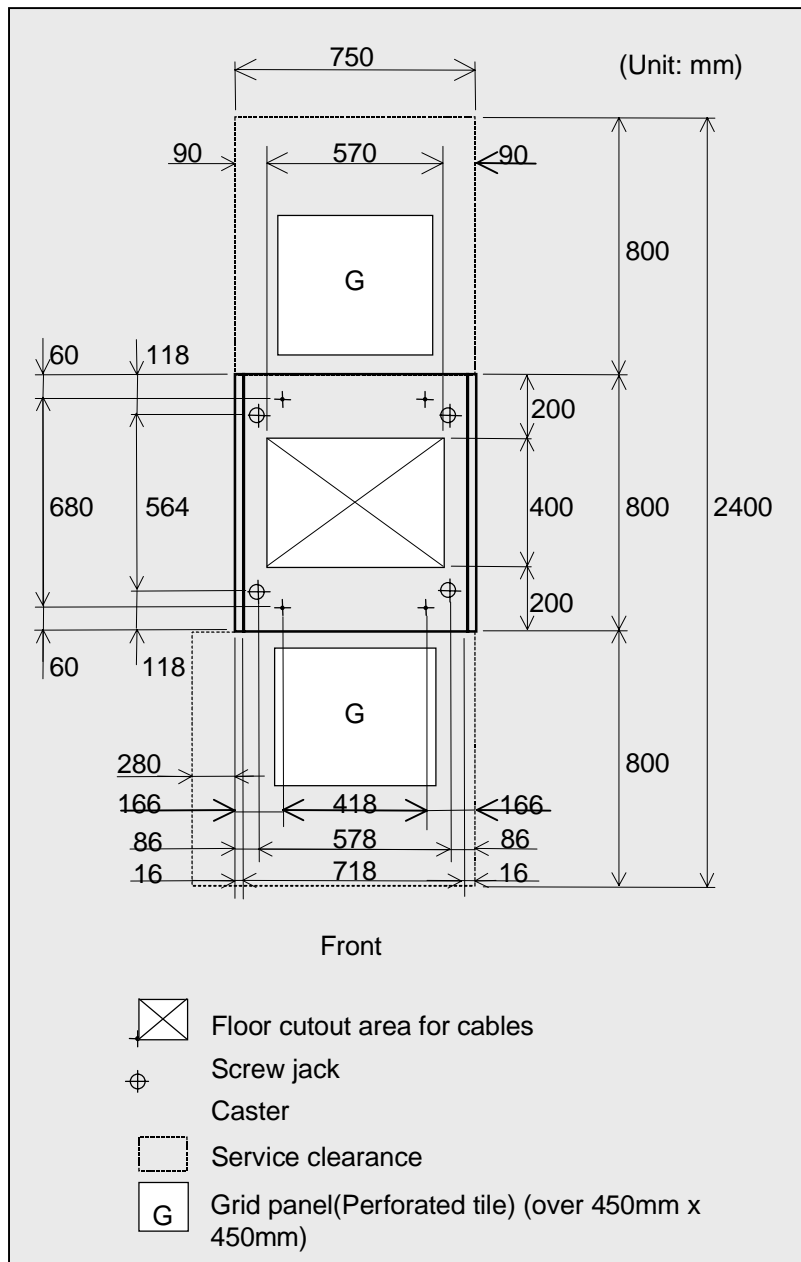
## 5.5 Floor Loading and Cable Routing Requirements

### 5.5.1 Service Clearance Requirements

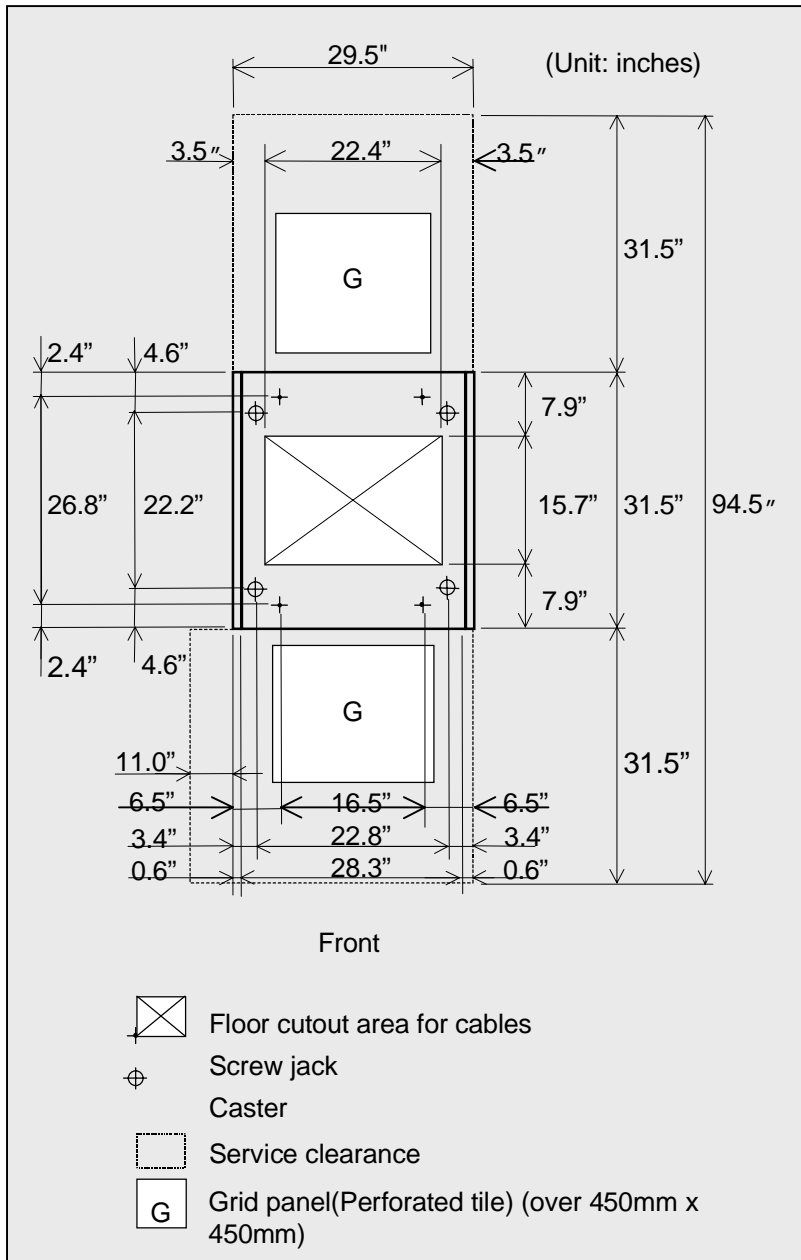
Figure 5.14 through Figure 5.17 specify the service clearance requirements (a + b) based on the floor load rating and the clearance (c). The following formula can be used to calculate floor loading to ensure that the weight of all equipment to be installed is adequately supported. Total area is defined as machine area plus half the service clearance.

$$\frac{\text{machine weight} + (15 \text{ lb/ft}^2 \times 0.5 \text{ service clearance}) + (10 \text{ lb/ft}^2 \times \text{total area})}{\text{total area}}$$

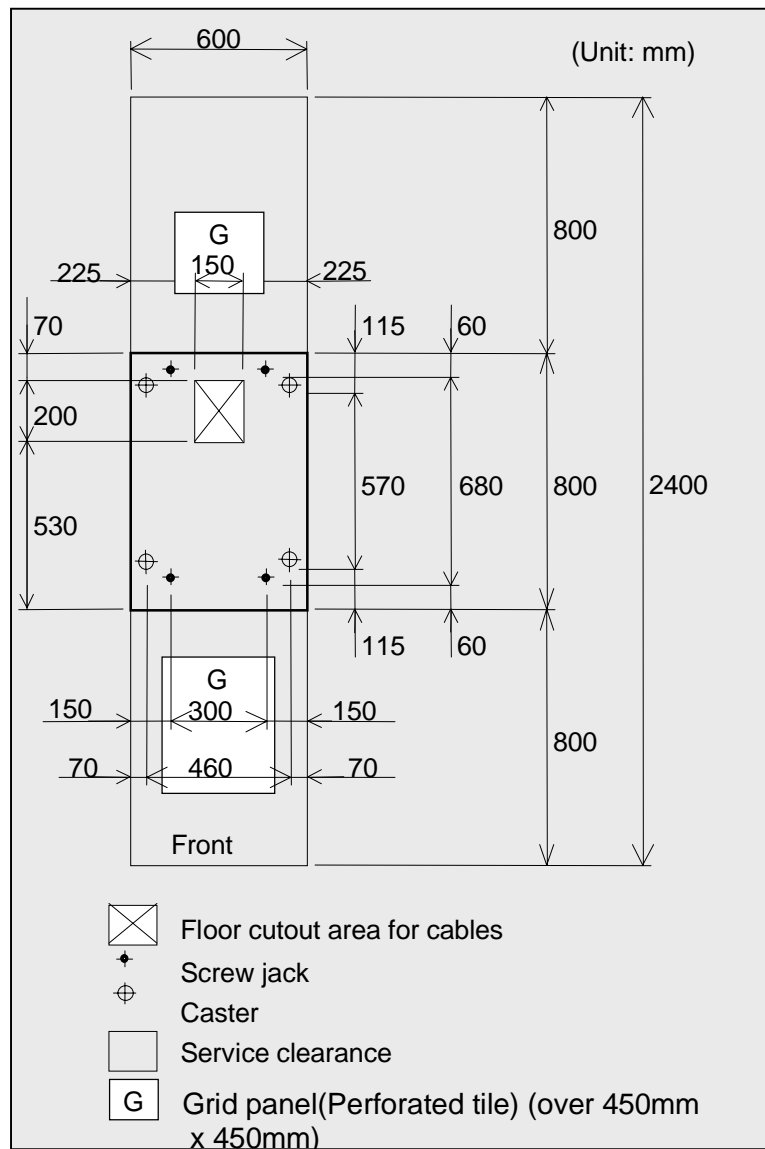
The additional weight of the raised floor and the weight of the cables is 10 lb/ft<sup>2</sup> (50 kg/m<sup>2</sup>) uniformly across the total area used in the calculations. When personnel and equipment traffic occur in the service clearance area, a distributed weight of 15 lb/ft<sup>2</sup> (75 kg/m<sup>2</sup>) is allowed. This distributed weight is applied over half of the service clearance area up to a maximum of 30 inches (760 mm) from the machine.



