



ATIS-0700005.a

ATIS Standard on -

**SUPPLEMENT A TO ATIS-0700005,
*LAWFULLY AUTHORIZED ELECTRONIC SURVEILLANCE (LAES) FOR 3GPP
IMS-BASED VOIP AND OTHER MULTIMEDIA SERVICES***



ATIS is the leading technical planning and standards development organization committed to the rapid development of global, market-driven standards for the information, entertainment and communications industry. More than 250 companies actively formulate standards in ATIS' 18 Committees, covering issues including: IPTV, Service Oriented Networks, Energy Efficiency, IP-Based and Wireless Technologies, Quality of Service, and Billing and Operational Support. In addition, numerous Incubators, Focus and Exploratory Groups address emerging industry priorities including "Green", IP Downloadable Security, Next Generation Carrier Interconnect, IPv6 and Convergence.

ATIS is the North American Organizational Partner for the 3rd Generation Partnership Project (3GPP), a member and major U.S. contributor to the International Telecommunication Union (ITU) Radio and Telecommunications' Sectors, and a member of the Inter-American Telecommunication Commission (CITEL). For more information, please visit < <http://www.atis.org> >.

Notice of Disclaimer & Limitation of Liability

The information provided in this document is directed solely to professionals who have the appropriate degree of experience to understand and interpret its contents in accordance with generally accepted engineering or other professional standards and applicable regulations. No recommendation as to products or vendors is made or should be implied.

NO REPRESENTATION OR WARRANTY IS MADE THAT THE INFORMATION IS TECHNICALLY ACCURATE OR SUFFICIENT OR CONFORMS TO ANY STATUTE, GOVERNMENTAL RULE OR REGULATION, AND FURTHER, NO REPRESENTATION OR WARRANTY IS MADE OF MERCHANTABILITY OR FITNESS FOR ANY PARTICULAR PURPOSE OR AGAINST INFRINGEMENT OF INTELLECTUAL PROPERTY RIGHTS. ATIS SHALL NOT BE LIABLE, BEYOND THE AMOUNT OF ANY SUM RECEIVED IN PAYMENT BY ATIS FOR THIS DOCUMENT, WITH RESPECT TO ANY CLAIM, AND IN NO EVENT SHALL ATIS BE LIABLE FOR LOST PROFITS OR OTHER INCIDENTAL OR CONSEQUENTIAL DAMAGES. ATIS EXPRESSLY ADVISES ANY AND ALL USE OF OR RELIANCE UPON THIS INFORMATION PROVIDED IN THIS DOCUMENT IS AT THE RISK OF THE USER.

NOTE - The user's attention is called to the possibility that compliance with this standard may require use of an invention covered by patent rights. By publication of this standard, no position is taken with respect to whether use of an invention covered by patent rights will be required, and if any such use is required no position is taken regarding the validity of this claim or any patent rights in connection therewith.
--

ATIS-0700005.a, *Supplement A to ATIS-0700005, Lawfully Authorized Electronic Surveillance (LAES) for 3GPP IMS-based VoIP and other Multimedia Services*

Is an ATIS Standard developed by the **Lawful Interception (LI)** Subcommittee under the **ATIS Wireless Technologies and Systems Committee (WTSC)**.

Published by
Alliance for Telecommunications Industry Solutions
1200 G Street, NW, Suite 500
Washington, DC 20005

Copyright © 2010 by Alliance for Telecommunications Industry Solutions
All rights reserved.

No part of this publication may be reproduced in any form, in an electronic retrieval system or otherwise, without the prior written permission of the publisher. For information contact ATIS at 202.628.6380. ATIS is online at < <http://www.atis.org> >.

Printed in the United States of America.