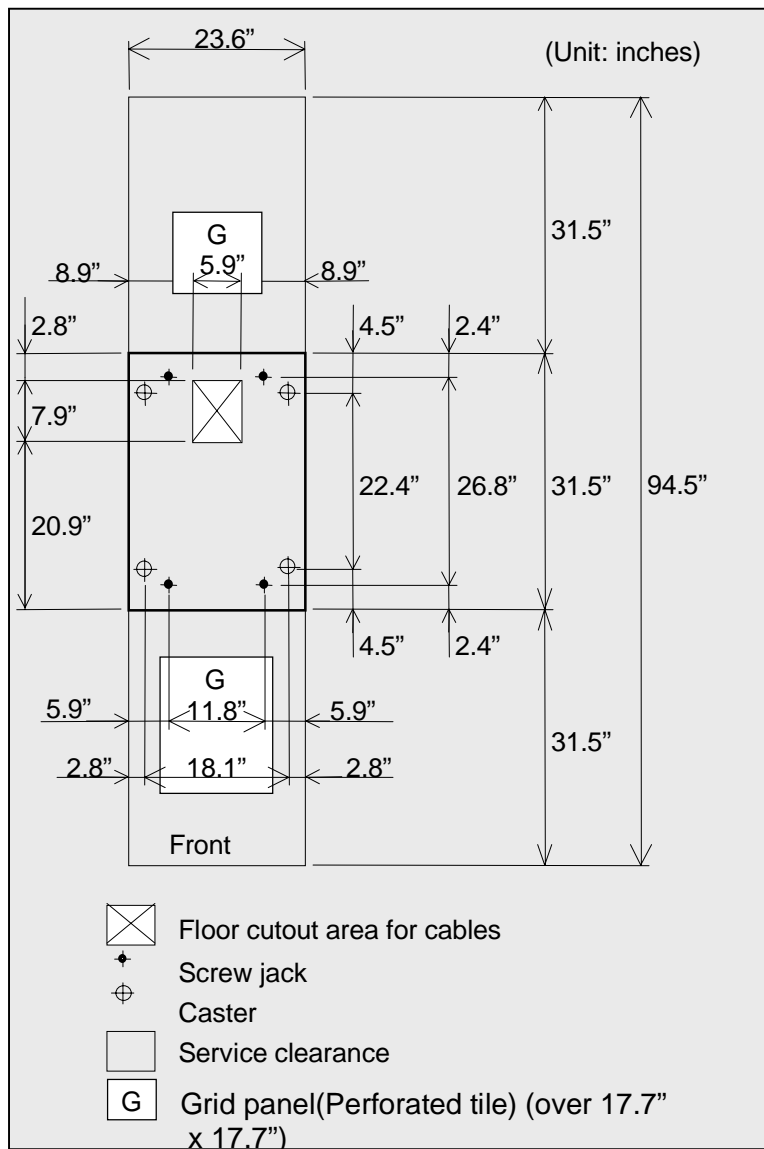
**Figure 5.14 9960 Controller Frame Service Clearance and Cutouts (millimeters)**



**Figure 5.15 9960 Controller Frame Service Clearance and Cutouts (inches)**



**Figure 5.16 9960 Disk Array Unit Service Clearance and Cutout (millimeters)**

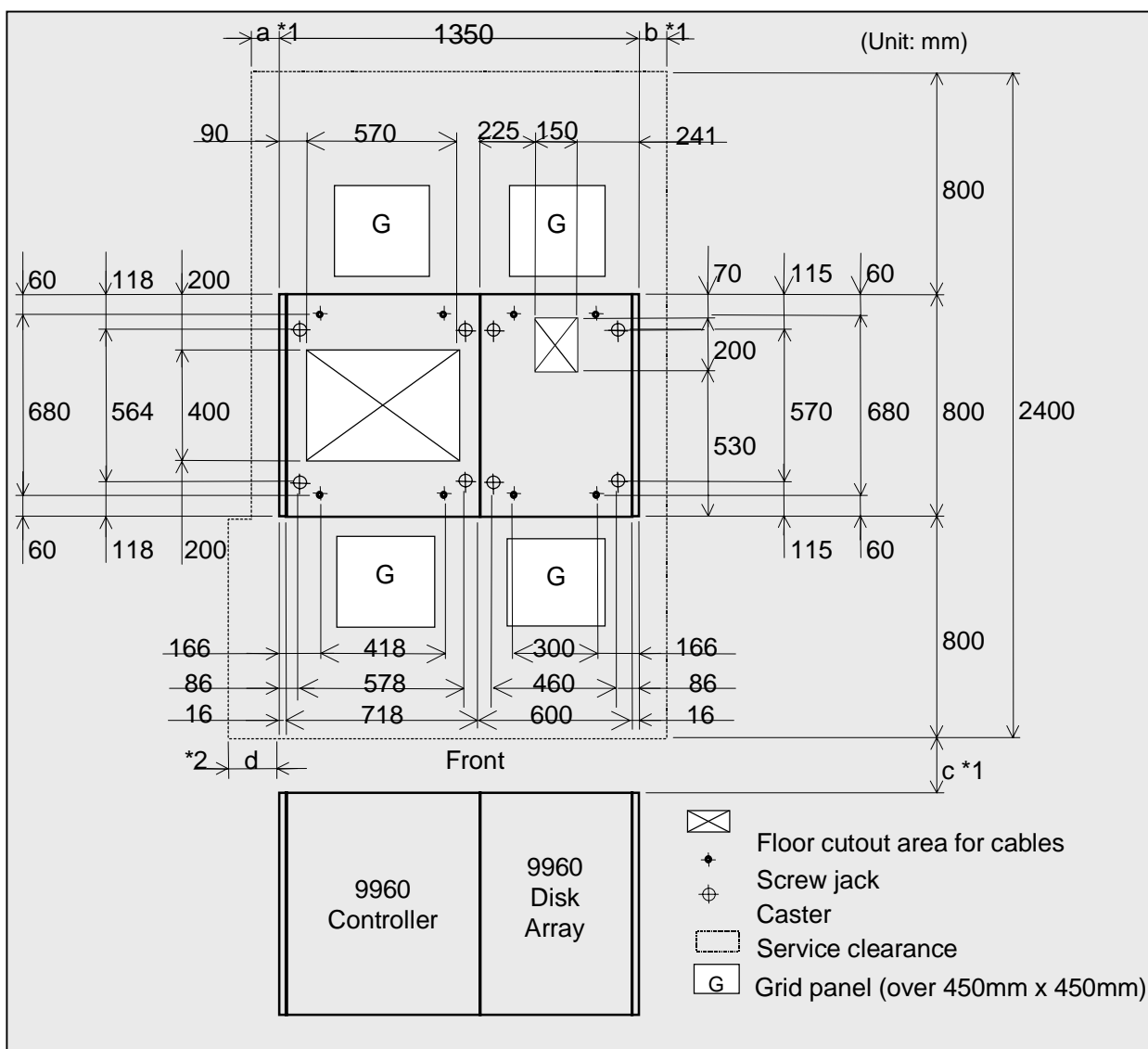


**Figure 5.17 9960 Disk Array Unit Service Clearance and Cutout (inches)**

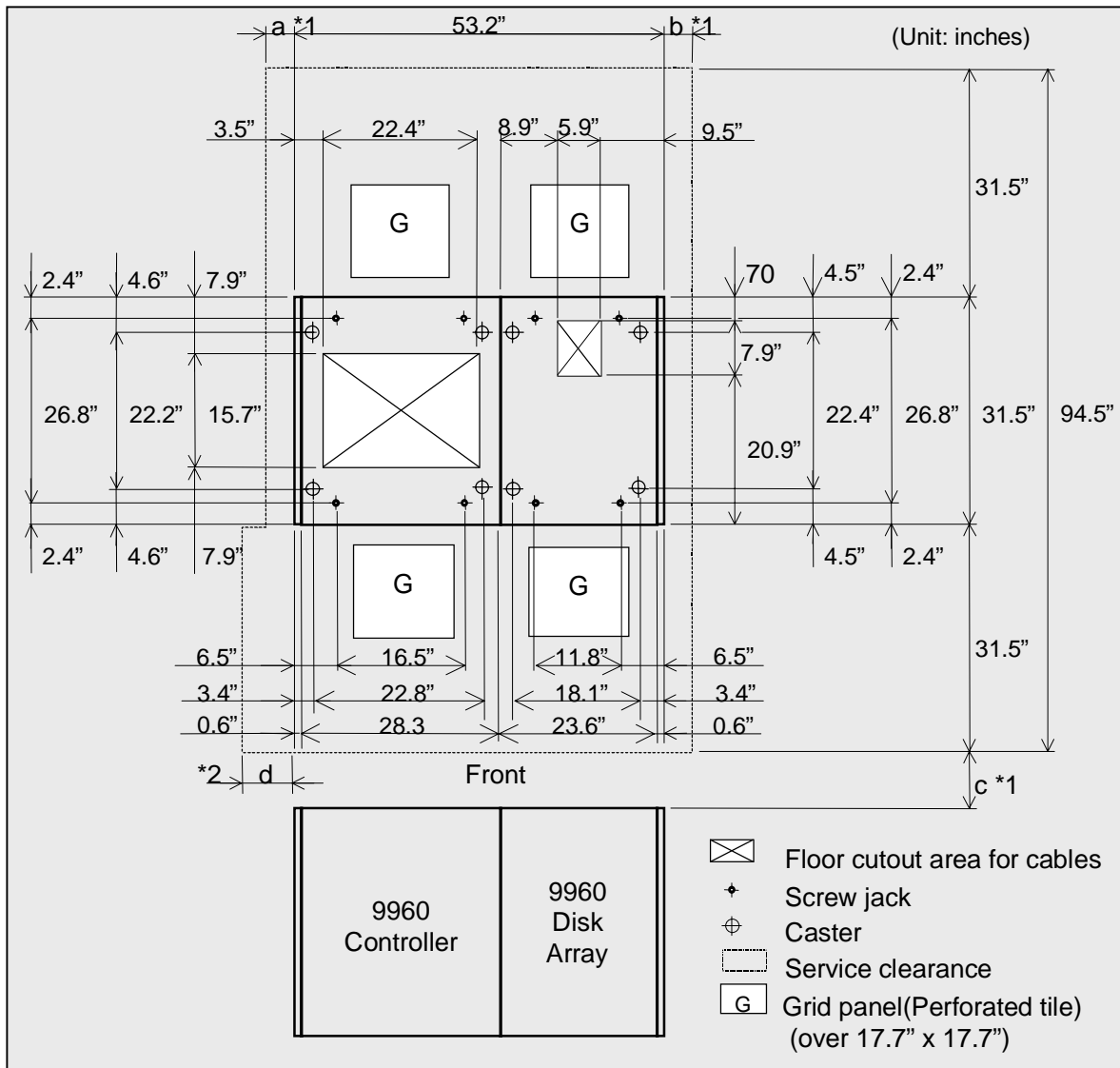


## 5.5.2 Minimum Subsystem Disk Configuration

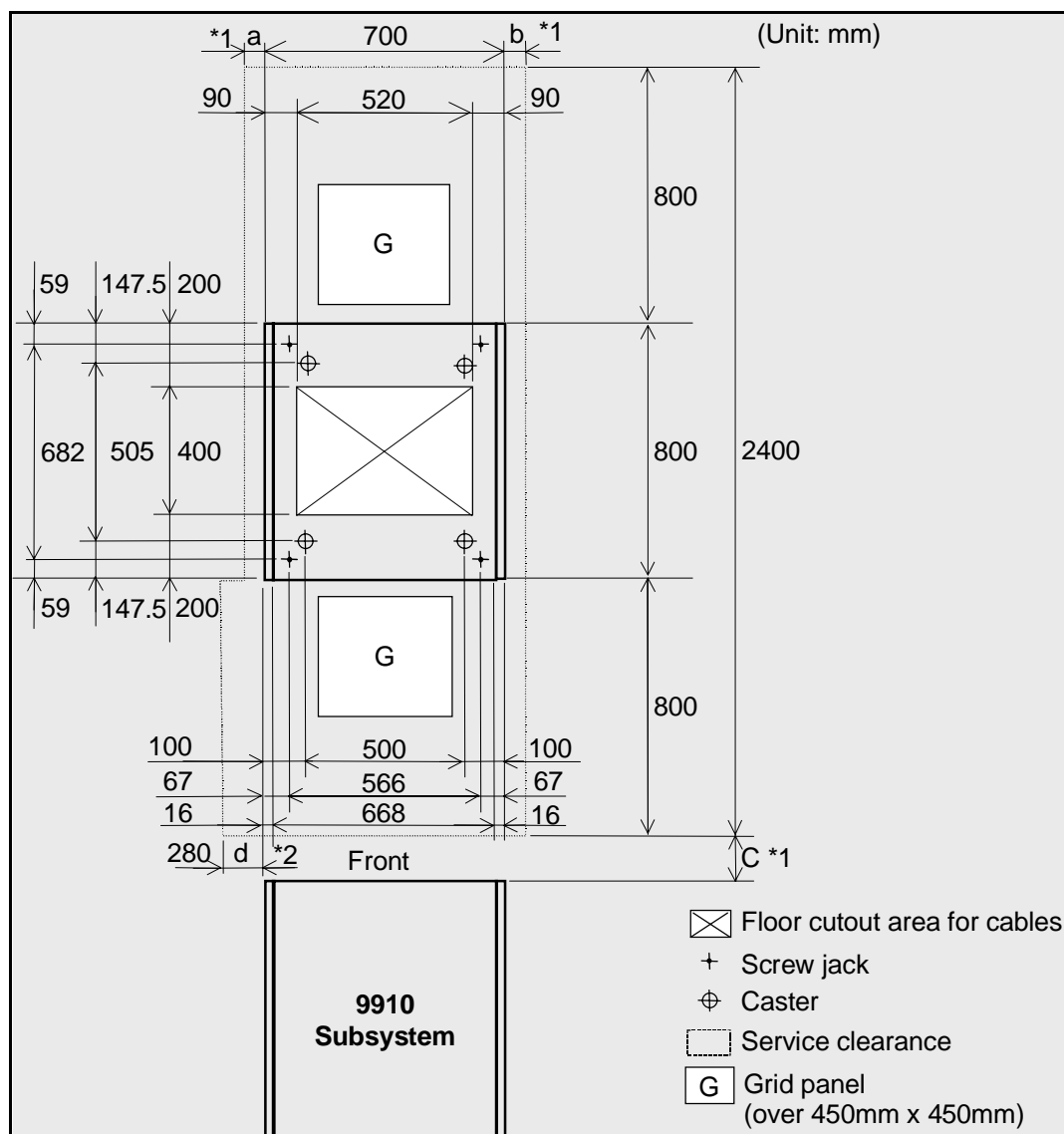
Figure 5.18 and Figure 5.19 illustrate the 9960 and 9910 subsystem minimum configuration. Figure 5.20 and Figure 5.21 illustrate all configurations of the 9960 and 9910 subsystems.



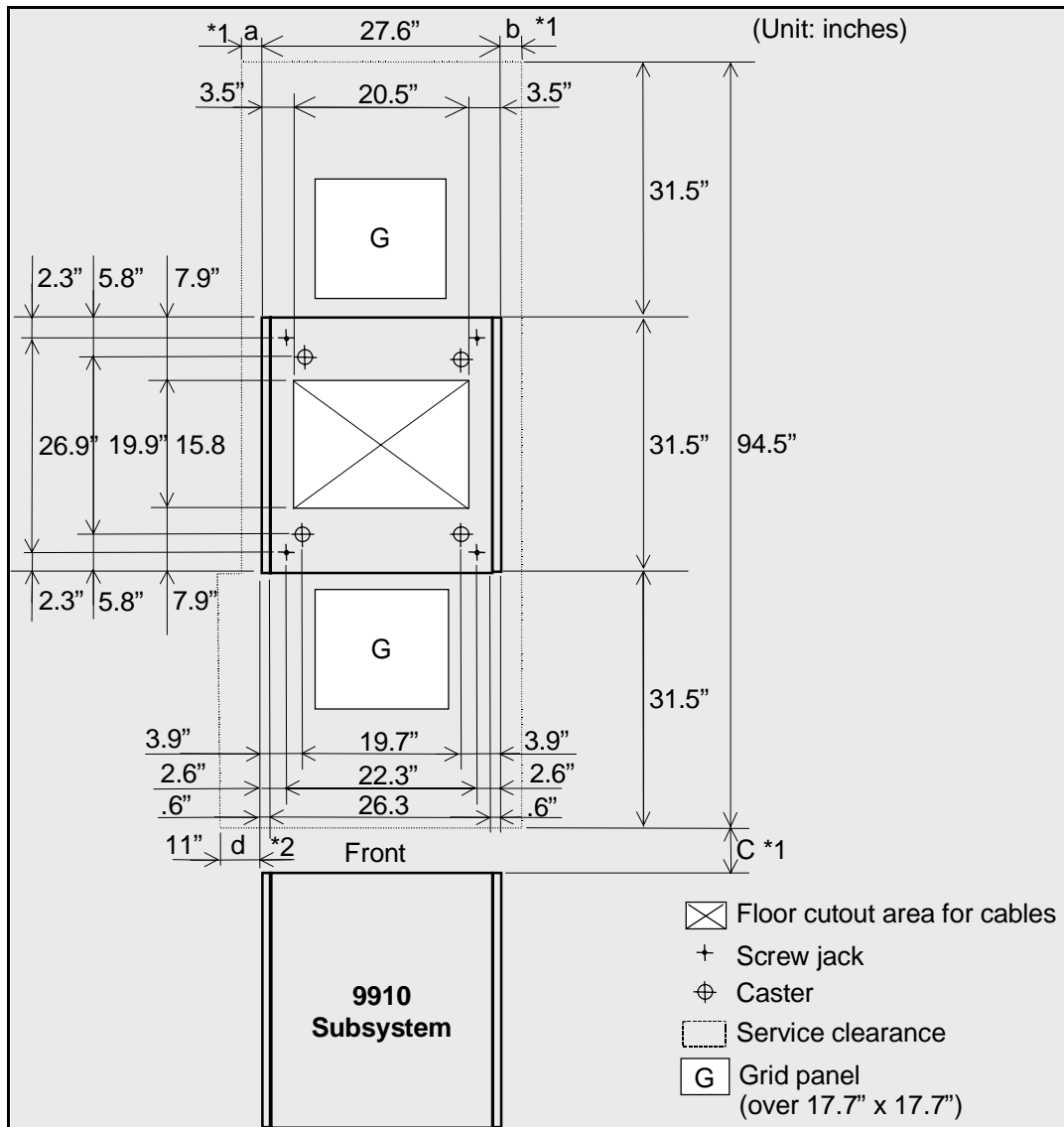
**Figure 5.18 9960 Disk Subsystem Minimum Configuration (millimeters)**



**Figure 5.19 9960 Disk Subsystem Minimum Configuration (inches)**



**Figure 5.20 9910 Disk Subsystem All Configurations (millimeters)**



**Figure 5.21 9910 Disk Subsystem All Configurations (inches)**

### 5.5.3 Floor Load Rating

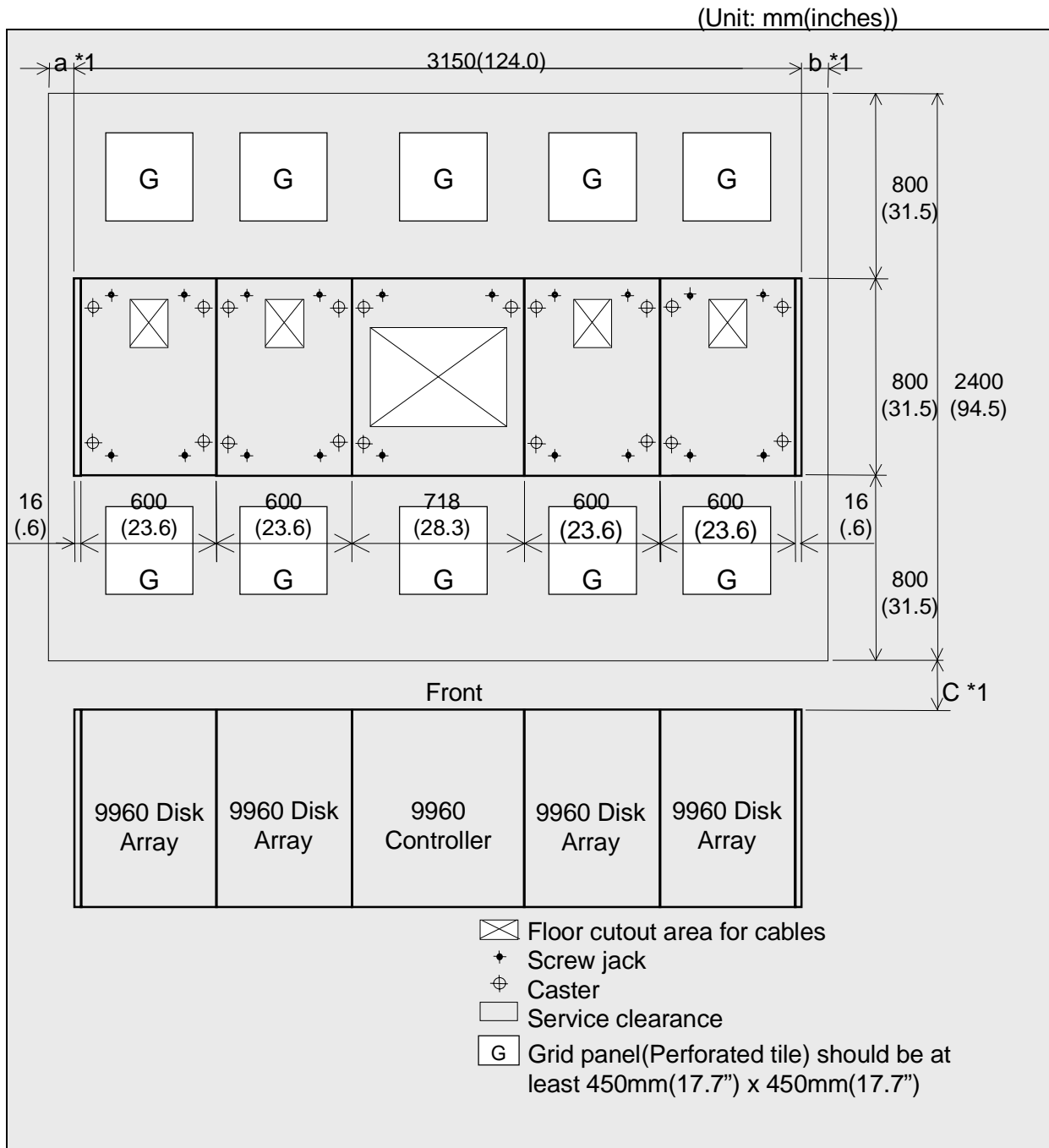
Figure 5.22, Figure 5.23, and Table 5.10 through Table 5.13 illustrate the floor loading ratings for various 9960 and 9910 subsystem configurations.