ATIS-0700005.a

(Supplement to ATIS-0700005)

ATIS Standard on --

**SUPPLEMENT A TO ATIS-0700005,
*LAWFULLY AUTHORIZED ELECTRONIC SURVEILLANCE (LAES) FOR 3GPP
IMS-BASED VOIP AND OTHER MULTIMEDIA SERVICES***

Alliance for Telecommunications Industry Solutions

Approved August 2010

Abstract

This is a supplement to the ATIS Standard that defines an interface between a Telecommunications Service Provider and a Law Enforcement Agency for the reporting of Lawfully Authorized Electronic Surveillance for 3GPP IMS-based Voice-over-IP and other multimedia services (ATIS-0700005).

NOTE – An update to ATIS-0700005, Annex B, *IMS-3GPP VOIP Abstract Syntax Notation*, has also been formatted as a separate file and electronically packaged with this Standard.

FOREWORD

The Alliance for Telecommunication Industry Solutions (ATIS) serves the public through improved understanding between carriers, customers, and manufacturers. The Wireless Technologies and Systems Committee (WTSC) develops and recommends standards and technical reports related to wireless and/or mobile services and systems, including service descriptions and wireless technologies. WTSC develops and recommends positions on related subjects under consideration in other North American, regional and international standards bodies. This is a supplement to ATIS Standard ATIS-0700005, entitled *Lawfully Authorized Electronic Surveillance (LAES) for 3GPP IMS-based VoIP and other Multimedia Services*.

The mandatory requirements are designated by the word *shall* and recommendations by the word *should*. Where both a mandatory requirement and a recommendation are specified for the same criterion, the recommendation represents a goal currently identifiable as having distinct compatibility or performance advantages. The word *may* denotes a optional capability that could augment the standard. The standard is fully functional without the incorporation of this optional capability.

Suggestions for improvement of this document are welcome. They should be sent to the Alliance for Telecommunications Industry Solutions, WTSC Secretariat, 1200 G Street NW, Suite 500, Washington, DC 20005.

The WTSC Lawful Interception (LI) Subcommittee, which was responsible for the development of this Supplement, had the following members:

Peter Musgrove (AT&T), WTSC LI Chair
 Nagaraja Rao (Nokia Siemens Networks), WTSC LI Vice-Chair
 Nagaraja Rao (Nokia Siemens Networks), WTSC Technical Editor

Technical Contributors (alphabetical by company name)

Name	Company
Terry Jacobson	Alcatel-Lucent
Peter Musgrove	AT&T
April Nowicki	ATIS
Ariane Jones	ATIS
Steve Barclay	ATIS
Pierre Truong	Ericsson
David Cooke	ETI Connect
Scott Williams	FBI-CIU
Jean Trakinat	FBI/CIU Consultant (TrideaWorks)
Margaret Livingston	FBI/CIU Consultant (TrideaWorks)
Amar Deol	Huawei
Li Peng	Huawei
Nagaraja Rao	Nokia Siemens Networks
Ron Ryan	Nortel Networks
Tony Akers	RIM
Takwing Wan	Rogers Wireless
Mark Younge	T-Mobile

TABLE OF CONTENTS

1 INTRODUCTION1

2 CLARIFICATIONS, CORRECTIONS, & ENHANCEMENTS.....1

3 ASN.1 DEFINITIONS3

ATIS Standard on –

Supplement A to ATIS-0700005, *Lawfully Authorized Electronic Surveillance (LAES) for 3GPP IMS-based VoIP and other Multimedia Services*

1 INTRODUCTION

1.1 Background

The ATIS Standard ATIS-0700005, published in 2007, identified the United States requirements for Lawful Intercept (LI) in defining an interface between a Telecommunications Service Provider (TSP) and a Law Enforcement Agency (LEA) for the reporting of LAES for 3GPP IMS-based VoIP and other 3GPP IMS-based multimedia services. This document is a Supplement to that Standard.

1.2 Scope & Purpose

This Supplement provides clarifications and corrections to ATIS-0700005, *LAES for 3GPP IMS-based VoIP and other Multimedia Services*. Clauses 2 and 3 of this Supplement specify the related changes.

Upon publication, this Supplement shall be used in conjunction with ATIS-0700005, and supersedes the applicable portions of ATIS-0700005.