**Table 5.10 Floor Load Rating for 9910 Subsystem**

| Floor load<br>Rating<br>Kg/m <sup>2</sup> (lb/ft <sup>2</sup> ) | Required clearance (a+b)     |               |               |               |                |
|---|------------------------------|---------------|---------------|---------------|----------------|
|   | Clearance(C) in meters(feet) |               |               |               |                |
|   | C=0                          | C=0.2(.66)    | C=0.4(1.31)   | C=0.6(1.97)   | C=1.0(3.28)    |
| 500(102.4)  | 0.6 m(2 ft)                  | 0.3 m(1 ft)   | 0.1 m(.3 ft)  | 0             | 0              |
| 450(92.2)   | 0.8 m(2.6 ft)                | 0.5 m(1.6 ft) | 0.3 m(1 ft)   | 0.1 m(.3 ft)  | 0              |
| 400(81.9)   | 1.0 m(3.3 ft)                | 0.7 m(2.3 ft) | 0.5 m(1.6 ft) | 0.3 m(1 ft)   | 0              |
| 350(71.7)   | 1.4 m(4.6 ft)                | 1.0 m(3.3 ft) | 0.7 m(2.3 ft) | 0.5 m(1.6 ft) | 0.2 m(0.66 ft) |
| 300(61.4)   | 2.0 m(6.6 ft)                | 1.5 m(4.9 ft) | 1.1 m(3.6)    | 0.9 m(3 ft)   | 0.5 m(1.6 ft)  |

**Table 5.11 Floor Load Rating for 9960 Controller with 1 Disk Array**

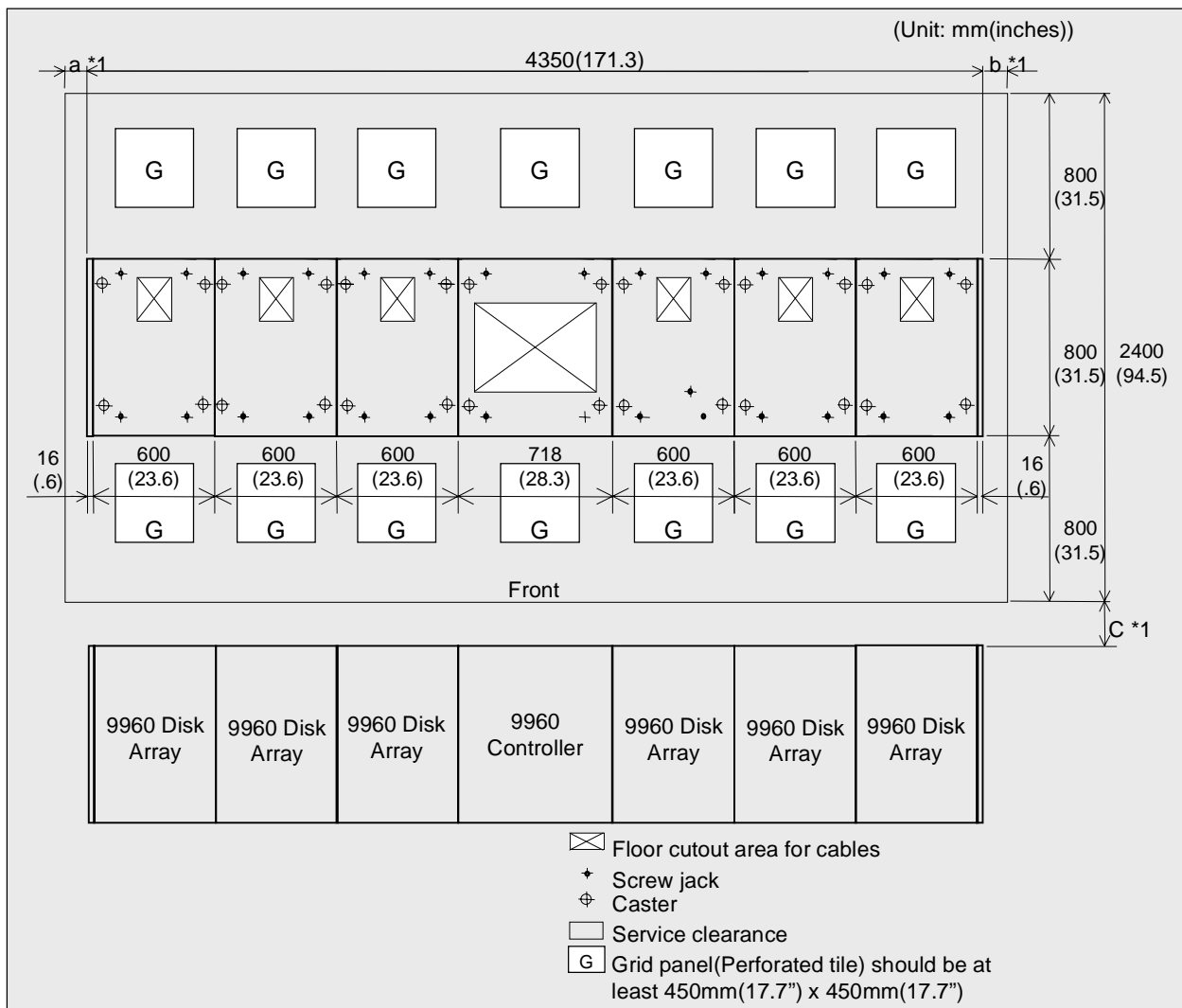
| Floor load<br>Rating<br>Kg/m <sup>2</sup> (lb/ft <sup>2</sup> ) | Required clearance (a+b)     |               |               |               |               |
|---|------------------------------|---------------|---------------|---------------|---------------|
|   | Clearance(C) in meters(feet) |               |               |               |               |
|   | C=0                          | C=0.2(.66)    | C=0.4(1.31)   | C=0.6(1.97)   | C=1.0(3.28)   |
| 500(102.4)  | 0                            | 0             | 0             | 0             | 0             |
| 450(92.2)   | 0.2 m(0.66 ft)               | 0             | 0             | 0             | 0             |
| 400(81.9)   | 0.5 m(1.6 ft)                | 0.3 m(1 ft)   | 0.1 m(.3 ft)  | 0             | 0             |
| 350(71.7)   | 0.9 m(3 ft)                  | 0.7 m(2.3 ft) | 0.5 m(1.6 ft) | 0.3 m(1 ft)   | 0             |
| 300(61.4)   | 1.6 m(5.2 ft)                | 1.3 m(4.3 ft) | 1.0 m(3.3 ft) | 0.8 m(2.6 ft) | 0.4 m(1.3 ft) |



**Figure 5.22 9960 Disk Subsystem with Controller and 4 Disk Arrays**

**Table 5.12 Floor Load Rating for 9960 Controller with 4 Disk Arrays**

| Floor load<br>Rating<br>Kg/m2(lb/ft2) | Required clearance (a+b)     |                |               |                |               |
|---------------------------------------|------------------------------|----------------|---------------|----------------|---------------|
|                                       | Clearance(C) in meters(feet) |                |               |                |               |
|                                       | C=0                          | C=0.2(.66)     | C=0.4(1.31)   | C=0.6(1.97)    | C=1.0(3.28)   |
| 500(102.4)                            | 0.2 m(0.66 ft)               | 0              | 0             | 0              | 0             |
| 450(92.2)                             | 0.8 m(2.6 ft)                | 0.3 m(1 ft)    | 0             | 0              | 0             |
| 400(81.9)                             | 1.5 m(4.9 ft)                | 1.0 m(3.3 ft)  | 0.5 m(1.6 ft) | 0.2 m(0.66 ft) | 0             |
| 350(71.7)                             | 2.5 m(8.2 ft)                | 1.9 m(6.2 ft)  | 1.4 m(4.6 ft) | 1.0 m(3.3 ft)  | 0.3 m(1 ft)   |
| 300(61.4)                             | 4.1 m(13.5 ft)               | 3.3 m(10.8 ft) | 2.7 m(8.9 ft) | 2.1 m(6.9 ft)  | 1.3 m(4.3 ft) |



**Figure 5.23 9960 Disk Subsystem with Maximum Configuration**

**Table 5.13 Floor Load Rating for 9960 Controller with Maximum Configuration**

| Floor load<br>Rating<br>kg/m <sup>2</sup> (lb/ft <sup>2</sup> ) | Required clearance (a+b)     |                |                |                |               |
|---|------------------------------|----------------|----------------|----------------|---------------|
|   | Clearance(C) in meters(feet) |                |                |                |               |
|   | C=0                          | C=0.2(.66)     | C=0.4(1.31)    | C=0.6(1.97)    | C=1.0(3.28)   |
| 500(102.4)  | 0.2 m(0.66 ft)               | 0              | 0              | 0              | 0             |
| 450(92.2)   | 0.9 m(3 ft)                  | 0.3 m(1 ft)    | 0              | 0              | 0             |
| 400(81.9)   | 1.8 m(5.9 ft)                | 1.1 m(3.6 ft)  | 0.6 m(2 ft)    | 0.2 m(0.66 ft) | 0             |
| 350(71.7)   | 3.2 m(10.5 ft)               | 2.4 m(7.9 ft)  | 1.7 m(5.6 ft)  | 1.1 m(3.6 ft)  | 0.3 m(1 ft)   |
| 300(61.4)   | 5.4 m(17.7 ft)               | 4.3 m(14.1 ft) | 3.4 m(11.2 ft) | 2.7 m(8.9 ft)  | 1.6 m(5.2 ft) |



## 5.5.4 Cable Requirements

Table 5.14 lists the cables required for the 9900 control frame. These cables must be ordered separately, and the quantity depends on the type and number of channels and ports. ESCON® and fibre-channel cables are available from Hitachi Data Systems.

**Table 5.14 Cable Requirements**

| Cable                                    | Function  |
|--|---|
| PCI cable                                | Connects 9900 to CPU power control interface.   |
| ESCON® interface cables                  | <p>Connects mainframe Host Systems, channel extenders or ESCON® Directors to 9900 ports.</p> <p>Multimode cables:</p> <ul style="list-style-type: none"><li>• commonly called jumper cables</li><li>• Use LED light source</li><li>• plug directly on CHA cards</li><li>• orange cables with black duplex connectors</li><li>• contain 2 fibers (transmit and receive)</li><li>• 62.5 micron (up to 3 km per link)</li><li>• 50 micron (up to 2km per link)</li></ul> <p>Mono/Single mode cables:</p> <ul style="list-style-type: none"><li>• required on XDF links between ESCON® directors or IBM 9036 ESCON® remote control extenders.</li><li>• Use laser light source</li><li>• Yellow in color with gray duplex connectors</li><li>• 8-10 micron. However, the most common is 9 micron.</li></ul> |
| Fibre Cables                             | Connects Open Systems to 9900 ports. Fibre cable type is 50 micron multimode.   |
| Phone cable with RJ11 connector.         | Connects phone line to 9900 SVP for Hi-Track.   |
| 10BaseT cable with RJ45 connector.       | Connects remote console PC to 9900 subsystem.   |
| 10Base2 cable (RG58) with BNC connector. | Connects Remote console to 9900 subsystem and allows connection to multiple 9900's (up to 8) without using a hub. Requires a transceiver.   |

## 5.6 Channel Specifications and Requirements

Table 5.15 lists the port specifications for each 9900 channel option. Each 9960 subsystem supports up to four channel adapters and 32 interface ports. Each 9910 subsystem supports up to three channel adapters and 24 interface ports. Each adapter consists of two cards.

**Note:** Additional power supplies are needed if three or more channel adapters are installed.

**Table 5.15 Port Information**

| Item  | ExSA™<br>4-Port | ExSA™<br>8-Port | Fibre 4-Port<br>Short<br>Wavelength | Fibre 8-Port<br>Short<br>Wavelength | Fibre 4-Port<br>Long<br>Wavelength | Fibre 8-Port<br>Long<br>Wavelength |
|---|-----------------|-----------------|-------------------------------------|-------------------------------------|------------------------------------|------------------------------------|
| Adapter model number                          | 4S              | 8S              | 4GS                                 | 8GS                                 | 4GL                                | 8GL                                |
| Max. data transfer rate (MB/s)                | 10/17           | 10/17           | 100                                 | 100                                 | 100                                | 100                                |
| Number of ports/adapter                       | 4               | 8               | 4                                   | 8                                   | 4                                  | 8                                  |
| Number of MPs/adapter                         | 4               | 8               | 4                                   | 8                                   | 4                                  | 8                                  |
| Host interface                                | ExSA™           | ExSA™           | Fibre                               | Fibre                               | Fibre                              | Fibre                              |
| Number of adapter pairs per<br>9960 subsystem | 1 to 4          | 1 to 4          | 1 or 4                              | 1 to 4                              | 1 to 4                             | 1 to 4                             |
| Number of adapter pairs per<br>9910 subsystem | 1 to 3          | 1 to 3          | 1 or 3                              | 1 to 3                              | 1 to 3                             | 1 to 3                             |
| Max. interface cable length                   | 43 km           | 43 km           | 500 m                               | 500 m                               | 10 km                              | 10 km                              |

## 5.7 Environmental Specifications and Requirements

### 5.7.1 Temperature and Humidity Requirements

Table 5.16 lists the temperature and humidity requirements for the 9900 subsystem. The recommended operational room temperature is 70–75°F (21–24°C). The recommended operational relative humidity is 50% to 55%.

**Table 5.16 Temperature and Humidity Requirements**

| Parameter                            | Operating *1 |        | Non-Operating *2 |         | Shipping & Storage *3 |         |
|--------------------------------------|--------------|--------|------------------|---------|-----------------------|---------|
|                                      | Low          | High   | Low              | High    | Low                   | High    |
| Temperature °F(°C)                   | 60(16)       | 90(32) | 14(-10)          | 109(43) | 5(-25)                | 140(60) |
| Relative Humidity (%) *4             | 20 - 80      |        | 8 - 90           |         | 5 - 95                |         |
| Max. Wet Bulb °F (°C)                | 79(26)       |        | 81(27)           |         | 84(29)                |         |
| Temperature Deviation °F (°C) / hour | 50(10)       |        | 50(10)           |         | 68(20)                |         |

**Notes:**

\*1. Environmental specification for operating condition should be satisfied before the disk subsystem is powered on. The maximum temperature of 90°F (32°C) should be strictly satisfied at the air inlet portion of the subsystem. The recommended temperature range is 70-75°F (21-24°C).

\*2. This includes both packing and unpacking conditions unless otherwise specified.

\*3. During shipping or storage, the product should be packed with factory packing.

\*4. No condensation in and around the drive should be observed under any conditions.

## 5.7.2 Power Consumption and Heat Output Specifications

Table 5.17 lists the power consumption and heat output parameters for the 9900 control frame and array frames. These data generally apply to both 60-Hz and 50-Hz subsystems. Table 5.18 lists the power consumption and heat output parameters for the various configurations of the 9900 subsystem.

**Table 5.17 9910/9960 Component Power and Heat Output Specifications**

| Subsystem Type | Component   | Power (kVA) | Heat (kW) |
|----------------|---|-------------|-----------|
| 9960           | Basic Controller frame with:<br>- first ACP pair(2 cards total)<br>- no cache or shared memory(2 empty cache cards)   | 0.95        | 0.89      |
|                | Controller frame with:<br>- two ACP pairs(4 cards total)<br>- 4 GB cache, shared mem<br>- one 8-Port ExSA or Fibre adapter(2 cards total)   | 1.3         | 1.2       |
|                | Controller frame with all options:<br>- four ACP pairs(8 cards total)<br>- 32 GB cache and associated shared memory (4 cards total)<br>- four(4) 8-Port Serial/Fibre adapters(8 cards total)<br>- additional power supplies for >2 channel/fibre adapters | 2.41        | 2.32      |
|                | Empty array frame   | 0.704       | 0.561     |
|                | Disk Array frame with maximum amount of 18 GB hard drives   | 3.14        | 2.85      |
|                | Disk Array frame with maximum amount of 47 GB or 73 GB hard drives  | 3.72        | 3.43      |
|                |   |             |           |
| 9910/9960      | Each array group:   |             |           |
|                | RAID-5/RAID-1, 18 GB disk drives(Qty 4)   | 0.072       | 0.072     |
|                | RAID-5/RAID-1, 47 GB or 73 GB disk drives(Qty 4)  | 0.096       | 0.096     |
|                | Spare disk drives:  |             |           |
|                | 18 GB   | 0.018       | 0.018     |
|                | 47 GB or 73 GB  | 0.024       | 0.024     |

**Table 5.18 9900 Subsystem Power and Heat Output Specifications**

| Subsystem Type | Subsystem Configuration   | Power (kVA) | Heat (kW) |
|----------------|---|-------------|-----------|
| 9960           | Controller with 32 GB cache, 4 sets of 8-port Adapters and 1 fully populated Disk Array frame using 47 GB / 73 GB drives  | 6.13        | 5.75      |
|                | Controller with 32 GB cache, 4 sets of 8-port Adapters and 2 fully populated Disk Array frames using 47 GB / 73 GB drives | 9.85        | 9.18      |
|                | Controller with 32 GB cache, 4 sets of 8-port Adapters and 3 fully populated Disk Array frames using 47 GB / 73 GB drives | 13.57       | 12.61     |
|                | Controller with 32 GB cache, 4 sets of 8-port Adapters and 4 fully populated Disk Array frames using 47 GB / 73 GB drives | 17.29       | 16.04     |
|                | Controller with 32 GB cache, 4 sets of 8-port Adapters and 5 fully populated Disk Array frames using 47 GB / 73 GB drives | 21.01       | 19.47     |
|                | Controller with 32 GB cache, 4 sets of 8-port Adapters and 6 fully populated Disk Array frames using 47 GB / 73 GB drives | 24.73       | 22.9      |
| 9910           | 16 GB cache, 3 sets of 8 port adapters, fully populated with 18 GB drives   | 3.06        | 2.81      |
|                | 16 GB cache, 3 sets of 8 port adapters, fully populated with 147 GB or 73 GB drives                                       | 3.34        | 3.10      |

### 5.7.3 Air Flow Requirements

The 9900 subsystem is air cooled. Air must enter the subsystem through the air flow intakes at the sides and bottoms of the frames and must be exhausted out of the tops and sides, so it is very important that the air intakes and outlets remain clear. Hitachi Data Systems recommends that under-floor air cooling has a positive pressure and meets the specifications listed in Table 5.19. For subsystems located at elevations from 3,000 to 7,000 feet (900 to 2,100 meters) above sea level, decrease the maximum air temperature by two degrees for each 1,000 feet (300 meters) above 3,000 feet (900 meters).

**Table 5.19 Internal Air Flow**

| Subsystem Type | Subsystem configuration   | Air Flow (ft <sup>3</sup> /min) | Air Flow (m <sup>3</sup> /min) |
|----------------|---|---------------------------------|--------------------------------|
| 9960           | Control Frame with 8 GB Cache Memory , two Fibre 8-port Adapter and additional Disk Adapter | 636                             | 18                             |
|                | Control Frame with all options  | 636                             | 18                             |
|                | Array Frame, fully configured   | 424                             | 12                             |
| 9910           | Subsystem fully configured  | 530                             | 15                             |

## 5.7.4 Vibration and Shock Tolerances

Table 5.20 lists the vibration and shock tolerance data for the 9900 subsystem. The 9900 can be subjected to vibration and shock up to these limits and still perform normally. The user should consider these requirements if installing the 9900 near large generators located on the floor above or below the 9900 subsystem. Generators or any other source of vibration, if not insulated or shock-mounted, can cause excessive vibration that may affect the subsystem.