2 CLARIFICATIONS, CORRECTIONS, & ENHANCEMENTS

2.1 Normative Reference

The Normative References in clause 2.2 are modified to include a reference to ATIS-1000678.b.2010, *Supplement B for LAES for Voice over Packet Technologies in Wireline Telecommunications Networks*:

[678] ATIS-1000678.2006, *LAES for Voice over Packet Technologies in Wireline Telecommunications Networks, Version 2*; ATIS-1000678.a.2007, *Supplement for LAES for Voice over Packet Technologies in Wireline Telecommunications Networks*; and ATIS-1000678.b.2010, *Supplement B for LAES for Voice over Packet Technologies in Wireline Telecommunications Networks*.

2.2 CII Dialed Digit Extraction Event Reporting

Clause 4.4.1.2, *CII Dialed Digit Extraction Event Reporting*, is modified as shown below:

In IMS-based VoIP, when the intercept subject dials or signals digits in the VoIP content stream after the session is connected to another TSP's service for processing and routing, the TSP shall isolate and report to the LEA the dialed or signaled digits, when reasonably available, as CII to the LEA. The TSP that reports the CII dialed digit extraction information is not obligated to assure that the connection is with another TSP's service. The TSP shall support a dialed digit extraction

ATIS-0700005.a

capability, with a toggle feature that can activate/deactivate this capability (per lawful authorization) and report the dialed or signaled digits, when reasonably available, as CII. See Annex F of [678] for additional information. The following message is used for the Dialed Digit Extraction (DDE) event reporting:

- ◆ DialedDigitExtraction

NOTE 1 - The CII Dialed Digit Extraction event reporting is not required for other IMS-based multimedia services.

NOTE 2 - The session that is connected to another TSP's service for processing and routing, may be provided by the same TSP.

3 ASN.1 DEFINITIONS

Annex B, *IMS-3GPP VOIP Abstract Syntax Notation*, is modified to reflect the latest version of [678] while importing the message and parameter definitions. The complete ASN.1 definition given below shall replace the ASN.1 definition given in the Annex B of ATIS-0700005:

-- IMS 3GPP VoIP CII Delivery Module

IMS-3GPP-VoIP-CII-Module

```
{iso(1) member-body(2) us(840) tia(113737) laes(2) t1(1) wtsc (2) ims-voip(0) cii-voip(0)
version-2(1)}
```

DEFINITIONS IMPLICIT TAGS ::=

BEGIN

IMPORTS

-- Imports from ATIS-1000678.b.2010 Module

```
Answer,
CCClose,
CCOpen,
Change,
Origination,
Redirection,
Release,
ServingSystem,
TerminationAttempt,
ConferencePartyChange,
Connection,
ConnectionBreak,
DialedDigitExtraction,
NetworkSignal,
SubjectSignal,
DirectSignalReporting,
MediaAndAddressReporting,
CCChange,
CCUnavailable,
UUCContent
```

FROM T1S1-LAES-VoP-Abstract-Syntax-Module

```
{iso(1) member-body(2) us(840) tia(113737) laes(2) t1(1) t1-678(0) cii(0) common (0) version-
4(3)}
```

-- Imports from IMS-3GPP-VoIP-CC- Module

ims-3GPP-VoIP-CC-DeliveryHeaderModule-OID

FROM IMS-3GPP-VoIP-CC-Module

```
{iso(1) member-body(2) us(840) tia(113737) laes(2) t1(1) wtsc(2) ims-voip(0) cc-voip(1)
version-2(1)};
```

-- Notations for IMS-3GPP-VoIP-CII-Module

```
cc-DeliveryHeaderModule-OID OBJECT IDENTIFIER ::=
{ims-3GPP-VoIP-CC-DeliveryHeaderModule-OID}
```

```
ims-3GPP-VoIP-CII-Module-OID OBJECT IDENTIFIER ::=
```