**Table 5.20 Vibration and Shock Tolerances**

| Condition | Operating                                | Nonoperating  | Shipping or Storage   |
|-----------|--|---|---|
| Vibration | 0.01"(0.25mm), 5~10Hz<br>0.05G, 10~300Hz | 0.1"(2.5mm), 5~10Hz<br>0.5G, 10~70Hz<br>0.002"(0.05mm), 70~99Hz<br>1.0G, 99~300Hz | 0.5G, 15min.<br>At four most severe resonance between<br>5~200Hz                  |
| Shock     | —  | 8G, 15ms  | Horizontal: Incline Impact 4ft (1.22m)/s<br>Vertical: Rotational Edge .3ft (0.1m) |





# Chapter 6 Troubleshooting

## 6.1 Troubleshooting

The Hitachi Lightning 9900™ subsystem provides continuous data availability and is not expected to fail in any way that would prevent access to user data. The READY and ENABLE LEDs on the 9900 control panel must be **ON** when the subsystem is operating online.

Table 6.1 lists potential error conditions and provides recommended actions for resolving each condition. If you are unable to resolve an error condition, contact your Hitachi Data Systems representative, or call the Hitachi Data Systems Support Center for assistance (see section 6.3).

**Table 6.1 Troubleshooting**

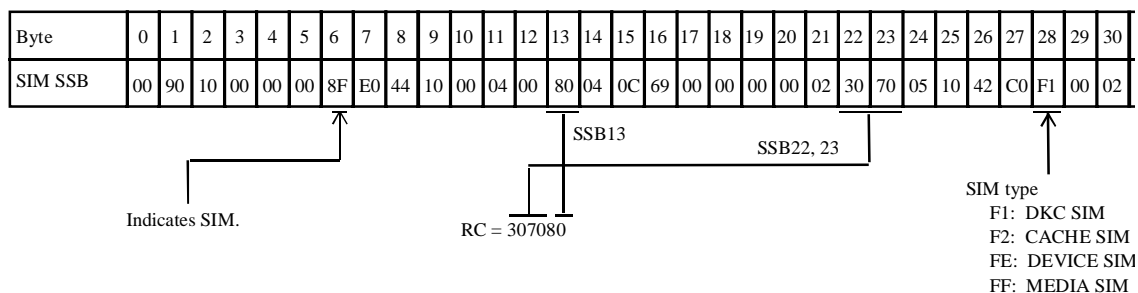
| Error Condition  | Recommended Action  |
|--|---|
| Error message displayed.                                 | Determine the type of error (refer to the SIM codes in Appendix C). If possible, remove the cause of the error. If you cannot correct the error condition, call the Hitachi Data Systems Support Center for assistance.   |
| General power failure.                                   | Turn off power to the subsystem (see section 4.2.4) to prevent damage caused by power surges when power is restored. After power has been restored and is no longer fluctuating, power on the 9900 (see section 4.2.4).   |
| Fence message is displayed on the console.               | Determine if there is a failed storage path. If so, toggle the RESTART switch, and retry the operation. If the fence message is displayed again, call the Hitachi Data Systems Support Center for assistance.   |
| Pinned track.  | <p>A “pinned track” occurs when a disk drive failure temporarily prevents data from being destaged from cache to disk. The next time the data on the pinned track is accessed by the host, the data is destaged to another disk location. The RAID technology and dynamic spare disk drives of the 9900 ensure full recovery from disk drive failures and non-stop access to all user data.</p> <p>Due to the 9900's architecture, data can remain pinned in cache if it is not accessed by the host following the pinned track condition. Certain 9900 maintenance activities (e.g., microcode updates) require that all pinned tracks be cleared. If a Hitachi Data Systems service representative encounters pinned tracks during these activities, user assistance may be required to access the data (e.g., run DSF Inspect, read and write specific data) to cause it to be destaged to disk.</p> |
| READY LED does not go on, or there is no power supplied. | Call the Hitachi Data Systems Support Center for assistance.<br><b>WARNING:</b> Do not open the 9900 control frame or touch any of the controls.  |
| ENABLE LEDs for a cluster do not go on.                  | Determine if channel I/O operations to that cluster are possible. If so, call the Hitachi Data Systems Support Center to have the LEDs checked. If not, disconnect the channel(s) (see section 4.2.5 for instructions on parallel channels), and/or call the Hitachi Data Systems Support Center.   |
| Emergency (fire, earthquake, flood, etc.)                | Pull the emergency power-off (EPO) switch. You must call the Hitachi Data Systems Support Center to have the EPO switch reset.  |
| ALARM LED is on.   | If there is an obvious temperature problem in the area, power down the subsystem, lower the room temperature to the specified operating range, and power on the subsystem. If the area temperature is not the obvious cause of the alarm, call the Hitachi Data Systems Support Center for assistance.  |

## 6.2 Service Information Messages (SIMs)

The 9900 subsystem generates service information messages (SIMs) to identify normal operations (e.g., HRC pair status change) as well as service requirements and errors or failures. Table 6.2 lists the basic SIMs generated by the 9900 subsystem. SIMs can be generated by the CHIP and ACP microprocessors and by the SVP. All SIMs issued by the 9900 are stored on the SVP for use by Hitachi Data Systems personnel, logged in the SYS1.LOGREC dataset of the S/390® host system, logged on the Remote Console PC (the Remote Console PC must be running), and reported via SNMP to the open-system host. The Remote SIM (R-SIM) feature of the 9900 Remote Console PC enables users to view the SIMs reported by the attached 9900 subsystems on the Remote Console PC. Each time a SIM is generated, the amber Message LED on the 9900 control panel turns on and the Remote Console PC displays a warning message, even when the remote console software is not running. The Hi-Track® remote maintenance tool also reports all SIMs to the Hitachi Data Systems Support Center.

SIMs are classified according to severity: service, moderate, serious, or acute. The service and moderate SIMs (lowest severity) do not require immediate attention and are addressed during routine maintenance. The serious and acute SIMs (highest severity) are reported to the S/390® host(s) once every eight hours. **Note:** If a serious or acute-level SIM is reported, the user should call the Hitachi Data Systems Support Center immediately to ensure that the problem is being addressed (see section 6.3).

Figure 6.1 illustrates a typical 32-byte SIM from the 9900 subsystem. SIMs are displayed by reference code (RC) and severity. The six-digit RC, which is composed of bytes 22, 23, and 13, identifies the possible error and determines the severity. The SIM type, located in byte 28, indicates which component experienced the error.



**Figure 6.1 Typical 9900 SIM Showing Reference Code and SIM Type**

Table 6.2 lists the basic SIMs generated by the 9900 subsystem. For information on feature-specific SIMs, please see the *Hitachi Freedom Storage™ Lightning 9900™ Remote Console User's Guide* (MK-90RD003). For further information and assistance with SIMs, please call the Hitachi Data Systems Support Center.

**Table 6.2 SIM Reference Codes (continues on the next page)**

| Error               |  | REF CODE |    |    | SIM<br>3C | Error Level | Host Report? |
|---------------------|--|----------|----|----|-----------|-------------|--------------|
|                     |  | 36       | 37 | 2D |           |             |              |
| LCP/FCP error       | LCM Hardware error                                       | 21       | 3Z | XY | F1        | Moderate    | Yes          |
|                     | ADP permanent error                                      | 21       | 70 | X0 | F1        | Moderate    | Yes          |
|                     | ADP temporary error                                      | 21       | 71 | XY | F1        | Service     | No           |
|                     | ADP blocking   | 21       | 72 | XY | F1        | Moderate    | Yes          |
|                     | AL_PA value conflict                                     | 21       | 90 | XY | F1        | Service     | No           |
| CHA Processor error | CHK 1A threshold over                                    | 30       | 70 | XY | F1        | Service     | No           |
|                     | CHK 1B threshold over                                    | 30       | 71 | XY | F1        | Service     | No           |
|                     | CHK 3 threshold over                                     | 30       | 72 | XY | F1        | Service     | No           |
|                     | Processor blocking                                       | 30       | 73 | XY | F1        | Moderate    | Yes          |
|                     | FM threshold over  | 30       | 74 | XY | F1        | Service     | No           |
|                     | FM error   | 30       | 75 | XY | F1        | Moderate    | Yes          |
|                     | Incorrect SUM value of FM                                | 30       | 76 | XY | F1        | (*4)        | No           |
|                     | LDEV blockade (Effect of processor blockade)             | 30       | 90 | XY | F1        | Serious     | Yes          |
|                     | CHF PCB exchange impossible (No PERFORMANCE FIBRE)       | 31       | 97 | XY | F1        | Service     | No           |
|                     | CHF PCB exchange impossible (No supported Micro-Program) | 31       | 98 | XY | F1        | Service     | No           |
|                     | P/S OFF impossible                                       | 38       | 8F | 00 | F1        | Moderate    | No           |
|                     | P/S OFF impossible (Device reserved)                     | 38       | 9F | 00 | F1        | Moderate    | No           |
|                     | Undefined Package is mounted                             | 39       | 90 | XY | F1        | Moderate    | No           |
|                     | V-R or serial number is inconsistent                     | 39       | 91 | XY | F1        | Moderate    | No           |
|                     | Replace failed   | 39       | 93 | XY | F1        | Moderate    | No           |
|                     | Micro-program version up                                 | 39       | 94 | X0 | F1        | Service     | No           |
|                     | Micro-program version up impossible                      | 39       | 95 | XY | F1        | Service     | No           |
| CHA Processor error | CHF PCB exchange impossible (No PERFORMANCE FIBRE)       | 39       | 97 | XY | F1        | Service     | No           |
|                     | CHF PCB exchange impossible (no supported microprogram)  | 39       | 98 | XY | F1        | Service     | No           |
|                     | CHF PCB is exchanged to PERFORMANCE FIBRE                | 39       | 99 | XY | F1        | Service     | No           |
|                     | Warning of SM DISABLE (MPA detected)                     | 39       | 9A | XY | F1        | Moderate    | No           |

**Table 6.2 SIM Reference Codes (continued)**

| Error                 |  | REF CODE |    |    | SIM<br>3C | Error Level | Host Report? |
|-----------------------|--|----------|----|----|-----------|-------------|--------------|
|                       |  | 36       | 37 | 2D |           |             |              |
| CHA Processor error   | SMA slave error                              | 39       | 9B | XY | F1        | Moderate    | No           |
|                       | MPA slave error                              | 39       | 9C | XY | F1        | Moderate    | No           |
|                       | Injustice DC voltage control                 | 39       | 9D | X0 | F1        | Moderate    | No           |
|                       | Injustice CE MODE                            | 39       | 9E | X0 | F1        | Moderate    | No           |
|                       | CHT PCB exchange impossible (4GL ↔ 4GS)      | 39       | 9F | X0 | F1        | Moderate    | No           |
| DKA Processor error   | CHK 1A threshold over                        | 31       | 70 | XY | F1        | Service     | No           |
|                       | CHK 1B threshold over                        | 31       | 71 | XY | F1        | Service     | No           |
|                       | CHK3 threshold over                          | 31       | 72 | XY | F1        | Service     | No           |
|                       | Processor blocking                           | 31       | 73 | XY | F1        | Moderate    | Yes          |
|                       | FM threshold over                            | 31       | 74 | XY | F1        | Service     | No           |
|                       | FM error                                     | 31       | 75 | XY | F1        | Moderate    | Yes          |
|                       | Incorrect SUM value of FM                    | 31       | 76 | XY | F1        | (*1)        | No           |
|                       | LDEV blockade (Effect of processor blockade) | 31       | 90 | XY | F1        | Serious     | Yes          |
|                       | P/S OFF impossible                           | 3C       | 8F | 00 | F1        | Moderate    | No           |
|                       | P/S OFF impossible (Device reserved)         | 3C       | 9F | 00 | F1        | Moderate    | No           |
|                       | Undefined Package is mounted                 | 3D       | 90 | XY | F1        | Moderate    | No           |
|                       | V-R or serial number is inconsistent         | 3D       | 91 | XY | F1        | Moderate    | No           |
|                       | Replace failed                               | 3D       | 93 | XY | F1        | Moderate    | No           |
|                       | Micro-program version up                     | 3D       | 94 | X0 | F1        | Service     | No           |
|                       | Micro-program version up impossible          | 3D       | 95 | XY | F1        | Service     | No           |
|                       | Warning of SM DISABLE (MPA detected)         | 3D       | 9A | XY | F1        | Moderate    | No           |
|                       | SMA slave error                              | 3D       | 9B | XY | F1        | Moderate    | No           |
|                       | MPA slave error                              | 3D       | 9C | XY | F1        | Moderate    | No           |
|                       | Injustice DC voltage control                 | 3D       | 9D | X0 | F1        | Moderate    | No           |
|                       | Injustice CE MODE                            | 3D       | 9E | X0 | F1        | Moderate    | No           |
|                       | FSW LED BUS test                             | 3D       | AZ | XY | F1        | Service     | No           |
|                       | Tachyon error                                | 3D       | B0 | XY | F1        | Service     | No           |
| SHSN error            | Logical path blockade (CHA Processor)        | 32       | 8Z | XX | F1        | Moderate    | No           |
|                       | Logical path blockade (DKA Processor)        | 33       | 8Z | XX | F1        | Moderate    | No           |
| CHA, CHK2 (processor) | RCHA temporary error                         | 3F       | 84 | X0 | F1        | Service     | No           |
|                       | RCHA blocking                                | 3F       | 85 | X0 | F1        | Serious     | Yes          |

**Table 6.2 SIM Reference Codes (continued)**

| Error                    |   | REF CODE |    |    | SIM<br>3C | Error Level | Host Report? |
|--------------------------|---|----------|----|----|-----------|-------------|--------------|
|                          |   | 36       | 37 | 2D |           |             |              |
| CHS, CHK2<br>(processor) | SCP path temporary error                              | 3F       | 86 | XY | F1        | Service     | No           |
|                          | SCP path blockade                                     | 3F       | 87 | XY | F1        | Moderate    | Yes          |
| DKA CHK2<br>(processor)  | Pinned slot   | CF       | 4X | XX | F1        | Moderate    | No           |
|                          | DRR temporary error                                   | CF       | 80 | XY | F1        | Service     | No           |
|                          | FCA temporary error                                   | CF       | 81 | XY | F1        | Service     | No           |
|                          | DRR blocking  | CF       | 82 | XY | F1        | Moderate    | Yes          |
|                          | FCA blocking (0-3)                                    | CF       | 83 | XY | F1        | Moderate    | Yes          |
|                          | Fibre port blocking (Effect of PATH<br>INLINE failed) | CF       | 84 | XY | F1        | Moderate    | No           |
|                          | LDEV blockade (Effect of FCA blockade)                | CF       | 90 | XY | F1        | Serious     | Yes          |
| Cache data<br>error      | Pinned slot   | FF       | 4X | XX | F2        | Moderate    | No           |
| Cache error              | Area is volatized                                     | FF       | CD | 0X | F2        | Service     | No           |
|                          | Package is volatized                                  | FF       | CE | 0X | F2        | Service     | No           |
|                          | Module group is volatized                             | FF       | CF | YX | F2        | Service     | No           |
|                          | Correctable error                                     | FF       | F0 | YX | F2        | Service     | No           |
|                          | Cache temporary error                                 | FF       | F1 | YX | F2        | Service     | Yes          |
|                          | Module group blocking                                 | FF       | F2 | YX | F2        | Moderate    | Yes          |
|                          | Package blocking                                      | FF       | F3 | 0X | F2        | Moderate    | Yes          |
|                          | Area blocking   | FF       | F4 | 0X | F2        | Serious     | Yes          |
|                          | Both area failed                                      | FF       | F5 | 0X | F2        | Moderate    | No           |
|                          | Injustice DC voltage control                          | FF       | F6 | 0X | F1        | Moderate    | No           |
|                          | Injustice CE MODE                                     | FF       | F7 | 0X | F1        | Moderate    | No           |
| Shared Memory<br>Error   | Loss of duplicated information                        | FF       | DE | XX | F1        | Service     | No           |
|                          | One side Area is volatized                            | FF       | DF | 0X | F1        | Service     | No           |
|                          | Correctable error                                     | FF       | E0 | YX | F1        | Service     | No           |
|                          | Uncorrectable error                                   | FF       | E1 | 0X | F1        | Service     | Yes          |
|                          | Area blocking   | FF       | E2 | 0X | F1        | Serious     | Yes          |
|                          | Real memory size inconsistent                         | FF       | E3 | XY | F1        | Serious     | No           |
|                          | Replace failed  | FF       | E4 | 0X | F1        | Serious     | No           |
|                          | Both side invalid                                     | FF       | E5 | 00 | F1        | Acute       | No           |
|                          | Configuration information compare error               | FF       | E6 | 00 | F1        | Acute       | No           |
|                          | Shared memory is volatized.                           | FF       | E7 | 00 | F1        | Serious     | No           |
|                          | Configuration unmatched                               | FF       | E8 | 00 | F1        | Acute       | No           |
|                          | MAIN DIFF lost  | FF       | E9 | 00 | F1        | Serious     | No           |

**Table 6.2 SIM Reference Codes (continued)**

| Error                       |  | REF CODE |    |    | SIM<br>3C | Error Level | Host Report? |
|-----------------------------|--|----------|----|----|-----------|-------------|--------------|
|                             |  | 36       | 37 | 2D |           |             |              |
|                             | CHK3 threshold over  | FF       | EC | 0X | F1        | Service     | No           |
|                             | Area temporary blocking  | FF       | EE | 0X | F1        | Service     | Yes          |
|                             | Rebooted without volatilization after an instantaneous down          | FF       | EF | 00 | F1        | Service     | No           |
| Drive error<br>(normal R/W) | Drive port temporary error<br>(Drive path: Boundary 0)               | DF       | 6X | XX | FE        | Service     | No           |
|                             | Drive port temporary error<br>(Drive path: Boundary 1)               | DF       | 7X | XX | FE        | Service     | No           |
|                             | Drive temporary error  | EF       | AX | XX | FE        | Service     | No           |
|                             | Drive media error  | 43       | 4X | XX | FF        | Service     | No           |
|                             | Drive port blockade<br>(Drive path: Boundary 0)                      | DF       | 8X | XX | FE        | Moderate    | Yes *2       |
|                             | Drive port blockade<br>(Drive path: Boundary 1)                      | DF       | 9X | XX | FE        | Moderate    | Yes *2       |
|                             | LDEV blockade<br>(Drive path: Boundary 0<br>Effect of SCSI blockade) | DF       | AX | XX | FE        | Serious     | Yes *2       |
|                             | LDEV blockade<br>(Drive path: Boundary 1<br>Effect of SCSI blockade) | DF       | BX | XX | FE        | Serious     | Yes *2       |
|                             | Drive blockade (drive)   | EF       | 1X | XX | FE        | Serious     | Yes *2       |
|                             | Drive blockade<br>(Effect of Dynamic sparing normal end)             | EF       | 2X | XX | FE        | Service     | Yes *2       |
|                             | Side file 40% over   | 49       | 9X | XX | FE        | Service     | Yes *3       |
|                             | LDEV blockade (Effect of drive<br>blockade)                          | EF       | 9X | XX | FE        | Serious     | Yes *2       |
|                             | Drive blockade (media)   | 43       | CX | XX | FF        | Serious     | Yes *2       |
|                             | Correction copy start  | 45       | 1X | XX | FE        | Service     | Yes *2       |
|                             | Correction copy normal end   | 45       | 2X | XX | FE        | Service     | Yes *2       |
|                             | Correction copy abnormal end   | 45       | 3X | XX | FE        | Serious     | Yes *2       |
|                             | Correction copy discontinued   | 45       | 4X | XX | FE        | Service     | No           |
|                             | Correction copy warning end*<br>(With blockade LDEV or some error)   | 45       | 5X | XX | FE        | Service     | Yes *2       |
|                             | Dynamic sparing start<br>(Drive copy)                                | 46       | 1X | XX | FE        | Service     | Yes *2       |
|                             | Dynamic sparing normal end<br>(Drive copy)                           | 46       | 2X | XX | FE        | Service     | Yes *2       |
|                             | Dynamic sparing abnormal end<br>(Drive copy)                         | 46       | 3X | XX | FE        | Moderate    | Yes *2       |

**Table 6.2 SIM Reference Codes (continued)**

| Error                                |  | REF CODE |    |    | SIM<br>3C | Error Level | Host Report? |
|--------------------------------------|--|----------|----|----|-----------|-------------|--------------|
|                                      |  | 36       | 37 | 2D |           |             |              |
|                                      | Dynamic sparing discontinued   | 46       | 4X | XX | FE        | Service     | No           |
|                                      | Dynamic sparing warning end*<br>(With blockade LDEV or some error)<br>(Drive copy) | 46       | 5X | XX | FE        | Service     | Yes *2       |
|                                      | Pinned slot  | EF       | 4X | XX | FE        | Moderate    | No           |
|                                      | FIBRE temporary error<br>(Drive path: Boundary 0)                                  | 50       | 0X | XX | FE        | Service     | No           |
|                                      | FIBRE temporary error<br>(Drive path: Boundary 1)                                  | 50       | 1X | XX | FE        | Service     | No           |
|                                      | Drive temporary error  | 50       | 2X | XX | FE        | Service     | No           |
|                                      | Drive media error  | 50       | 3X | XX | FF        | Service     | No           |
| CSW error                            | Injustice DC voltage control   | FF       | 20 | X0 | F1        | Moderate    | No           |
|                                      | Injustice CE MODE  | FF       | 21 | X0 | F1        | Moderate    | No           |
| SVP Interface<br>Error (CHA<br>Side) | Ethernet error for SVP   | 14       | 00 | X0 | F1        | Moderate    | Yes          |
|                                      | SIM transfer failure to SVP  | 14       | 01 | X0 | F1        | Serious     | Yes          |
| SVP Interface<br>Error (DKA<br>Side) | Ethernet error for SVP   | 15       | 00 | X0 | F1        | Moderate    | Yes          |
|                                      | SIM transfer failure to SVP  | 15       | 01 | X0 | F1        | Serious     | Yes          |
| Power Error                          | HDU power off  | AC       | 50 | XY | F1        | Moderate    | Yes          |
|                                      | HDU power recovered  | AC       | 51 | XY | F1        | Service     | No           |
|                                      | DKC was set to power error mode  | AC       | 60 | 00 | F1        | Moderate    | No           |
|                                      | DKC was released from power error<br>mode  | AC       | 61 | 00 | F1        | Service     | No           |
|                                      | When DKC was set to power error mode,<br>urgent destaging start succeeded.         | AC       | 62 | 00 | F1        | Service     | No           |
|                                      | When DKC was set to power error mode,<br>urgent destaging start failed.            | AC       | 63 | 00 | F1        | Moderate    | No           |

\*1: Warning end: "1" indicates that LDEV blockade status is detected, and copy process for the LDEV is skipped; "2" indicates that some failure is detected, and the copy process is complete.