ATIS-0700005.a

```
{iso(1) member-body(2) us(840) tia(113737) laes(2) t1(1) wtsc(2) ims-voip(0) cii-voip(0)
version-2(1)}
```

```
protocolIdentifier OBJECT IDENTIFIER ::= {ims-3GPP-VoIP-CII-Module-OID}
```

```
LAESProtocol ::= CHOICE {
    enhancedProtocol    IMS-3gpp-VoIP-CII-Protocol,
    laesMessage         IMS-3gpp-VoIP-CII-Message
}
```

```
IMS-3gpp-VoIP-CII-Protocol ::= SEQUENCE {
    protocolIdentifier          OBJECT IDENTIFIER,
    ims-3gpp-VoIP-CII-Message  IMS-3gpp-VoIP-CII-Message }
}
```

```
IMS-3gpp-VoIP-CII-Message ::= CHOICE {
    ims-3gpp-VoIP-answer          [1] Answer,
    ims-3gpp-VoIP-ccClose         [2] CCClose,
    ims-3gpp-VoIP-ccOpen         [3] CCOpen,
    ims-3gpp-VoIP-change         [4] Change,
    ims-3gpp-VoIP-origination    [5] Origination,
    null-6 [6] NULL,
    -- [6] reserved by [025B] for Packet Envelope
    ims-3gpp-VoIP-redirectation  [7] Redirection,
    ims-3gpp-VoIP-release        [8] Release,
    ims-3gpp-VoIP-servingSystem [9] ServingSystem,
    ims-3gpp-VoIP-termAttempt    [10] TerminationAttempt,
    null-11 [11] NULL,
    -- [11] reserved by [025B] for Connection Test
    ims-3gpp-VoIP-conferencePartyChange [12] ConferencePartyChange,
    ims-3gpp-VoIP-connection         [13] Connection,
    ims-3gpp-VoIP-connectionBreak    [14] ConnectionBreak,
    ims-3gpp-VoIP-dialedDigitExtraction [15] DialedDigitExtraction,
    ims-3gpp-VoIP-networkSignal      [16] NetworkSignal,
    ims-3gpp-VoIP-subjectSignal      [17] SubjectSignal,
    ims-3gpp-VoIP-directSignalReporting [18] DirectSignalReporting,
    ims-3gpp-VoIP-mediaAndAddressReporting [19] MediaAndAddressReporting,
    ims-3gpp-VoIP-ccChange           [20] CCChange,
    ims-3gpp-VoIP-ccUnavailable      [21] CCUnavailable,
    null-22 [22] NULL,
    -- [22] reserved by [678] for Surveillance Status
    null-23 [23] NULL,
    -- [23] reserved by [678] for Feature Management
    ims-3gpp-VoIP-uuContent          [24] UUContent
}
```

```
Location ::= SET OF LocationInfo
```

```
LocationInfo ::= SEQUENCE
{
    locationType    [0] UTF8String,
    locationData    [1] UTF8String
}
```

```
END - of IMS-3GPP-VoIP-CII-Module
```

-- IMS 3GPP VoIP Communication Content Delivery Module

IMS-3GPP-VoIP-CC-Module

```
{iso(1) member-body(2) us(840) tia(113737) laes(2) t1(1) wtsc(2) ims-voip(0) cc-voip(1)
version-2(1)}
```

```
DEFINITIONS IMPLICIT TAGS ::=
```

ATIS-0700005.a

BEGIN

IMPORTS

-- Imports from ATIS-1000678.b.2010 Module

CC-APDU

FROM CCDeliveryHeaderModule

{iso(1) member-body(2) us(840) tia(113737) laes(2) t1(1) t1-678(0) ccdeliveryheader(1)
version-4(3)};

-- Notations for IMS-3GPP-VoIP-CC-Module

ims-3GPP-VoIP-CC-DeliveryHeaderModule-OID OBJECT IDENTIFIER ::=

{iso(1) member-body(2) us(840) tia(113737) laes(2) t1(1) wtsc(2) ims-voip(0) cc-voip(1)
version-2(1)}

IMS-3GPP-VoIP-CC-APDU ::= CC-APDU

END - of IMS-3GPP-VoIP-CC-Module