\*2: When the drive is 3880 emulation mode, the SIM is not reported to host.

\*3: The SIM is not reported to SVP.

\*4: If this is a ROM error, the error level is "Moderate". Otherwise, it is "Service".

### **6.3 Calling the Hitachi Data Systems Support Center**

If you need to call the Hitachi Data Systems Support Center, make sure to provide as much information about the problem as possible, including the circumstances surrounding the error or failure, the exact content of any messages displayed, and the severity levels and reference codes of the SIMs. The worldwide Hitachi Data Systems Support Centers are:

- Hitachi Data Systems North America/Latin America  
San Diego, California, USA  
1-800-348-4357
- Hitachi Data Systems Europe  
Buckinghamshire, United Kingdom  
011-44-175-361-8000
- Hitachi Data Systems Asia Pacific  
North Ryde, Australia  
011-61-2-9325-3300



## Appendix A    Acronyms and Abbreviations

|        |   |
|--------|---|
| ACP    | array control processor   |
| BS     | basic (power) supply  |
| BSA    | bus adapter   |
| BTU    | British thermal unit  |
| °C     | degrees centigrade/Celsius  |
| ca     | cache   |
| CFW    | cache fast write  |
| CH     | channel   |
| CHP    | channel processor or channel path                                 |
| CHIP   | client-host interface processor                                   |
| CHPID  | channel path identifier   |
| CKD    | count key data  |
| CL     | cluster   |
| CPU    | central processing unit   |
| CSA    | Canadian Standards Association                                    |
| CU     | control unit  |
| CVS    | custom volume size (also called Virtual LVI/LUN)                  |
| DASD   | direct access storage device                                      |
| DCR    | dynamic cache residency (also called FlashAccess)                 |
| DFDSS  | Data Facility Dataset Services                                    |
| DFSMS  | Data Facility System Managed Storage                              |
| DFW    | DASD fast write   |
| DKC    | disk controller   |
| dr     | drive   |
| DSF    | Device Support Facilities   |
| DTDS+  | Disaster Tolerant Disk System Plus                                |
| DW     | duplex write  |
| DWL    | duplex write line   |
| ECKD   | Extended Count Key Data   |
| EOF    | end of field  |
| EPO    | emergency power-off   |
| EREP   | Error Reporting   |
| ESA    | Enterprise Systems Architecture                                   |
| ESCON® | Enterprise System Connection (IBM trademark for optical channels) |
| ExSA   | Extended Serial Adapter   |
| FAL    | File Access Library (part of the HMDE software)                   |
| FBA    | fixed-block architecture  |
| FC     | fibre channel   |
| FC-AL  | fibre-channel arbitrated loop                                     |
| FCC    | Federal Communications Commission                                 |
| FCU    | File Conversion Utility (part of the HMDE software)               |
| FDR    | Fast Dump/Restore   |

|         |   |
|---------|---|
| F/M     | format/message  |
| FWD     | fast wide differential  |
| GB      | gigabytes   |
| GLM     | gigabyte link module  |
| GUI     | graphical user interface  |
| HACMP   | High Availability Cluster Multi-Processing                                  |
| HAGEO   | High Availability Geographic  |
| HARC    | Hitachi Asynchronous Remote Copy (also called HRCA)                         |
| HCD     | hardware configuration definition   |
| HCPF    | Hitachi Concurrent Processing Facility                                      |
| HIHSM   | Hitachi Internal Hierarchical Storage Manager                               |
| HMBR    | Hitachi Multiplatform Backup/Restore  |
| HMDE    | Hitachi Multiplatform Data Exchange   |
| HMRCF   | Hitachi Multiple RAID Coupling Feature (also called ShadowImage)            |
| HMRS    | Hitachi Multiplatform Resource Sharing                                      |
| HODM    | Hitachi Online Data Migration   |
| HOMRCF  | Hitachi Open Multiple RAID Coupling Facility (also called Open ShadowImage) |
| HORCA   | Hitachi Open Remote Copy Asynchronous                                       |
| HORC    | Hitachi Open Remote Copy  |
| HRC     | Hitachi Remote Copy   |
| HRCA    | Hitachi Asynchronous Remote Copy  |
| HSN     | Hierarchical Star Network   |
| HVR     | Hitachi Volume Relocation   |
| HXRC    | Hitachi Extended Remote Copy  |
| Hz      | Hertz   |
| ICKDSF  | A DSF command used to perform media maintenance                             |
| IDCAMS  | access method services (a component of Data Facility Product)               |
| IML     | initial microprogram load   |
| in.     | inch(es)  |
| IO, I/O | input/output (operation or device)  |
| IOCP    | input/output configuration program  |
| JCL     | job control language  |
| kB      | kilobyte  |
| kcal    | kilocalorie   |
| kg      | kilogram  |
| km      | kilometer   |
| kVA     | kilovolt-ampere   |
| kW      | kilowatt  |
| LAN     | local area network  |
| lb      | pound   |
| LD      | logical device  |
| LDEV    | logical device  |
| LED     | light-emitting diode  |

|                    |   |
|--------------------|---|
| LPAR               | logical partition   |
| LCP                | link control processor, local control port                      |
| LRU                | least recently used   |
| LUN                | logical unit, logical unit number                               |
| LVI                | logical volume image (also called device emulation)             |
| m                  | meters  |
| MB                 | megabytes   |
| MIH                | missing interrupt handler                                       |
| mm                 | millimeters   |
| MP                 | microprocessor  |
| MPLF               | Multi-Path Locking Facility                                     |
| MR                 | magnetoresistive  |
| ms, msec           | milliseconds  |
| MVS                | Multiple Virtual Storage (including MVS/370, MVS/ESA, MVS/XA)   |
| NVS                | nonvolatile storage   |
| OEM                | original equipment manufacturer                                 |
| OFC                | open fibre control  |
| ORM                | online read margin  |
| OS                 | operating system  |
| P/DAS              | PPRC/dynamic address switching                                  |
| PC                 | personal computer system  |
| PCI                | power control interface   |
| PPRC               | Peer-to-Peer Remote Copy  |
| PS                 | power supply  |
| R&S                | Russell&Stoll   |
| RAB                | RAID Advisory Board   |
| RAID               | redundant array of independent disks                            |
| RAM                | random-access memory  |
| RC                 | reference code  |
| RISC               | reduced instruction-set computer                                |
| R/W                | read/write  |
| S/390 <sup>®</sup> | IBM System/390 architecture                                     |
| SCSI               | small computer system interface                                 |
| sec.               | second  |
| seq.               | sequential  |
| SGI                | Silicon Graphics, Inc.  |
| SIM                | service information message                                     |
| SMS                | System Managed Storage  |
| SNMP               | simple network management protocol                              |
| SSID               | storage subsystem identification                                |
| SVP                | service processor   |
| TID                | target ID   |
| TPF                | Transaction Processing Facility                                 |
| TSO                | Time Sharing Option (an IBM System/370 operating system option) |

|        |  |
|--------|--|
| UCB    | unit control block   |
| UIM    | unit information module  |
| UL     | Underwriters' Laboratories   |
| VDE    | Verband Deutscher Elektrotechniker                                     |
| VM     | Virtual Machine (an IBM S/390 <sup>®</sup> system control program)     |
| VOLID  | volume ID  |
| volser | volume serial number   |
| XRC    | Extended Remote Copy   |
| VSE    | Virtual Storage Extension (an IBM S/390 <sup>®</sup> operating system) |
| VTOC   | volume table of contents   |
| XA     | System/370 Extended Architecture                                       |
| XDF    | Extended Distance Feature (for ExSA channels)                          |

## Appendix B Unit Conversions

Table B.1 provides unit conversions for the standard (US) and metric measurement systems.

**Table B.1 Unit Conversions for Standard (US) and Metric Measures**

| From  | Multiply By:             | To Get:                                       |
|---|--------------------------|---|
| British thermal units (BTU)                   | 0.251996                 | Kilocalories (kcal)                           |
| British thermal units (BTU)                   | 0.000293018              | Kilowatts (kW)                                |
| Inches (in)                                   | 2.54000508               | Centimeters (cm)                              |
| Feet (ft)                                     | 0.3048006096             | Meters (m)                                    |
| Square feet (ft <sup>2</sup> )                | 0.09290341               | Square meters (m <sup>2</sup> )               |
| Cubic feet per minute (ft <sup>3</sup> /min)  | 0.028317016              | Cubic meters per minute (m <sup>3</sup> /min) |
| Pound (lb)                                    | 0.4535924277             | Kilogram (kg)                                 |
| Kilocalories (kcal)                           | 3.96832                  | British thermal units (BTU)                   |
| Kilocalories (kcal)                           | $1.16279 \times 10^{-3}$ | Kilowatts (kW)                                |
| Kilowatts (kW)                                | 3412.08                  | British thermal units (BTU)                   |
| Kilowatts (kW)                                | 859.828                  | Kilocalories (kcal)                           |
| Millimeters (mm)                              | 0.03937                  | Inches (in)                                   |
| Centimeters (cm)                              | 0.3937                   | Inches (in)                                   |
| Meters (m)                                    | 39.369996                | Inches (in)                                   |
| Meters (m)                                    | 3.280833                 | Feet (ft)                                     |
| Square meters (m <sup>2</sup> )               | 10.76387                 | Square feet (ft <sup>2</sup> )                |
| Cubic meters per minute (m <sup>3</sup> /min) | 35.314445                | Cubic feet per minute (ft <sup>3</sup> /min)  |
| Kilograms (kg)                                | 2.2046                   | Pounds (lb)                                   |
| Ton (refrigerated)                            | 12,000                   | BTUs per hour (BTU/hr)                        |

